74 5 74-1

December 16, 1958

Dear

Thank you very much for your accounting. It meets our purposes fine. We are looking forward to seeing the complete publication and are awfully pleased that we were able to help in its preparation.

Best wishes for the holiday season.

Sincerely,

 \mathcal{O}

executive corretary

December 12, 1958



C Dear

Please find enclosed herewith my report on the \$1,500 grant so generously awarded by the think good use has been made of it. It was of tremendous help in furthering my work.

May I thank you personally for your interest and help in this matter?

I am enclosing - as a sort of supplement - a mimeographed copy of the report I made to the for an earlier grant of \$1,000.00 for the same purpose. It gives an even more detailed outline of the type of work that was done also under the grant.

With kindest personal regards and many thanks - and wishing you a very merry Christmas and a happy New Year,

Sincerely yours

Bncl.

.

A STATE OF THE STA

The sum of \$1,500.00 granted for the paying of assist<u>ants.</u> typin photostating and bibliographic work on a TREATISE ON MAS been paid out to the following persons:

Ph.D. Ph.D. Dr. juris 1283.30 49.00

The sum paid to Dr. was exclusively for bibliographic work. Its purpose was to index material already in my files, to insure that it would not be duplicated by Dr. I in searching for new material.

C The sum paid to Dr. was chiefly for work, looking up data C in languages in which Dr. the sum paid to Dr. was not fluent. The remainder of the sum paid to Dr. was for the photostating of relevant material, at current rates. She was originally given a check for \$200.00 of which she returned, as unus fed \$32,30.

The sum paid to Dr. my main research associate, was largely for looking up and copying new items. The rest was for photostating articles and lengthy excerpts. I might say that he did superlatively well, working hard, conscientiously and with imagination. I permitted him to use some of the material he so ably located for a paper on Mysteria, which he presented at the meeting in the material and will have like with and will publish, with Mooting in oredit to the

The money granted yielded an estimated 5-700 items, varying in length from a few lines to full length (photostated) articles, It permitted me to fill various large gaps on the map, chiefly on which I had very few data.

- C After utilizing this material in my TREATISE ON CO. it is my intention to make it available to other workers by placing it in the files of whatever Institution I happen to be associated with at a given times. Should I move from one Institution to another I will, bf course, move also the Files, since I am sure I will use these data again and again all my life, for various purposes.
- Any publication in which these data are used will give due credit to the william I am personally deeply indebted to the and its officers for this grant, which materially furthered my work. I am sure that, in addition to the TREATISM, there will be many articles, dealing with special problems, in which I will be able to acknowledge my appreciation. Last, but not least, I will have ample opportunities to use these data in lectures and in courses. I have, in fact, already used these data in that vay.

 I have examined and approved the submitted

expenditures.

- Respectfully substitted

TSS/Chemical Divisions ADDRESS

Purpose: Great was requested for the purpose of committee the corvices of a research associate, to decate, copy and photostat data on the committee of a TRAFFER.

Summary Statement of Progress: Having been able to secure the services of the progress of the possible to locate, copy or photostat seme 500 passages and/or articles dealing with the average cost per item located and socied was \$2.00. This low persons exactly cue to Dr. The exceptional efficiency and in-

List of Resulte:

0000000

BIC

(1) The 500 items located increased the quantity of data already in my files by some 20 per cent.

(2) It was possible to fill in gaps in the file, regarding conspicuously as from which no data had been collected previously, most conspicuously as regards to the same the same the same than the sam

(3) The data collected under Grant as strongly supported the basic thesis that the basic strongly supported the basic external variability, have the same psychodynamics.

4) They permitted the more precise definition of the dynamics and classical symptomatology of several grant which are largely prepatterned by a several grant which are largely pre-

The data in particular forced me to revise my earlier hypothesis that nearly every patient who has an exploit repertoire of prescribed or available symptoms. Actually, each individuals selects from this repertoire a set of symptoms fitting the idiosymeratic aspects of his own. This finding led to the formulation of the means for differentiating, in a concrete case, between two means for differentiating, in a concrete case, between such a differentiation is of considerable import, both theoretically and elinically.

Publications: Ultimately all of this material is destined to be used in a TREATISE ON The published by to be employed in the source of the next two years and to be published by the published by

than turthous bridge mear. In turns in courses and blookunes at

ay forthcoming big book on

though no part of these two works was financed out of Grant or uses saterial collected under that grant. I am saving the material for the aforesentioned TREATISE ON which will duly acknowledge Grant as will any paper I may write in the interim.

was the war and his

described the second

21 April 1958

MEMORANDUM FOR: THE RECORD

SUBJECT

: Individual Grant under Project MKULTRA

Subproject 74

1. Subject to the approval of Chief, TSS, the Board of

Directors of the

recommended that a grant be made to Dr.

partially defray the cost of publication of his "Treatise on

as indicated in the attached proposal.

2. Financial participation in the above described activity will in the opinion of the Board of Directors and TSS/CD, materially aid in authenicating the cover of the and in addition will provide a source of reliable background information on of interest to the Agency in various areas of the world.

3. The total cost of this grant will amount to \$1500.00 for a period of one year begiining 1 May 1958. Charges should be made against Allotment 7-2502-10-001.

4. The grant will be handled through the

as a cover grant and

sufficient accounting therefore will consist of evidence of disbursement of the funds by the No purchase of equipment is involved in the project.

5. No cleared or witting persons are concerned with the conduct of the project.

A. Chie

TSS/Chemical Division

APPROVED FOR DISBURSEMENT OF FUNDS:



• _____

/

Attached: Proposal

Distribution:
Original only

January, 17, 1958



Pursuant to our conversation I would like to apply for a grant of \$1,500.00 in connection with my research in and especially in connection with the preparation of a Ireatise

If the grant is made, the money will be devoted exclusively to the following purposes:

(1) Payments to a research assistant who, working under my direction, will track down and copy (or abstract) data bearing on

(2) The photostating of material of the giorementioned type.

(3) The translation of such material from Slavic, Scandinavian and Asiatic languages.

(4) The checking of the accuracy of bibliographic references already on file.

No part of the grant will be charged to overhead or to any other item except the four listed above.

I have at present in my files:

(1) Factual data: Some 5,000 excerpts (a conservative estimate)

consisting of:

(a) All relevant data from the
files. (Approximately 1000 typewritten pages.)

(b) Some 500 pages collected under a grant from the
were given to me by their
data overlap in part with (a). Data not duplicating (a)
estimated at 100 typewritten pages.

(d) An estimated 150 typewritten pages of data I collected
from my readings between 1935-1958.

(e) My own exhaustive field material on theland

A 700 typewritten pages monograph on
has been completed
and was eccepted for publication by the

(f) by overfield work data on (Bstimated amount of raw, unpublished data: 150 pp.)

(2) Bibliographica: (a) A bibliography of some 500 typewritten pages made up of:

(a) Items I collected since 1935.
(b) Bibliographies placed at my disposal by the following

colleagues; Professors

(the latter

has been published in the meantime).

(B) The bibliographic materials contained in the factual excerpts, which do NOT overlap to any extent with (A).

NOTE: Item (A) covers not only and

(3) Reprint File: of several hundred items, including some photostated articles.

(4) Books devoted to to the quantities.

I have already done a considerable amount of writing on subjects; more than 2/3 of my 5 published books and 100 articles deal with-various.

I would like to mention especially:

As regards the Treatize on itself, a number of the chapters are already available in rough drafts or are partly outlined.

B.C. Publication: I signed a contract with for the publication of the Treatise.

File: After completing the Treatise, it is intention to make my organized files available to other research workers, by depositing them with a suitable institution, or allowing one or more institutions to photostat them and place them in their Libraries.

B.C. I would like to say that apart from the \$1,000 grant from the the say that apart from the \$1,000 grant from the the say that apart from the \$1,000 grant from the say that apart from the \$1,000 grant from the say that apart from the \$1,000 grant from the say that apart from the say of the say that apart from the say of the say of the say that apart from the say of the

Assistant: Most of the grant applied for will go to pay for the expert services of the since about a year ago.

I enclose a tentative table of contents of the proposed Treatise on

Respectfully submitted

OUTLINE OF

The attached outline is a "dual purpose" one.

(1) It is a tentative Table of Contents of a IRRATISE ON Day being compiled and written, which will be published (Contract signed).

(2) It is a tentative outline for the organization of an Areal and Topical File. LA dual By and by topic.)

It is, as stressed above, tentative. However, it seems to lend itself satisfactorily for the classification of the thousands of excerpts which I already have in my files.

and the second second second second second

74-4

C

17 April 1958

C, ::

Dear Dear

Thank you very much for your letter of 11 April and the enclosed check for \$5,000.00. I have turned the check over to the University, and it will be held in the Business Office to pay the participants. I certainly appreciate the consideration the has shown us in not calling for any particular accounting.

I have reworked the summer budget this morning and am not quite sure how things will turn out. I am hoping that we can pick up the extra \$400.00 to pay one of the three people as a consultant. My feeling is that we should let it rest for the moment, and if there is a pinch, I may come screaming to you for the extra money.

The prospectuses from the various participants are beginning to flow in, and I shall send you a complete set, I hope, before the end of this month.

Once again may I express the appreciation of both the and of myself personally for your very kind support.

Best wishes to you, and I hope that we may be able to see you sometime during the summer.

Sinceraly yours,

0

MEMORANDUM FOR: THE RECORD

SUBJECT

Individual Grant Under MKULTRA Subproject No. 74

of the agrant pe made to The Department of Psychology of the for the purpose of conducting the in accordance with attached proposal.

2. Financial participation in the above described activity will, in the opinion of the Board of Directors and TSS/CD, materially aid in authenticating the cover of the and will in addition result in the production of some valuable survey information in the social sciences to add to the assessment study and other benefits.

- 3. The total cost of this grant will amount to \$5,000.00 starting 15 March 1958. Charges should be made against Allotment 7-2502-10-001.
- 4. No accounting will be required. Any unused funds will be returned to No permanent equipment is involved.
 - 5. All of the participants in this project are unwitting of Government interest.



TSS/Chemical Division

APPROVED FOR DISBURSEMENT OF FUNDS:

Date	it dit	<u> </u>	17111	<u>-</u>	
Attachment					 and to one later
Distribution Original					

TO THE GREAT

Jenuary 24, 1958

B,C B

BC Deer

Your letter arrived this morning with the proposal from its same to be adequate for my purposes, but you push me a little for time. I will process it as quickly as I possibly can.

I will let you know as soon as I have received word from my Directors.

Sincerely,



A This proposal @ 5M has much to recommend it in terms of establishing a foundation affairs solidly, recruiting a foundation affairs solidly, recruiting a foundation affairs creev, and getting of bright young creev, and getting as paets of osciel science at some interesting aspects of osciel science research to add to assessment study. Have research to derectors will recommend soon because and to derectors will recommend soon because wants to hear by early July.

MM

Proposed Activites of Group

at Behavioral Sciences Conference

Participants

Associate Professor of Sociology

Associate Professor of Psycholog

Assistant Professor of Psychology

(See each member's application to the conference for a description of his background and research interests.) Possible part-time or full-time additions:



General Problem and Approach

Our group would like to explore the relations among cognitive processes, interaction processes, and cultural processes as these relate to the development of shared meanings and values. Our projected summer/setivity would be directed at the formulation of a specific research proposal for long-term investigation of critical aspects of this general problem. The summer's work would consist of three phases: exploration of relevant literature, formulation of key problems, and design of research. These phases would be related through periodic seminars throughout the two-month period, at which each member would present for group discussion the results of his individual activity up to that time. The initial and final seminars would aim at relating individual concerns to the group

Specific Topics for Investigation (These will be elaborated and formulated more precisely as the summer's work progresses.)

- 1. The sharing of meanings and values: what is meant by this phrase; how is "sharedness" measured?
- 2. How does sharedness come about? What are the social and psychological factors which facilitate or impede the acquisition of shared meanings? More specifically:
 - a. What personality characteristics of the individual

 dispose him favorably or unfavorably toward participation in group consensus?
 - b. What are the perceptual and cognitive processes by
 which the individual identifies (or infers) cognitive
 characteristics of others, so that meanings may be shared?
 - tate or impede the development of stared meanings and values?
- 3. What are the consequences of a group's acquiring, or failing to acquire, shared meanings and values?
 - a. Consequences for the individual member's cognitive structure.
 - b. Consequences for the interaction process, and for members reactions to it.
 - c. Consequences for the cultural products of interaction.

Projected Time-schedule for the Summer

eight weeks. is about to deliver another psychologist, so will be doing most of his individual work in ..., but will

plan to visit twice for participation in intensive seminar neetings at those times. The group work-schedule is arranged addordingly:

Spring semester (crior to the conference): Periodic discussions to lay out logics for study.

weeks 1-5 at Review literature on topics of individual and collective interest. Will join
and for part of the third week to discuss initial findings and future directions.

Semirar will be neld twice a week.

Weeks 1-d: Fradual formulation of conceptual framework on basis of resultant and discussions.

Teeks c-d: Formulation of specific problems within the general area and development of research less in relevant to theme.

Seminar will continue twice a week;

the roug for the entire sixth and seventh weeks to participate in general review and formulations.



January 24, 1958

MEMORANDUM TO: THE DIRECTORS

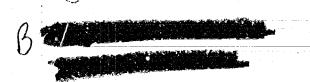
SUBJECT: Project Proposal

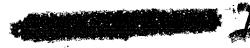
After considerable informal negotiations with the property in attached proposal was submitted as an expansion of the program in personality of suription.

definitive research to be accomplished on such a complex problem as problem are a to be able to make a significant contribution to our efforts. The small cost of the proposal argus for favorable consideration of the grant.



Eno: 2





January 16, 1958

C pr. //

Please excuse the delay in forwarding this proposal for our team's work this summer. I have had a fantastically full schedule of visitors and meetings this past week, which has just eased temporarily this morning. I and I have discussed our joint problem and feel that our separate interests will fit well into it. I present the area of investigation as a totality, although each of us will be predominantly concerned with different major aspects of it. We have discussed with and they seem favorably disposed, providing their of the session, and they seem favorably disposed, providing their

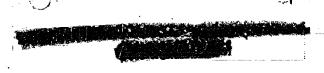
other committments can be worked out. You will probably hear directly from them about this. We would certainly be delighted to have them as either central or peripheral team members.

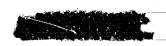
I trust that the proposal will be sufficiently informative at this time for to judge its relevance to the concerns. We would be happy to answer further questions about our plans, as soon as they become more specific. Good luck on

your efforts to expand the way. We will look forward to joining the Conference this summer in one way or another.

Sincerely,

Assistant Professor





22 January 1958



Dear K

I have received in today's mail the prospectur of the proposed activities of the group. As you will notice, the participants are and from the They mention that they would like to bring in as part-time or full-time participants Drs.

I am enclosing a copy of a letter from concerning the proposal.

I have set a cut-off date for the final receipt of applications as February 10, and agrees with me on this date.

We intend to process the applications the following week-end, preferably the 15, 16 and maybe the 17th. Therefore, it is highly imperative that I receive a definite commitment from you before the 10th of February as to whether you will pick up these people. As I mentioned to you, the cost would be \$2000 per man. The way I figure this now would be as follows: \$2000 for \$2000 for and \$500-\$1000 for depending on whether he comes in for two to four weeks. Since he said he could only be in partitime, it would be prorated according to the number of weeks he spent.

Regarding the participation of and I shall wait to hear from them, according to the information in the enclosed letter from If I do not hear from them shortly, I will write to them.

We have applications from what amount to about fifteen firmed up teams. Since the date is so late, which would prevent getting a proposal such as that by the group, I would like to ask if you would be interested in picking up another team. In this case, you would have to trust my judgment, or rather that of the selection committee, who would be made cognizant of the purposes and aims of your organization. Knowing these purposes and aims, I could very well guarantee you a top notch group.

In any case, as I mentioned above, I must have a firm commitment from you regarding the group. You now have the exact monetary costs for the team from Please let me know your reactions at once.

Very sincerely yours

B

27 January 1958

C Prof. of our Department of Lovernment at this University, of the College of Business Admir istration, who is employed as an industrial psychologist at the College of the college of Business Admir istration, who is employed as an industrial psychologist at the college of the college of Business Admir istration, who is employed as an industrial psychologist at the college of the

has a very pool background in political science and labor economics, having received his Ph.D. from the University of

in 1942. He is an associate professor here now and has a good publication background. Treceived his Ph.D. in 1953 in business economics and political science. He is assistant professor of industrial administration and has been administering a project requiring study of the

has a good general knowledge of individual and group behavior cate.

has a grow general knowledge of individual and group behavior nateters and the norms by which these are measured. I has completed all his requirements except language and thesis for his Philad.

and is now engaged in industrial psychological research at which, as you know, is the and a decrease publications.

These three men would work together closely on the problem presented in the proposal. I be not know, frankly, the much about and out I no know that his an exceedingly hard worker and commands a great deal of respect in the field of political science. If you wish to pick these three men up, the cost-to-your group for the summer would be \$2009-per-man, or a total of \$6000 for the summer. As with the case of the proposal, which I sent to you. I would very much like to hear from your about this group by February 10 if this were at all possible. I realize this is rather short notice, but of course you understand the exigencies of the situation at this time. Please let me hear from you.

C

Sincerely yours

Purpose: To provide funds to permit to make small "cover" grants for studies of little intrinsic interest to the Agency but which help propagate cover of being deterested in the broad field of

on an informational busis (EEKULTRA 74).

JB

Littletod: (In process for current year)

Cest: \$25,000.00

Ctatus: Provious year's funds have been disbursed in grants.

74-12

March 16, 1961

H---

Memorandum to

C

Subject:

In confirmation of our telephone conversation,
was put on the payroll as of March 1, 1961 at the rate of
\$30.00 a week. He will be doing library and laboratory assistance
to who is presently besing supported from Small Grants Fund
as a Research Associated on the Project. Mr.
payment is being handled in the same manner as The total
amount required will be approximately \$780.00. I feel that
both this figure and salary should be included in the total
grant to but we have no objection to carrying these two
people on our payroll from Small Grants through June 30, 1961. Beyond
that date, consideration should be given to either transferring funds from
the total grant for this purpose or for preparation of a specific
project to carry these individuals.

Her have brown

A heridan

June 20, 1961

	$m{ heta}$.
	Memorandum to:
	Subject: Recommendation for Funding - Dr. \$500.00
CBC	The enclosed proposal recommends a high-risk set of interviews and tests of children. The basic theories being tested are open to question. It is not likely that this study will contribute much to further enlightenment or an understanding about the theories. Nevertheless, Dr. vis a product of two previous studies conducted by the is establishing professionally in and will likely be of great interest to us in the future.
C	It is recommended that proposal be approved for payment from small grants.
	A.
A.	A. Enc: proposal and comments
	Enc: proposar and

Comments on Proposal submitted by:

Ph.D.

These comments are by: Dr.

The proposal is modest enough, but the research does not seem too well planned from a methodological point of view. But, it is somewhat typical of psychoanalytic approach. It would probably be acceptable to that school. I can't feel very excited, but may eventually be an important person for us to know.

A June 19, 1961

Effects of State 1

CIRCUMC\$SION

Ph.

Ph. J

An Exploratory Study on the

CIRCUM CISION SISSECTION

Statement of the Problem:

on the emotional state of children in a culture. In the religion and specifically among people, boys are generally Ciff(my(150)) tween the ages of and Although the operation is in itself a minor one from a surgical standard psychoanalytic observations of children of cultures would suggest that it is apt to activate strong fears and amtieties in the child.

The psychological significance of surgical procedures in childhood
is now generally regognized. Helens Deutch found ovidence in the analysis
of adult patients that operations in childhood leaves indelible traces on
the psychic life of the individual. A study carried by Jessmer, Blow and
Haldfogel has demonstrated the stressful effects of tensillectory and
adenoidectory on children. The emotional impact of circumcision has not
yet been dealt with. A study of its effects thus becomes meaningful particularly
because of its specific phantasy implications—notably in terms of castration
anciety. The imisdiate goal of the research is to investigate how
children, of religion, react to this operation.

The study raises a variety of interesting questions revolving around the universality of orthodox psychoanalytical conceptions and the specific role of cultural adaptation patterns for understanding these conceptions.

According to Freud, the male child, at about age five, is under the impact of case ation anxiety. At this developmental stage, he experiences atrong layer feelings towards his mother and fear of his father (conceptualized as the cedipus complex); the discovery of sexual differences between boys and girls raises the expectation of castration for himself as a punishment for forbidden wishes towards his mother. Clinical observations by psychoanalysts indicate that the fear of castration is sufficiently strong to make the child turn away from the cedipal wishes. This resolution unhers in a new developmental stage - the latency period.

Freud proposed that these developmental stages and their accompanying fears and phantasics are universal, although non-Freudian "culturalists" argue that divergent family patterns will make for divergences in the quality and degree of such phanomens. The proposed research, to be carried out with children who are very much different from the that I much observed, can offer much data on the specific patterns of anxiety, and its possible meanings in terms of castration, generated by circumcision in a group where circumcision at the oedipal age is very much the norm.

The broader aim of the research is to throw light on the forms and mothods of adaptation a culture offers in coping with the occurrence of a severe threat in childhood - whether or not this is experienced specifically as castration. It is expected that aspects of the personality of sales as compared to persons from cultures where circumcision is not practiced or is performed in infancy, will show distinctive modes of adaptation to anxieties about body image.

Procedures

In this first exploratory study, 15 children between the ages of 5-7 will be used. The susple will be collected through the courtsey of a national kealth service called which organizes the circumstation of

children from low-income femilies.

Prior to the operation, the children will be given the Rorschach test,
the Drew-a-Percon Seet and other projective devices (Incomplete Fables and .

Pictures, TAT). The case children will be re-tested following the operation.

The two sets of protocols will be compared in relation to: 1) the general effects of the operation of the anxiety level of the child, and 2) the specific way in which the operation is perceived by him. - 1.0., his phantagies about what "really" was done to him, and why. The data will be examined for cuss to cultural patterning of effects.

Implications for Further Research:

- 1) In countries, children are rarely prepared in advance for this minor operation. If the child knows anything about it, it is through information picked up from playmates. Thus there is an interesting problem of how the child would reach to the same operation after a period of quasi-psychotherapeutic preparation, and how such "rational" preparation clashes and coheres with built-in ways of handling the anxiety via the total culture.
 - 2) In psychoanalytic theory, the inability to resolve the castration complex is linked with later emotional disorders, notably, for example, homosexuality. It would therefore be valuable to compare the psychological state of the circumcised child after a period of time, as a function of his initial reaction to surgery.

Paricota

The research is conceived of as an exploratory study. At present, a request for 500.00 dollars is made. An estimated budget is as follows:

Poss to subjects: \$2.50 per session (2 sessions min.)	75.00
Testing expanses: \$ 10 per session (2 sessions min)	90 . 00
Analysis of Data and Write-up	\$0.00
Additional expenses (ex.travel, office arrangements etc.,):	25.00
# 5	00.00

Packground of the Author:

July 18, 1961

	A.	1.5		
Memorandum to:			 C.	 .
			 S4:3627	

Subject: Recommendation for Funding

Enclosed is a brief proposal from the for the detailed analysis of some 200 questionnaires and interviews on attitudes of students in A sample of the interview is enclosed.

в.С в.С С в.С The substance of the questionnaire largely pertains to attitudes towards and might properly be called a propaganda intelligence activity, more appropriately undertaken by the However, lead me to believe that the analysis will informal conversations with attempt to look beneath prevailing attitudes and provide a fairly careful documentation on dynamics of social change in this area. In this regard, the methodology becomes extremely interesting. It is proposed that the request for \$1,000 be approved from Small Grants in order to permit exploitation of this material previously collected at great expense.

Enc: 3

10 august 1961

to the second second beautiful and the second second

()

()

Memorandum to:

Subject: Recommendation for Funding

was one of the members of the who traveled in the USSR in 1958 and 59. His performance was distinctive time, he has obtained his Ph.D. He has taught at the for one semester and has married a young

Together they have planned a Fulbright period in where he will busy himself with analyses of the public administration in including extensive. interviews with district officers of all districts.

There is likely to be no theoretical, nor methodological, product of note from this undertaking; but it appears useful to provide the descriptive material which will issue from such an investigation.

Funding is recommended and it is suggested that the plans of Mr. be brought to the attention of the desk concerned.

Enc: 2

fre frame laver frants

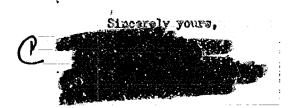


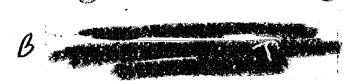
Nay 23, 1961

Brecutive Secretary

C Dear Kr.

C officially received a great for ear year's study in the light of the last of





Apail 24, 1951

C B

C Pear Hr.

I are very glad to learn that the provide employmental support for any program. It eccase quite contain that any great is forthecoing since, although an official association from the free the final point that Maintainly of the research program and at the acceptance time indicated that I had been recommended for the great.

If the cannot complete action as a great until July of August, this would be no real problem since I have sufficient funds to get started. I plan to leave for an June 15 and arrive in correct July 15. By temporary address there is in care of

Sincerely-yours



March 23, 1961

C Kr.

Dear For

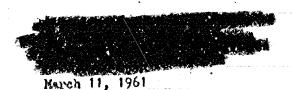
BC complication. If I do not get a more entered to the great of my verious applications.

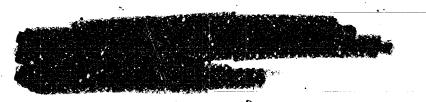
BC complication. If I do not get a composite the great I will still be able to carry out my project almost the great of my who's great. In this case my explication to you for a capplicated great could be reduced by the 2000. I had requested for min months additional maintenance, assuming that they are generous about extending a case year great.

BC I have show explicit for a private great through the shows of substitute will not cold until July.

Sincerely yours,

0

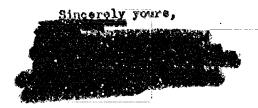




Here is my spolication for a supplemental grant from the I am now a little more cortain about my sources of support than when I last sew you. Last week the turned my down in their program. However, in February the lasked no to file for a regular, and this application is now at the level of the The question here will be how the looks upon my proposal. The answer to this won't come for several months.

Last weekend I must a who has an unusual enthusiasm for behavioral science research in the field of public administration. He wants to compare the value systems of administrators to these required for a rational bureaucratic system. I'm sure we can be of great help to each other in

I will let you know of any other significant developments concerning my project.



74-20 This is of good factors

Small yout project

Resserted to action March 22, 1961 ok: Com grants b. Dear Dr. 7 I have started an informal processing of your proposal but, in view of our current commitments, I am attaid I will not have word for you until June as to whether we will be able to provide the support. Have you learned yet whether your pplication has been approved? If not, this may provide some additional problems, later on, which will have to be dealt with. Let's keep in touch as the plans mature. Sincerely yours, copy and copy of original to via hand

December 5, 1960

Memo to

Subject:

٠,٠

Last June 1960, it was thought that Dr. was going to and at that time a project was submitted for the completion of a report on the work accomplished by Dr. and Dr.

At the last minute, Dr. persuaded Dr. to stay with him at Dr. was on the payroll and was entitled to a month's vacation. However, it was agreed that Dr. would work on his report during his vacation and we agreed to pay him \$1,000.00, a month's pay, for working on this report.

Do you want to reimburse us for this \$1,000.00 from Dr. s other funds? Do you want us to pay this from Consultants' funds? How would you like this handled?



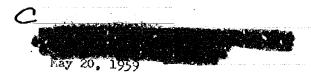
As of telcon this date with Dr. Br. Reb instructed him to take the money for the above project out of "Cover Grants" or "Consultants".



MKULTRA No. 74

This file represents the projects the Board of the has approved after being given the authority to set up small cover-grants. (See TS

Memo dtd. 9 February 1959 in Main Financial folder)



Bxscutive Secretary

This is a brief progress report on the work I have done on the at

At present, I have completed 35 interviews. By the end of the summer I should have completed about 50 cases from the 69 of the

Since September, I have been developing the interview schedule, (3 revisions) the scales, the modification of the personality scales, the double blind translation of the personality scales, the locating of informants and the collection of data.

Still remaining to be done are the collection of the rest of the data, its analysis, interpretation, and finally the drafting of a report.

Since I plan to use this study as my doctoral dissertation in I have asked some of the Professors who have been guiding me in my work to send you brief evaluations.

Even at this intermediate stage some important implications of this study are already apparent.

- This is the first attempt to use an scale which.
 - ean be used.
 on which different individuals can be objectively compared (something like the development of the IQ concept and test)
 - c. where both subjective and objective measures of are used.

2. This is the only study where the most important known variable (on the basis of other studies) namely time, is held constant - all our respondents have been

3. Contrary to other studies, our findings seem to indicate that habits do not seem to be most resistant to change - this has important implications both for learning theory and Freudian psychology.

Enclosed you will find a sample of the study kit used to collect information on each of the respondents with a brief outline of the study.

Since only the first half of the study has been completed, I would like to apply for another year of full time sponsorship from the in order that I might complete the analysis of the data as outlined before. As I plan to use this study as my dissertation at with special emphasis on thought it more appropriate if I applied for a grant directly to the trather than through the was kind enough to promise me his

favorable recommendation.

I believe that it would be helpful if we could arrange an appointment to discuss the project at greater length.

Thank you for your consideration.

C Sincerely yours,

Enclosure

August 24, 1959

C



Dear in

B

This will confirm the telephone conversation we had the other day. The Board of Lirectors of the have agreed to support you for one year in the amount of \$5,000.00 beginning September 1, 1959 to enable you to continue your work in the

This money will be paid to you in 12 equal payments at the end of each worth beginning with Deptember 1959.

The only limitation that is placed on the use of these funds is that any technical reports or papers which grow out of the studies supported under this grant shall contain the following notice: "These studies were supported in part by a grant from the

B

I'm. the Board of Directors and the scientific advisors to the join me in wishing you well. Please call upon us for any assistance that we may be able to provide.

Cincerely yours,

B

1 June 1959

31

Dear Sir:

ur. a graduate student doing a research on the

a grant of yours, which is up for continuation. It would be an act of aid to science and scholarship to continue him; he has made a good start and gives every sign of bringing an inter sting piece of research to a satisfactory

and influential conclusion. He strikes us at in the

in which I as a very good man, and I have come to value or conferences.

I hope this evaluation will not be too late. He told me your Board was meeting last week and I asked him to tell you, by phone, my letter would come in soon. The last two weeks of May, with doctoral defenses and endless examinations, is no time for a professor to use for his correspondence.

C Yours truly

B,

3

May 25, 1959

CB

recent request concerning his angoing research project dealing with the recent request concerning his angoing research project dealing with the in the United States. During the past year I have worked closely with fire in the development of his study, and have watched it progress from the idea stage to a fully operational project. He have demonstrated from the start a high degree of compatitive and energy, so that I have little doubt that his efforts will result in a significant contribution to our knowledge of this most interesting area of concern. As a scientist I share Mr. Indicates for the problem, for it relates to our efforts to obtain a basic understanding of behavioral change in the face of culture contact, and thus would hope that he be given the illneredal support necessary to complete his work. Finally, I have every conflidence that Me.

Will, upon completion of his current project, continue to contribute substantially to the growing body of theory and data in the science of human

Sincerely yours,



behavior.



May 28, 1959



It is my understanding that during the past year your that to study that the past year to study the work was done by one of my students. Mr.

who informs me that he is now applying directly to you for a grant to continue his investigation. I should like very much to support his application. His work for the Ph.D. degree is being done under my direction, and I have had good opportunity to judge him and the quality of his research. I have been so favorably impressed

work for the Ph.D. degree is being done under my direction and I have had good opportunity to judge him and the quality of his research. I have been so favorably impressed, that I would regard it as a very great pity indeed if he were not in a position to bring the research to a successful conclusion. I hope that your Society-will find it possible to act favorably on his application.

Yours sincerely,

A CONTRACTOR

February 25, 1960

C Dear I'r.

Enclosed please find the check in the arount of \$750.00 which represents the third payment under the great used to you for the producing of a monograph on the

Sincerely.

C Assistant Treasurer

Fnc.

74-29

71/1

December 1, 1959

C

Doar Fr.

Enclosed cleans find the check in the amount of 3750.00 which represents the second payment under the grant made to you for the producing of a somegraph on the

B

Sincerely.

Assistant Treasurer

Enc.

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September 1, 1959

8

-A Dear Mr.

Enclosed please find the check in the amount of \$750.00 which represents the first payment of the grant made to you for the producing of a monograph on the

Sinceroly,

C Assustant Pronuncia

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August 26, 1959

C

C Dear Fr.

This will confirm our telephone conversation of yesterday.

The Every of Tirectors of the the expressed your grant in the amount of 3,000 for the surrouges of producing a monograph on your work with the The The Lars to forward this money to you in quarterly payments. The first payment will be made on about September 1st.

The only lightation that is placed on the use of these funds is that any technical reports or papers which grow out of the studies supported under this great shall contain the following notice:

"These studies were supported in part by a great from the

B to the John main wishing you well. Mease call upon us for any assistance that we may be able to provide.

Sinceroly,

____Assistant Transarer

June 10, 1959

B

In respect to the state and saterial there seems to be no substitute for just plain immobility count to work. The roundabout nethod of collaboration between several groups who are teriously constitted to a number of projects at once has not jalled. At least, as of now, no plane for intelligent collaboration are in hand or on the horizon.

Since there is little impatus nowedays for implementing a collaborative design, may I say that a follow-up grant to complete the sociological englysts of the data collected in the initial project sponsored by the might contribute to the goals.

What would energe is a monegraph of about three hundred pages describing and enalyzing the regularities which characterize the social group studied. In the cain, we would stay protty close to the materials and evoid undue appealation. A Table of Contents might include chapters on:

1. Historical sotting and antecedants
2. Nothedological considerations
3.
4.
5.
6.
7.
8.
9.
10.
11.
12.

A glance at this tentative list of chapters shows that we feel it necessary to link together historical and structual considerations with attitudinal and behavioral factors.

Repetully, this monograph would be able to stand alone in published form.

As such, it would be alwest unique in the field of

and when a collectorative offert should come to fruition, the menograph would furnish an empirical base that would be nort useful as a point of departure when available. It might prove a stimulus to the other groups who have date on the send revive some interest which once-inversated foundations. From the standpoint of on-going messaches in the area of tuels a background study would be invaluable.

We have not been berren in the last year and a substantial amount of rough draft manuscript is in hand. Specifically, important segments of chapters 1, 4, 5, 7, 8, 9, 10 and 11 have been written. Much rewriting and editing needs to be done with these segments, however, and, of course, there is much to do on roughing out the additional chapters.

I am very sure that a completed manuscript could be in your hands it menths from the time of the receipt of the follow-up grant. If commitments allow and the pen runs riot, why we may beat the due date. I say about a year, because both and any saif have commitments which can't be put aside and we will have to make time or steal it.

Our plan is that each of us will take primary responsibility for specific chapters while we share the responsibility for the entire manuscript.

While I have mentioned 1500 to 2000 dollars as a reasonable grant to see the monograph through, further consideration prompts as to ask for 3000 dollars to be used as follows:

a thousand dollars spices for the sping.

2. a thousand dollars for expenses; particularly further data processing and typing.

I am sure that if the is able to pake this grant, the monograph will be forth-coming and important to many different clienteles.



•

E :

August 4, 1959



C Dear

reprints from Drs and and the check for \$2,449.10, in full payment of the susser grant given me.

Again may I thank you, them and it.

September will do nicely for a visit to the Rast. I can come any time during that nonth, and wonder if you would set a specific date that fits best with the schedules of the people at your end.

Associate Professor

July 28, 1959

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C Deer

Enclosed is our check in the encount of \$2,449.10 in full payment of the grant approved by our bound of Directors. Under separate cover I shall be transmitting some reprints from the but I feel that these are inadequate to fully account you with the program now in progress. This will require a visit which appears to me would be best made in Sectember rather than August. If it is convenient to you. The reason for this being that, although Dr. will be here. On will be away the entire month of August.

Regarding our specific requirements, the only condition that applies in the utilization of this great is that any technical reports or papers which grow out of the study supported under this great shall contain the following notices.

"This study was supported in part by a great from the

The Doard of Directors and the scientific advisers to the poin mo In wishing you well in this endoavor. Please call upon us for any assistance that we may be able to provide.

Sincerely yours,

Executive Secretary

Enclosuro

June 30, 1959

CB

Deck

Pardon my delay in confirming. It seems as though this time of the year spreads our Directors in all directions and retards our operation.

Best regards.

Sincerely yours,

executive Secretary

August 10, 1959

C Dr.

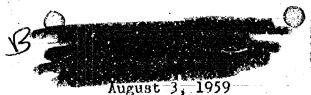
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Dear

Thank you for your nice letter of August 3, and I will look forward to seeing you on the 17th here at our We hope you will let us know if we can make any reservations or preparations for the family's visit.

Sincerely yours,

Executive Secretary



B

Dean

I have just received your check and wish you could know how pleased I am. This grant provides me with an encouragement that will make me indebted to your in all my future studies.

I now have four articles in what I consider to be next-to-final draft for submission to editors. I ampreparing a fifth paper for the meeting in in December, titled

may begin in June or September, 1960. In each of these, as well as in any others I may get to in the coming year. I will be pleased to acknowledge the support of the reprints.

submitted a proposal for the symposium in to the gust gotten word from br. that they would have supported the project with the proposed September starting date if their funds had not already been committed, but would like to consider it for a June starting date. He also suggested on the telephone that the

will appear. Postponement of the date relieves me considerably of the concern I had that my period at would provent my accomplishment of the full project I proposed to your pro

BBBB

B

'C 2 August 3, 1959

We are having a rewarding summer here though the weather has hardly fulfilled our expectations. My seven year old, is getting good geography and history lessons.

We plan to leave. August 16 on our way home via

I should be pleased to drop by your office
for a few minutes on either the 17th or 18th if such a

time will be convenient for you and. We want to take
the kids for a and show them the

I will call your office on the 17th, but,

don't let my visit interfere with any plans or business you

may have.

Singerely.

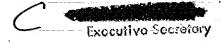
June 26, 1959



C Dear Ori

Following our conversations and our correspondence I find that I am very reluctant to recommend a reduction in the amount of the grant. Therefore, I shall be transmitting the full amount of \$2,500.00 as originally egreed. I have yet to see a tight budget estimate work out where travel, personnel and incidental office expenses are involved. Consequently, I would prefer that you use any funds office expenses are involved. Consequently, I would prefer that you use any funds office expenses are involved. In the futherence of your seminar plans and left over from your summer activity in the futherence of your seminar plans and will acknowledge the support accordingly when the symposium reports are issued. I shall be transmitting the funds after the 1st of July and will be looking forward to seeing you in this area.

Sincerely yours,





Juan 16, 1959

CB

Dear liv.

3,C

I finished to there on the fourth, new here that environs noving job behind no, and an heat at work here in the fractive placed by this environ from your send continuing work in according support for my stailes. You may be sure that I will do my nimest to justify this decision.

B I teld you on the phase, I could not efford to let the coperturity to by since your Land's decinion could not be known at that ties. As it has impedent, I en fled that both those opportunities can edeal. By schooled at the land tout—I tall have nost of the normings and these distributes from each week for by receased.

Since my positionism to you was besed on substatute for the entire times ranth errors partial, and the visiting professorable at the extraction at the first first fully of to depart 15. I must tall you in writing what I talk you viz taleglows: that I would expect the grant to be reduced in excent to corposests for the puriod spent at the first test custaint of my time, probably more, will be free for about at the however, and I will have a full heads after the since my daties do not begin to find your. It plan to fig. family plan, in order to save time and probably expected.

I an importanced in preparing a todget for such a great as this, and I need your solvine. I wish to be circumpted, and I as uncertain as to what extent the freels may properly be reallessed to even item as travel and attackpands help. By work right besets attackflowerly from obsuggraphic and research explosions help, especially in August when the departmental accretizing ready for valued opening. I as not our last much attemptable help will be excluded in the department office at

I am horizont to avecast just how the bright about the revised but gether that is what you puster, and so offer the following for your edvice and alteration.

	Seleny-June 6 to July 5, August 16 to September 15, and one-third time from July 6 to August 15			
		\$1.95Q	(1)	
	Pooks	100	•	
	Stenographer-half-time for two months	160	(¥)	
	Research assistant—half-time for two months	200	(Ŷ)	
B	Travel expenses from the for consultation with other investigators	90	\?\ \?\	-

The stemographer, assistant, and travel are not essential but facilitative, and you may feel the salary item should be further reduced: Please set the figures as you think best.

You will be glad to know that Dr.

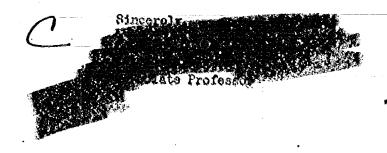
I have prepared a proposal for the in first draft, and an your properting the final draft with the assistance of the has by September.

C given come encouragement to the thought that the symposium my be approved by September.

C correct in believing that your wishes me to devote come of my time.

I am writing my thanks to also, for the help I am sure he has given. Many good things have come my way in the last two years, including a very profitable and pleasant year at the this grant. With the significant topic I have for my research interest and the support I am receiving, I feel most fortunate.

Please express for mo, if there is an appropriate occasion, my thanks to the members of your Board. I am looking forward with pleasure to accepting your invitation to visit your office this summer. I hope that you and the turn, can visit our compus soon, particularly for the symposium.



3

May 19, 1959

MEMORANDUM TO: The Directors

C SUBJECT: Project Proposal, Category B - By Dr.

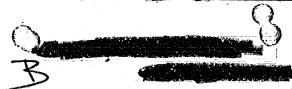
We have been in correspondence with Dra for elecut six months.

His first proposal for a symposium on the skill and stature of the investigator, but felt of our consultants who recognized the skill and stature of the investigator, but felt that the symposium was repetitive and could be made more worthwhile after a period of study. In the interim, between our refusal and subsequent correspondence, I interested the In the idea of the symposium. If now appears that the idea of the symposium. If next year. The idea of the symposium of the idea of the symposium of the position at the moment to facilitate the planning for this symposium and contribute specifically to the orientation that future research and reporting takes in this provocative area of study.

The Principal Investigator has indicated that his summer's experimentation and planning effort will require approximately \$2,500,00. Our consultants agree that a great in this amount is justifiable and desirable at the present time. You will note that arrangements for early summer visiting professorship are pending with a Consequently, I shall attempt to provide an early reply to prevent inconvenience either to Dr. For to the

Executive Secretary

Enc: 4



May 10, 1959



E br.

Your letter was wonderfully welcome news. I know no way to set a budget for summer study except on the basis of my regular salary, which for the present year has been \$600 per month and which for the coming year I must presume will be approximately the same. I should like to devote the entire approximately the same. I should like to devote the entire three month period, from June 10 to September 10, to study and writing. Be assured, however, that any grant your Board is able to confer will be welcome.

No other expenses beyond subsistence will be required though I should like to spend about \$100 of any grant on publications to supplement my personal library. I believe the publications to supplement my personal library. I believe the oraphic help for the preparation of manuscripts as well as an excellent private study rook in the new air-conditioned library. Our acquisitions in the new air-conditioned and thave been fairly complete.

I was pleased to hear from Dr. will submit a to inform him that the proposal for the symposium as soon as it can be prepared.

has offered me a visiting professorship in their six-week summer session beginning. I called Dr. there yesterday to ask whether he could be hold the position open for a while in the hope I might hear from your before the time he will have to fill it. He kindly agreed. Under ordinary-circumstances I would be quite kindly agreed. Under ordinary-circumstances I would be quite pleased to teach at but I regard the prospect of spending the entire summer studying, especially at this juncture, as an outstanding opportunity.

I greatly appreciate your continuing interest in support of my studies.

Yours and Yours Associate Professor

A Proposed Research Study In the Theory of Communication

Description of Proposed Research

Long-range groject:

- 1. A critical review of the major contributions to an understanding of the basic nature of communication: information theory; cognitive, learning, and developmental studies of variable behavior; verbal problem-solving; semantic theories; social-psychological theory (including-small group and mass communication); cultural linguistic theory; communicative disorders and personality theory-cach of these to baspublished as a separate study, spread over a period of years.
- 2. An attempt at a comprehensive theoretical formulation of communication, unifying the findings from the behavioral sciences. (Already begin---to be carried on concurrently with #1.) This formulation will include:
 - a. a description of major variables with suggestions as to how those may be operationally defined,
 - b. a schematic model for communication systems
 - c. a classification of the major variables of human communication situations, defined in terms of the major variables
 - d. a series of interdependent postulates concerning the interrelationships of the variables from which various testable hypotheses may be deduced,
 - e. presentation of various research findings which support the theory,
 - f. the suggestion of a number of experimental studies required to validate and refine the theory.
- 3. A series of experimental studies, designed to test the theoretical formulations evolved in #2. (To be carried on concurrently with #1 and #2. First experiment: to test the effects of various kinds of controlled feedback upon alteration of communicator's verbal behavior.)

inmediate projects:

- 1. A critical review of information theory intended to essess its adequacy as a formulation for a general theory of human communication, drawing conclusions concerning its usefulness for various kinds of communication research, possible additions and modifications required to extend its usefulness, amplifical verification from findings of laboratory and field studies, contradiction or verification from other communication theories, and experimental designs required to validate the theory.
- 2. Preparation for publication of research projects already completed and papers already in first draft:
 - a. An experimental study in the transfer of training in varial problem-solving (five semaster long experiments completed, revealing simplificant differences; was reported briefly in a convention paper for the

b. A ravism of the experimental literature in problem-solving (ravide completed through 1950; have discounted its publication with the editor of the c. A paper.

(so he presented as a paper possess the d. A paper.

(in virse crave; to be substitued to the

Significance of the Proposed Research in Seammication Theory

The purpose of the proposed series of critical rovieus of major contributions to communication theory is to devive eventually a more unified theoretical formulation of the fundamental structure and process of communication which can be volidated by both laboratory and field studies. There uppears to make great need for a norse comprehensive, more exertically and more process theoretical system than any now evellable, cas which will integrate the findings from many different fields of invastigation and which may yield useful interdisciplinary applications.

Huch algoliticant theorizing has been cone in various expects of communication, language, and vertal behavior. Particularly valuable it secan to us are the theories of hereore Viener and Verren Grever in involvation theory, wended Johnson and Alfred Korzybaki in the temestic process, Mussicr Syrit, Kert Lesin, and debert Sales in group interaction, Uliber Schrem in mass conducation, Benjamin tee Morf in matering interaction, Charles horris in theory of signs, Vert Goldstein in the nature of abstraction, Lee Fortunn in Beguitten, V. H. Johnson in proclam-solving, Jean-Plages in language development, and the classical writters in thetoric, particularly Aristotles.

All of these boar directly on communication, but upone of them pretends to deal with it focally and comprehensively. Only sensell definion, parhaps, sees as consent the question of that happens wern A talks to be end he hades no effort to integrate the disparate findings and concepts inherently related to this process. Information theory provides a comprehensive freedom, but requires a different kind of elaboration and refinement than it has had for application in the social sciences.

The greatest need in consenication research need is for asign adequate conceptually ration. Too many studies are suitiplying in all blade of sepiled tracerch in consensionation which are of greatly limited confeders as besic research because the experimental designs rest on statistical sessingulars abone (and these of deshalfs) applicability)—rice consequent if community of thems usualed is oftention vages or limited to point question of the results have them estaded in the divides again and tread in the divides grass of communication study and investigation as passed in the divides area of communication study and the very similar phenomena and different terminology and conceptual from works.

in the other hand I as convinced that year of the theoretist work in cognition, lauraley, linguistics, personality, group process, information theory, and sementics provides such of the sessecial substance out of which the sore comprehensive, operational, procise, and interdisciplinary formulations will come.

No one moneyroph, book, or person will complete the Job of an endquate electrical content of consultation. It is the purpose of an proposed symposium to unlesies or

the men who have already done the most significant theorizing in one aspect of communication or another to further theorizing of a more comprehensive, interdisciplinary nature.

I doubt that the majority of social scientists yet recognize the centrality of communication within the social process, which shapes human nature to what it becomes, but there is soundant evidence of a growing insight and interest in communication in nearly all the social sciences and humanities. It is a staggering job to keep up with the literature.

The study of human communication and how it functions in shaping men's minds and actions is at least equal in significance to our understanding the structure of the physical universe. Communication, to me, occupies the place of Tennyson's inflower in the crannied wall. Scientists of many fields now seem to perceive the significance of communication which has been expressed by poets from William Bleke to Carl Sandourg, and are attacking the subject at many points. The outlook is exciting and promising.

Some Preliminary Theorizing about Communication

In order to pull this project one step down out of the clouds, has are a few selected instances of the direction my thinking has taken so far, a ticularly the integrating of apparently disparate theories.

When Shannon's concept of information (defined in terms of given set of equal probabilities) is endified by Postman's hypothesis—information theory, also by the social psychologists' concepts of interaction and social nerge and the cultural anthropologists' concept of cultural value systems, the project of a really scientific theory or communication is enhanced. A hypothesis adjects itself which is potentially testable: Information communicated is equal to the difference between the expectations (Natman's term: hypotheses) of the receiver and the content of the sender's massage (Postman's term: information). When the message corresponds to the receiver's expectations, it is redundant (hypothesis is confirmed), and little information is communicated; when the message contradicts the expectations of the receiver (hypothesis is littimed), or coas beyond such expectations, information is communicated and new expectations are formed.

The whole process is cyclical or spiral, as w. Johnson and Von Foerster emphasize, so that expectations are continually being reenforced or weakened or extended. The prediction of the results of conunication depends upon knowledge of the receiver's expectations (i. A. Richard' test reference) in regard to the referent of the massage and upon some means of deepling the qualitative and quantitative content of the massage in advances. Berlings has done significant work upon the latter will while the cultural anthropologists and set if psychologists and experienced laymen observers are often able to predict individual reactions from knowledge of the social norms or cultural values of the reference groups to which the individual belongs. The various projective tests of the clinical psychologists and the various attitude and opinion tests of the social psychologists are also applicable to the prediction of reactions to communication.

Persuasion, or propaganda. I dangerous, i.e. unpredictable in its effects, when the hypotheses of the receiver fre unknown or misjudged, for, as Hoviend found, if the message does not recognize these hypotheses (awareness of certain facts or arguments), a boomerang effect is likely.

A common instance of entirical evidence for the theory exits in the featillar situation in which a sport fen learns the outcome of a particular sports event then he was femiliar beforehed with the past performances of the participants and the odds quoted by the book akers. If the outcome is as he predicted. Is hypotheses are confirmed, and he ill perhaps raise the size of his better the dids he will give on the next same. If on the other hand, the outcome contradicts the injudical's expectations, his subsequent attitude and behavior will be altered in the opposite direction.

In the latter case, indeed, the individual's faith in his ability to predict may be shaken, and if he continues to predict poorly about matters more closely related to his personal welfare than the outcome of a bail game, we may postulate serious personality problems of interest to the clinical psychologist and psychiatrist. The man has done some interesting experimenting on the difference between the mathemath of probabilities inherent in the experimental situation presented to the subject and that probabilities as perceived and acted upon by the subject. One finding: when the probabilities are equal, the subject almost never acts as if they are.

oth Alfred Korxybski and Benjamin Lee Whorf were interested in the effect which patterns of language habits have upon the expectations and subsequent actions of individuals. Korzybski attempted to free the individual from much of the unconscious influence of language by techniques designed to heighten the individual's ewareness of language effects and by attempting to teach his students the apply the attitudes and methods of the experimental scientist in their talking but common human affair.

Whorf was it crested in the metalinguistic problem of <u>Welter distance</u>—the differential efforts of various languages upon the thought profites of members of the language count ity. John Carroll has had some success in earlying whorf's relativity hypothesis. Carmichael-Hogan-Malter, Sherif, an others have also varified a differential effect between two or more word-ladies applied to the same object upon the subsequint non-varbal-behavior toward the object.

Another Interesting Intence of the Importance of Pactations In the communication process is that of "at an fright." This apparedly universal phenomenon seems instead to be culturally data fined to a significant degree, and the question arises, would there be stage fright in here were no stage. Our culture gives high status to one who can fulfill our expectations from a polition on the public platform, and our folklore is full of contempt of the windbay, bores, and desagogues who fall to fulfill our cultural image of this platform. This wastvalence suggests the hypothesis that "stage fright" is proportional the spaker's uncertainty concerning the listeners' expectations and to the exact to which his perception of the listeners' expectations and values (the role image contradicts his own expectations and values (self image). Phillip Morchel and I progress to refine and test this hypothesis, using some interesting new testing dayl by fear and hostility which he has developed. Psychic distance between tender and reflyah or the relation between their relative recisi roles in whatever status hiere thy, is communication variable of major prode portions which seems to lie outside harmon's lapty.

To summarize so fur: The equal and finite probabilities of Shannon's mathematics must be convered, for social science, to a concept of probabilities defined by the listener's expectations (bollow, values, attitudes, a ctual knowledge, hypotheses, references), which are potent thy measurable. Shannon's other concepts—redundancy, entropy, feedback, boding, a large, atc.—must also be madified and operationally defined at the psychological level, but I believe they can be with excremely useful results.

This usefulness has been demonstrated already by the elaboration and application of the concept of feedback. This concept goes a vital-step beyond both the behaviorist's stimuly response chain (including madiating variables) and the Cestalt organizing or include in perception and makes them some useful in the functional analysis of social behavior. Feedback is defined as core then response—it may be described as the lender's encreases of (or response to) the receiver gresponse to the sender's stimulus. Provision for this looping, cyclical, spiraling affect (forestadowed by Aristotia) makes all the difference in an adequate theory of communication, all the difference as far as assent behavior and the formationals culture are concerned. This spiraling effect is made possible, apparently, by the displicalty of the human arrows system, which makes possible multiple levels of abstraction or structure which makes possible the symbol capacity, which makes possible language.

T. C. Scholing has made plain this qualitative difference because human and an delicapacities, which Korzybski called the "time-binding" function.

74-44

imploitation of the factor of feedback has accounted for much of the algorificant was accounted in small groups by tales, feetinger, at already consende of the unfoldinate of new conceptualization in stimulating a whole new body of research findings. On the tiper hand, such of the work in structural linguistics, though otherwise useful, saws headed up a blind allay as far as evolving any satisfactory theory of saning is concept. Decress of the rigid and narrow concept of scientific method used by many of its godenta, and because of failure to employ cross-disciplinary thacking of their findings, concepts, and pottulates with those of cognate fields they are led to conceive of language as a separate entity, operating by a set of laws independent of human pageological functioning.

it is an accepta, theory that the concept of self, as well as one's system of values, is built with the child through a process of enculturation, but the enculturation process is stolyd more-precisely (when the terms are filled) as the feedback (or incorporation of the graspesses) which the child receive from the functionally related meebers of his religious groups. The symbols explained in this kind of communication are the means of making such self-concepts of values negotiable, deriving their meaning for the child-from the contextual sizuation in which the symbols occur.

Some psychiatrists and clinial, psychologists have come to describe the counsaling situation, the psychiatric intervals, and psychoan year as processes in which perceptions of solf and one's interpartical as well a intraparsonal culations are slowly altered through skillfully controlled by order & deback is used to open up blocked internal channels, recoving from the theorem process the dum of cissoproval. The counsalor has first to build through this for foodback a perception of himself which eliminates the expectations in the disent of disapproval, rejection, or pusishment. (Rogers and his students describe a schanges in the elimination, as insteading of his eltered perceptions and behavior. A highly specialized type of consumication, it sights be said, is used to alter paraptions as "hypothescs" of the client to the end of more stable and productive between.

fapiries instances of the roll of the factback places. In language development, in personality development, in the learning altuation, it interportees relations could be cultiplied by the score. The most striking incline is probably that of the child born dust: the absolute of suditory foundack, until compensated by alternative but less effective types of feedback, will prevent this lie from expering language, schooling, or ever human behavior. Heles Keiler's lary he a worderful effections to this process of human interaction out of which had a personality and human culture are useds.

One of the richest possibilities for cross-fartilisation between clades in the development of theory of communication may be resilted with the blanding of learning theory and inference on theory. Such a juxtapesition might regard the pilowing hypothesis: Alteration in communicative dehavior occurs when the feedback information differentially recovered one or more espects of that behavior, the disaction and anomatical of the alteration between the communication of the alteration between the communicator associated various as industry of deprivation) and the source, lead, consistency, farity, and intensity of the feedback.

The leganing theorists have been lacedinately the of varial behavior, profession according to ac

studies the effect of immediate knowledge of the results previous learning upon tubes int learning have been nade, and are clear in heir implications. The link or compressive investigation, however, of the spect of communication variables upon the learning sults in the standard courses the curriculum is extremely noticeable. As our so lety comes to demand better educational products from our schools, teachers will no more be able to exist the need for clearer evidence of results. It is difficult, for mappin, the assess the effects of closed circuit television on learning when we know so it has of the effects of other types of communication models. An adequate communication with pull be able to enswer the question: Under what kinds of communicative conditions (feedings, coding process, concurrent channels, etc.) so what kinds of learning most probably object. etc.) do what kinds of learn we most probably dear

Other examples of the potential fruitfulness of cross sciplinary checking of theories drawn from the lour aspects of communication study what he cited. Those, which are cited in this brief account require more detailed development, but parhaps which are cited in this brief account require more detailed development, but parhaps which are said enough to give you an idea of some of the directions my linking has taken.

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March 18, 1959

CB

Dear Dr. 4

I have now received the comment and decision of my board of Directors on your proposal of January 30. It is the feeling of the Beard that our commitments to previously initiated tasks require as to postpone consideration of your proposal in this year of operation.

White the Directors exhibit the same interest in supporting your work that both and I have, they feel that they can not commit additional funds at this time.

I shall keep in touch with you and at the proper time for resubmission, I will inform you. In the interim, I am aware that you would like to obtain support for your current period of activity. I shall be pleased to exist you in any way that you feel is possible. One note of advicer-your proposal would perhaps be strongthened by including request for support of an engoing research tesk as well as the support.

Sincerely yours,

Executive Secretary

March 3, 1959

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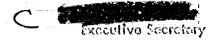


Thank you very much for your prompt, incisive and helpful action on the proposal. It opens the way for consideration of several alternatives which we are now approaching.

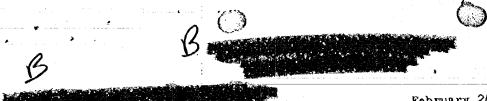
Since you didn't mention the matter of compensation i am enclosing our check for one day's consultation and hope this will be satisfactory to you. If not, I shall rectify.

There is nothing I would like better than the conversation you suggest and I hope this can take place soon. We made a small grant to a fin the late in '53 which permitted me to visit on the day of the featbell game with a rond I suppose I missed you because of your attendance at the game. Next time, however, I shall notify you in advance and hope that we can thoroughly rehash events of the past few years. I am looking forward to it.

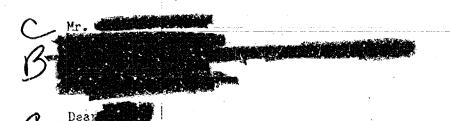
Sincerely yours,



Enc: 1



February 24, 1959



I am sorry to be negative about this, but it seems to me not a very exciting proposal.

This young man doesn't really want a conference. He hasn't set down any of the crucial problems for discussion. He has merely outlined the field in a rather superficial way, and gone down the list of leading scholars. In other words, he really wants a book, which he can edit. By paying travel to he hopes to get a number of well-known people to contribute chapters.

Ten years ago, this would have been all right. Then the field needed structuring, and these people needed to talk to each other. Now the needs are no longer for great umbrellalike conferences, covering everything, but for interdisciplinary attacks on specific problem areas. I doubt that this young man is capable of picking out those areas, choosing the right people to illuminate them, or persuading them to come --- at least without a considerable reward.

In other words, it doesn't seem to me to be worth supporting.

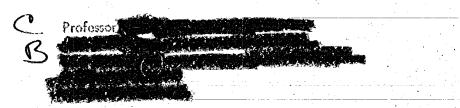
If you would like to know what I think are some of the problems in that need a well-organized interdisciplinary attack. It like some espects of the that you and I have been so long interested in that you and I have been so long interested in and try to help you find the right men to run the operation and the right place to stage it. And if you don't get around to it earlier, I'll be at the next academic year, and you ought to pay that place a visit.

With best wishes, I am

Sincerely yours,



February 17, 1959



C Doar

Enclosed is a tentative proposal which I feel I must evaluate before submission to my Board. You will notice that these are the original items of correspondence, hence I must ask for their return as soon as is convenient for you.

My request is this—will you please give me your considered opinion as to whether such a symposium would be beneficial, your judgment on whether the suggestions for expansion of the program into productive areas of inquiry. I shall also appreciate knowing if we are repecting work that has been previously accomplished.

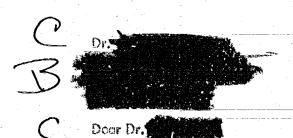
I realize that such an evaluation will be time consuming and laborious, but the policy permits my payment for such consultation at the rate of \$60.00 per day, which I trust is adequate.

Thank you very much for your assistance and best personal regards.

Sincerely yours,

Executive Secretary

Enc: 3

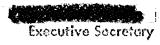


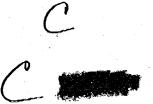
I have held some preliminary discussions about your proposal and find receptivity for it. I am now prepared to submit it to the Board but would raise two considerations before doing so:

- 1. Are you convinced that your initial budget is adequate?
- 2. You have made little provision for non-verbal communication in your proposed symposium.

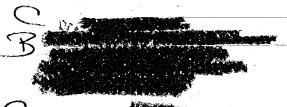
t shall continue to hold informal discussions with our Board and with advisers but shall wait to formally present it for Board action until I have heard from you.

Sincerely yours,





January 5, 1959

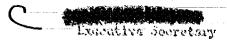


__ Doar Dra

has away them your letter errived and I falt we should respect immediately. We are indeed interested in your proposal and will have once specific suggestions to make, but I feel as should have one or two conversations with our frastdent before no rake any suggestions, one having to do with the budget and one having to do with the repeated conference.

You will be hearing from us shortly.

Sincerely,



. . . . ,

lecomer 20, 1956



C. Deer

C, S Working on a conference and subsequent book of You were for the project I know to were kind entitle to encourage me to seek support for the project from your

In Depthster.

In Depthster.

In section the less with the staff residers until land. I have the support of talks with land about this project, and I at played to tall you that he is as keen about it as I. We both think that this conference on their developments in the adeptone of the while I carried the major responsibility for the project.

Physis of the list interconstruction of the research work in certain.

This been a significant part of the research work in certain.

This been a significant part of the research work in statying the effects of political on the results of which he will public seen in a took with the results of which he will public seen in a took with the results of political in the results of the papers of which are intituded in three squares volumes.

relations and is recovered as runt as I that the study of human relations and constitutions. A conference of the conference of any one discussions. A conference of the conference.

I the conference of the conference of the conference.

At thi jetmen, we been thought it upon to write this continues no curtain the per your remittions and further

C suggestions along these lines before parting the project through forest chemnals, either at your end or ours. Both and I think it would be a very appropriate thing to have you at the conference and be a part of the processings.

By the feet assued that the full backing of the President's office of the forticating. President was an the food as the project will be forticating. President was an the food as the project was mantioned to him. The limitated track to thought it a fine idea. By a new inequality apphasized the incorpance of his appearance, and he gave the previous conferences.

BC We believe that holding such a conference, ettracting netional stention, in a center of learning in the will have the saventage of transplanting sectings if such a broad scope into this section of the country. We both feel a strong sense of sayolty to the claiming tration. We believe that significant frogress has been used toward an outstanding university in recent years.

If you think, hewever, that your would prefer to hold the conference at enother location, or to make some change in the latura of the conference, we would be glad for you to suggest alternatives. We have epont many resistons already exploring ways to make this conference a lardwork, and would be pleased to have additional accessions from you toward that end.

forence and the book, suggesting possible topics and contributors. Pleass suggest changes or additions. We hope that the papers will provide the visiting scholers, and later the readers, with an understanding of the research going on in each field to the end that each will achieve a new perspective or his own research and will be stimulated to correct any inadequacies of his own dus to look of information in counte fields. We also hope each scholar will contribute his formulations to a general theory of the audification of knowledge about man. We expect to consult carefulty with representatives in each particular field of study before saking a final choice of contributors and extending in-vitations.

Since we are so carious to get your reactions to our plens, and in the case whey are required favorably, it sucht facilitate things in the leng run to present here a tentative budget with major estimated excluse iters. This bedout is based upon twenty papers brough there sight be a few nors or a few fees in the final vermition.

 Travel mod relations are expenses for the contributors of 1250 cach.

35,000

 Sacretarial help in the pre-conference and post-conference periods, and preparation of the menuscript for publication

\$1,500

- 3. Conference project director for full-time work during second somester, 1958-59, and both semesters 1959-69
 - us Conference arrangements and correspondence
 - b. Editing manuscript for publication 3,000
- Frinting brochure announcing the program 1,000
- 5. Halling, stationery, incidentals 500

TOTAL

\$12,000

Sees supplementary notes on the budgets

1. This cottnated budget is sade on the basis of securing outstanding coefficients from all over the duntry without honorarite. We would like to pay an nonorarite, but would prefer to get your reaction before adding it.

2. If; in your opinion, the would be interested in having a zoro active sponsorship in the publication of the volume of papers through an additional substay for that purpose, we would be glad to add that item, with due schnowledgeant to this effect, of course, on the title lage of the volume as well as in the brochure.

We should like to hold the conference during the coming fall sampler at a time when we are best able to secure the contributions of the project as some a probable since we are so luckly together hove at until June when we both return to

It was surely good fortune to have run across you in that the surely so will expreciate it questly if you will give us your comments on the proposed cutline, and your suggestions for propositing an official request to your

rends his best rewards. Remosber as kindly to the law you remember the hight is ners at your house at the

Const the termedo passed by and we all fleeded out going tent to be that nover was a sors walcome.

Const that nover was a sors walcome.

They were with us for Thanksgiving, and she asked to be remembered to you and recently had the doubtful plansure of discovering for system what resently had the doubtful plansure of discovering for system what a sterling and unwavering integrity.

Yours sincorely.

C VISITIEM Agaostate Professor

C cc:

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January 30, 1959



C Dear

thes very please to see your reply to by proposal, expressing your interest. Self-the veltion to hear tree you in specific terms. I have prepared a revision of the Operation following for the Contributions which I is a proposed over the first uncell see. If our enders which I is a proposed over the first uncell see. If our enders will be important the time that program is printed.

We till a your or animation first because of as a quainten envit.

We till a your or animation first because of as a quainten envit.

We care anxious to begin our correspondence with a cuester of people who will have precific subjections for it, ics, and institutes, and for a animation of the yeartion, and subsequently, correspondence distincte we finally will invite to participate. I hast also begin work inequivalence plans for publication, and course, that your the master to participate in this subsidy.

Although I do not yet know the specifics of their proposal and theretere do not know disther I shall accent. I meation this because, should I
ected an offer from 1. I should like to hold the Conference here. The
administrative officials of the project, and seem to desire to deceles other interestsciplinary projects
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in the project, and seem to desire to deceles other interestsciplinary projects
in the project, and seem to desire to deceles the limit of these considerations and,
where we can work closely together
the research, he fully appreciates that I must do what seems best for
the future progress. Should I come to the role in the Conference
would not be parte so active, but I do fore that It would still be a significant
cart.

these you fire the anchoes revision as improcessively a gloute know that you will decrease furthers a visitions. I shall be able to suppost contributors to till in the present break spaces aron. I am indeed looking forward to hearing its, you soon.

Storgarty Vours,

/

An Interdisciplinary Symposium in Verbal Communication

prospectus of a symposium to be held at the distribution during the school year of 1960-61 and subsequently published in book for

Preface

None of man's capacities is so uniquely human as that of verbal communication; none appears nore significant to man's condition and potential. It is appropriate that the study of language and communication should hold the great communication many disparate fields of learning which it has now gained. Rerhaps no other topic cuts across of many disciplines. Adequate comprehendion of communication will not be achieved without drawing on scholarly resource, throughout the range of the arts and sciences. Knowledge concerning trious phases of the subject has been accumulating rapidly in diverse sections of the scholarly rentier which are even yet mostly isolated from each ther.

This proposed conference adll be un the in its broad range of participation by leading scholars from all sections of the frontier in communication research. The configuration is designed to provide above all, for the sharing and inter-stimulated of theories and concepts which can both reveal the gaps and discrepance, and integrate the disparate findings. The "cracks between the sciences" by thus exposed toward the end of unifying a science of communication.

Plan

Scholars who are regarded by their colleague, as outstanding contributors to knowledge of hun a verbal communication will be invited to submit papers. Each will be enduraged to develop his toph in his own way but to relate the findings of his study with those in cognate disciplines and with theoretical formulations of his own. A preliminary list of topics and contributors is attached.

Copies of the symposium papers will be distributed to conference particle particle in advice. Two representatives from not note that each of fifty universities will be invited to participate as auditors, questioners, and discussants.

The symbolium will divide into five sections, presented over a price of five conjective days, and will be limited to beenty-five or fouring papers. The limit is prescribed in order to insure the highest quality of participation and to encompass the published symposium within a single volume.

Questions and discussion till follow each paper and each section. These till be transcribed and edited for publication.

Tentative Topics and Contributors

(Physical Processes)

(The Symbolic Process)

(Rhotoxic old Poetle)

(Language Dehavior)

(Social Science of Communication)

January 23, 1963

Grant

6,141.00

Expenditures: Salary

1,555.54 1959 Salary 1960 2,172,12 Hourly Help

Supplies and Expense Telephone and Telegraph Indirect Cost

16.45 40.10 201.00

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(\$177.55)

6,318.55

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This-is-a-true-accounting as reported to the Fund.

TSS/Chomical Division

Topinical Division

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January 28, 1960

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Enclosed please find the check in the amount of \$1,535.25 which represents the third quarterly payment on the areat side to your University for research on ander the direction of

Sincerely,

Assistant Treasurer

Enc.

14

November 28, 1959

G C

B Frichesed please Aind the check in the amount of \$1,535.25 which represents the second quarterly payment on the great made to your University for research on under the direction of

This check is a month overdue because of a cherical error. We are extremely sorry if this has caused you are inconvenience. The third convertely payment will be made to you on or about January 28, 1960.

Sincerely,



Eno.

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in the expression of the contraction of

product solves

August 10, 1959

C_ Dear

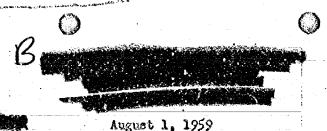
()

Thank you for your nice letter of August 1. I am making plans for attendance at both the sociology meeting and the APA, so while I am in that area, I shall try to drop by for a get acquainted meeting with you. At any rate, we may be able to chat for awhile in

Best regards.

Sincerely yours,

C Executive Secretary

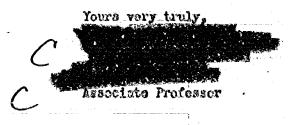


B

It was very good of you to take action so soon after returning to your office. I do hope that this did not involve too much inconvenience on your part, although I rather suspect it may have. Readless to say, I was delighted to know that final approval had been exceeded actional and that the funds had already been transmitted by the time I got your letter.

I have been able to continue the research without interruption this summer, and I am making good progress. I will from time to time inform you of these specific details as regards the research, and I will, of course, file a complete report with you at the time of the completion of the research, the publication of the book and I are writing, or the termination of the great from your organization.

tells me that you do quite a bit of traveling around the country in connection with your work. I do hope that I will have the opportunity to see you, not only to make your acquaintence, but also to discuss with you many aspects of our work which cannot adequately be discussed in a proposal such as I have filed with you. My sincere thanks to you and your organization for your kind consideration and support.



CB

C & C C

The Directors have approved a grant to the in the amount of 50. 41. 00. for a research program an proposed is Enclosed is our chock in the amount of \$1,535. 25 which represents the first quarterly payment of the grant.

The following conditions apply in the utilization of these funds:

- 1. A terminal report is to be submitted after the completion of the chase of research covered by this grant.
- 2. A terminal accounting of funds expended in obtaining, processing and reporting data shall be provided for our records.
- 3. After the completion of your research, any funds remaining shall be returned to the
 - B 4. Equipment purchased with these funds shall be conveyed to the
 - 5. If, at any point, further research in this area is deemed not expedient or scientifically profitable, the hall be notified in order to negotiate for termination of the grant.
 - 6. Any technical reports or papers which grow out of the study supported under this great shall contain the following notice: "This study was supported in part by a great from the

Other than the above conditions, it is required that the funds be expended entirely for the research designated and that no profits occurs to any individual.



July 28, 1959

()

The Board of Directors and the scientific advisors to the Join me in wishing you well in this endeavor. Please call upon us for any assistance that we may be able to provide.

Sincerely yours,

C executive Secretary

Enclosure

July 28, 1959

C B

As you can see by the enclosed letter, your grant has been approved. I hope the delay in transmitting these funds has not caused you too much inconvenience.

The Board of Directors and the scientific advisors to the Society join me in wishing you well in this endeavor. Please call upon us for any assistance that we may be able to provide.

Sincerely yours,

Executive Secretary

Enclosure

July 21, 1959



Deck

We have received your letter of July 15 but, unfortunately, has been out of town most of this month and will not return until July 27. I will bring your letter to his attention upon his return, and I am certain that you will be hearing from him very scan.

Sincerely yours,

C Secretary to

15 July 1959



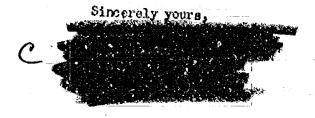
Thank you for your letter informing me of your board's favorable response to my application. Your letter arrived in time to permit me to resign my summer teaching position without inconvenience to the department. Thus, I have able to devote full time to the research program.

Right new, we are continuing our interviewing and we are also working on a code system for handling the open-ended responses. and I are making good progress on the book.

I wonder if you could tell me when your finance committee will meet and approximately how long it will take for the transmittal of funds.

The grant, from which I am paying my interviews and typist, is running low, and, of course, I am concerned about my own summer salary. I realize that not much time has elapsed since I received your letter informing me of your board's response. I do hope this inquiry is not in poor taste.

Again, my thanks to you and your board for your kind consideration.

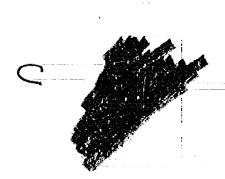


April 23, 1959

MEMORANDUM FOR

SUBJECT: Project proposal,

of the Board. While the comments are not unanimous, was of the opinion that the modesty of the proposal and the possible insights gained for activities well justified the expenditure. In addition, our consultant, has strongly recommended that this investigation be supported. My own feelings are that has a young, vigorous, and growing Department of that is worthy of our interest. I should like to see the proposal supported. It fits with our broad requirement of developing means for influencing group behavior. Please note that I agreed to provide an answer to the University by May 18, if at all possible.



Merch 18, 1959



()

O Dear

Your proposal has been received and transmitted to the Directors and research advisors of the for their action. We feel that we should be able to respond to your proposal within 60 days time. I found your ideas stimulating and the week you propose interesting and important. I hope that our local will see fit to provide some support for your activity.

Sincerally yours,



3

Merch 18, 1959

MIMORANDUM TO: The Directors

6

SUBJECT: Project Project - Research Program on Cologary "C"

3

took and is a Colonary "C" proposed to the "You funds to be used in the current of the study of

Your comments and recommendations will be appreciated.

C

Executive Searchary

 $(\dot{})$

ther 2



10 February 1,959

B

Reclosed in two application for a great from your organization. I have must no attempt to proceed the proposal in the terminology of the analysis to for your acceptant to decide, I do think that the various projects in my program tie in rather well with the page puts of your consistion as cutlined in your trocheurs. Each of these studies chals with an assect or aspects of human behinder which from clearly transfers of the broaden of the studies are primarily concerned with this relation or the broaden of the studies are primarily concerned with this relation or or the

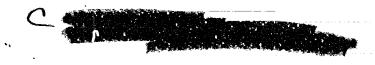


I mentioned in my provides latter that I was castles carport primarily for the study of the development of the book which reports on the more complete places of the proposes. I chould have rentioned that I would also like to use a small pure of the money (but about water the heading labeleding, and coding) for limiting the processing of the date of the cital and the lateratury otables.

Thanking you for your kind consideration, I to.

Sincerely yours,







MEMORANDUM	10

SUBJECT: Project Proposal - Category C

The proposal of which

is the principal investigator is one that I

disapprove.

would make the following suggestion(s).

I regard this as a very worthwhile study which fits, I believe, within the purpose of our the investigator has requested a very modest degree of help and I feel that the would get much more than its moneys worth out of a person who is obviously productive and has shown that he can carry things through to completion. I would heartily recommend the approval of this project.





MEMORANDUM TO:

SUBJECT: Project Proposal - Category C

The proposal of which

is the principal investigator is one that $\hat{t}_{\rm o}$

αρρτόνε.

disapprove.

would make the following suggestion(s).

I would not who has wellend

Director



MEMORANDUM TO:

SUBJECT: Project Proposal - Category C

The proposal of which-

is the principal investigator is one that I

approve.

🧪 disapprove.

would make the following suggestion(s).

I am doubtful about this. It seems pretty far out of our line. It is quite possible that the project has merit in its own field. But the field of is an enormous one, and if we get into it we should be doing very little else. I do not feel strongly about it, and should not urge this point of view if a majority of the Trustees felt otherwise.

Direc

January 25, 1963 /

Sinceraly,

Permit me to acknowledge the receipt of \$3000 from the for purposes of continuing with in conjunction with

The funds were allocated as salary: \$1500 to and \$1500 to mysolf.

I hope this is the information that you require and stand ready to be of any further help. I do wish to say that it is our hope to be able to come back to the work which was interrupted by the press of other commitments in the not too distant future.

I have examined and approved the submitted expenditures.

> Chief TSS/Chemical Division

Dates

7

C

Dear

I am replying to your letter of February 1st with apologies for being so tardy. I appreciate your enclosing the payment with your letter since my finances were becoming a bit tight.

The seconomy still baffles me in that some items which one might expect to be costly — such as public utility services and auto repairs— are relatively inexpensive. On the other hand, an edible piece of beef raised here is high, and an orinary sport-shirt (manufactured at sweat-shop wages) costs about what it would in the U.S. I am most awased, however, at the costliness of routine clerical service. To get a two-page questionnaire stencil typed and 100 copies mineographed cost me nine dollars. All in all, budgeting becomes something of a challenge with credits and debits assuming unpredictable and curious proportions.

You inquired about the nature of the documentary and statistical materials which I should like to use as supplementary sources. For one thing, I should like to sample the marriage and divorce records at 5-year intervals for the last 15 years, not only to discover the general trawls but also to note much significant factors as age, occupation, place of residence, etc., of the individuals involved. These files are at the I had hoped to get something of use from the birth records also, but the illegitimacy rate is so high (about that I have discarded the idea of examining these.

The can provide records on the educational training of teachers and also school enrollments, which could be sampled in the same way as marriage and divorce statistics. Lob classifications and income trends are recorded at the and the number, type, and location of new phainesses can be discovered at the

I should also like to get some indications of the prevalence (and incidence, if possible) of physical and markal illnesses in a sample of industrialized and non-industrialized communities as well as the trends in the causes of death for various age groups. Increases in and the distribution of doctors, nurses, and hospital and clinic facilities would also be well worth recording. I can accure medical materials of this kind in more or less adequate form at the

in which about 150 cases are now being kept. This is a really

unusual opto tunity, for welfare agencies are generally reluctant to open their files in this way. I believe the cases will reveal something of the consequences of rapid social change for family roles and the individual personality.

How long it will take to record these materials is difficult to estimate precisely. I can secure the services of one or two people who have had experience with the and who should therefore prove more efficient than an ordinary clerk. The work can also be hastened by my providing them with schedule forms on which to record the information. My best guess is that the work would cost somewhere between two and three hundred dollars. If a supplement could be provided for the higher figure, I would naturally expect to return any unused funds to the

Many thanks for your friendly and encouraging latter. I / shall write again later to let you know how things are coming along.

Sincerely yours,

C

February 1, 1960

Dear All

Enclosed is a copy of a short interim report from

We made a grant to him for \$6,000 on September 1,

1959. He got so excited about the prospect that he left immediately.

This is the first word we have heard from him since he has been in the field.

On page 3 he discusses the availability of case materials and vital statistics; but points out that he is unable to exploit this himself in the short time he will be there. Do you feel that we should extend his grant by a few dollars which is needed to employ an essistant, or should I just offer to punch his card for him? Thanks for the advice.

Sincerely yours,

Enclosure

February 1, 1960



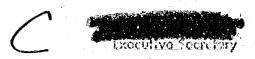
Thank you for your very informative letter of January 25. It sounds to me as though you are obtaining some marvelous field experience. I hope its cost in energy and enthusiasm is not prohibitive.

I am not disturbed by the changes you have had to make in your design. In behavioral science I have observed that field work usually requires this kind of wedification. But field work similar to that of the Naturalist accomplished on human behavior frequently makes as great a contribution to theory as experimental manipulation.

It seems a shame that the documentation available in the health and vital statistics can not be obtained during your data collection period. It is possible that contained within this material is validation of some of the indices you may actually be studying. Do you have any idea how much the services of an assistant would be for the period of time necessary to collect the data? Can you provide more substantive information about the files and their relevance? Attached is our check for \$3,000 representing final payment on your great.

Best regards.

Sincerely yours,



fric:1

74. Van. 25, 1830



I want, first of all, to thank you for your Christmas card. It was especially velcone since I was finding it difficult to believe that the Christmas season had roully arrived, what with the temperature in the high 60's every day and the traditional during the holidays.

I have been keaping to write you for several weeks to let you know how my project is going. So far it has been a mixture of successes and failures. Things are moving along quite well at present, but the first two months were frustrating in the extreme. I soon discovered that living costs here are for higher than I had anticipated—although I know beforehand that some items would be expensive. Crizinally I had planned, as you know, to take up residence for several months in a succession of villagos. Rental of a permanent home-base withingreach of the University and proved so high, homever, that to also secure room and board in the field became prohibitive. The Goom problem I encountered was transportation. Public facilities in the "metropolitan" area are adequate, but the rural areas are mostly unserved except by trucks and donkeys, both exceedingly elow and unreliable. Hence, I bought a small, over-priced second-·bill car. This I did with an apprehension which was well justified, for it has developed a quite remarkable series of major and wince illnesses. Yet in all justice to the machine, it has so Exalvers gotten as here. even from exceedingly resots villeges in the mountains. (George todly, even mine of ruphing has become a major itos of empares. To have a two-page atometh out and 100 copies of each page ush off costs eight dollars and fifty (ataos

We inability to reside in the field made the arranging of interfiers difficult. Each too such time was being consumed in simply locating interviewes. Hence, I decided that the only way to speed up by data collecting would be to switch to group interviews and questionaires. (The latter I have had to simplify because of the rear-illiteracy of many rural people.) Fortunately, there are now organized groups which I can utilize even in the mail villages. These groups have been formed among the mean chiefly by the

b equipuroximg through these groups to get at the people's

attitudes toward various changes, and at their reasons for accepting or rejecting those changes. Using groups means that I can include more people than I had originally thought possible. It also means, of course, a sacrifice of much of the depth which can be secured through individual interviews. The pressure of time has left me little choice, however. Too, I have been able to add a dimension not included in the original proposal: I am collecting data on the agencies largely responsible for changes in the villages, namely, the

in the villages, namely, the and similar organizations. Regarding these, I am trying to get at the image which the field-agents have of their organizations' projects; also their assessment of the factors involved in such planned changes and of the people toward whom the projects are directed. That will, I hope, result will be a picture of the interaction between certain agencies of change and the human targets of change, not merely a discription of the changes themselves.

My initial work on the project has been in a guite isolated region here near the one which has been exposed to an agency known as the Pati erened somewhat after the T.v.A., it's program La includes soil rehabilitation and conservation, reform of farming practices, and community and home development. The Authority's chairman and the various field agents-as well as the people of the valley-have been extremely co-operative, and I feel that I have collected some interesting material despite a slow stard. Furthermore, the changes occurring here are even more relevant to the field of then those I had planned to deal with in my original prospectus. I might add that the tis a growing and growing area, many of the villages lying more than 5000 feet above sea level. Vegatables and flowers are also grown, but physical erosion and human conservation have made the work of the Authority difficult. The villages lack either electricity or telephone, and the roads are scarcely more-than-inprovidenkey trails. Driving these reads is a rather adventurous business. They climb steeply, turn at blind right angles around sheer cliffs, and rarely sport the luxury of guard-rails. I have frquently had to postpone trips into the area, because any heavy rain brings on landslides of sufficient size to block the read. Clearing the vey is usually done by hand-labor though occasionally bulldozers are used. Lead this sound overly dramatic, let me say I have not been running any actual-risks.—But the driving is a need bit more exciting than anything I have encountered in the U.S.

whether the patterns of interaction between agency personuel and the people are similar and whether comparable obstacles to change exist.

In short, the approach of my project had shifted from community studies to case studies. While my results may have more limited theoretical implications than might have been the case in the project I originally proposed. I think there is real value in expanding it's substantive scope. The changes—which are taking place go far beyond the family, the area to which my first propectus was limited. Transformations are going on rapidly and are much less related to the industry than I had thought earlier.

One thing dheays me. There is a wealth of documentary and statistical material which I shall not even be able to tap for lack
of time. There are, for example surprisingly complete records
kept by barious agencies concerned with health, case materials
accumulated by family guidance agencies, vital statistics, etc.
I very much regret that I did not originally plan for adequate
assistance which would have enabled me to record such valuable
source materials. Would it be appropriate for me to request a
small supplement for additional assistance in this work?

I hope I have given you some notion of what I am doing and the derection the project is taking. I hope also that neither you nor the will find the alterations disappointing. They have been made only because of the pressure of circumstances. It is my own feeling that the alterations constitute both gains and losses, with the gains being the greater.

It would be absurd for me to try to express adequately my gratitude to the for making this work possible. Apart from the Esterial which is being collected, and I hope a fair amount of it will be publishable, the experience simply of being in an under-developed region and doing the field work is tremenduous.

More is no question but that my research and teaching in the future will be markedly effected by the work I am doing here.

By profound thanks to the end to you personally. I shall be writing again later.

Sincerely yours,

Pis Kindly forgive the appearance of this lotter. The typewriter which I borrow has peculiarities which vary from moment to moment.

September 1, 1959

C Dr. B

🕜 - Foor L🍽

In confirmation of the vertous telephone conversations you have had with in and excelf, we are happy to inform you that the Board of livestors have as roved your grant in the amount of 6,000.00 for your study of In accordance with your wish, we will make the grant to you in sect-annual payments. The first of those payments is enclosed and the second payment will be due on dearch lat.

The only condition that applies to the use of these funds is that any technical reports or uspers which grow out of the studies supported under this grant shall contain the following notice: "These studies were supported in that by a grant from the

The Board of Parectors, Fr. and the scientific advisors to the join me in wishing you well in this endeavor. Please call upon us for any assistance that we way be able to provide.

Sincerely,

Assistant Treasurer

Prolosure

74-74

B

Jeno 2, 1959

MEMORANGUM TO: The Christian

C, B

SUBJECT: Trajour Proposed - Cerepory C - 177.

Attached is en unaligited proposed from Ur. If the connected at my request or follows:

History to be at memeralous importance if we are to study eaciel change are likely to be at memeralous importance if we are to study other rapidly changing countries initially control, e.g. Foulfic uses, "blood test," Middle Cent etc.

This nearly approach recent for races cophibited and than most and the notion of comparing several communities to tale structure is great.

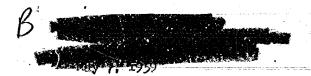
I think this lits in well with engoing work and could provide a great contribution to the theory of spotal change. Also it's charge the little relempessivit.

"I deals know the man, but he present his case very well."

I have no additional recommendations in existing you to a decision respection this great. The applicant has demonstrated personance and inequation in his province water which this property would extend. I feel that we should go no further than support a 12 month budget or this timedit you so decide, at the end of which we could evaluate to determine whether additional support will be justifiable.

C

Com 3





Gentlemen:

A letter I received from carlier indicated that it was rather unlikely that applications for 1959 would be considered at this time since funds are already largely consisted. She did not state, however, that such applications would be completely ruled out of consideration. For this reason, and because I failed to inquire that budget limitations exist, I have described three alternative time-tables and two alternative budgets.

If my proposal appears obscure or incomplete in any respect, I should greatly appreciate the opportunity to clarify or elaborate such points as you may single out.





SCC TAT CHARGE III

Cojectives

The project proposed here has two, related objectives. One is to secure a description of recent charges in certain aspects of the economic and the family systems of the second objective is to discover how changes in roles within the family come about and the extent to which they are associated with alterations in the economic life of the people. In other words: What is the relationship between changes in economic roles, on the one hand, and on the other hand, changes in people's expectations concerning family roles and their behavioral conformity or non-conformity with those expectations?

The caphasis on role as an analytical concept in the present proposal is derived from its being a major element in the social systems of human society. Modification of these systems is the process usually conceived as social charge.

My immediate plans for materials gathered are to use them as the basis for a monograph on the relation between economic change and family structure as well as for articles in professional journals. I hope thereafter to undertake a text on social change which would incorporate not only the descriptive data on but which would, more importantly perhaps, utilize my theoretical results concerning role as a frame of reference.

Beyond these immediate projects, I intend to continue my teaching and research in the field of change with the hope of stimulating others to make it a major area of study. The ultimate objective, of course, is increased understanding and prediction of the social consequences which may be expected when man's relationship with his environment is altered. I should like to think, more specifically, that within another six or seven years a return to might be possible in order to follow the kinds of developments encompassed by the present study.

Method

exhibits characteristics making it ideal for an analysis of social charge. Recent and rapid economic innovations have occurred in a few communities with the advent of In several other communities. has involved economic transformations over a longer period of time. To discover the implications of these economic forces. I propose to compare a and a mining community with each other and both of these with a third community still anchored in the traditional agrarian way of life that predominates

Interviews and questionnaires will be directed at a sample of approximately 50 of the residents of a representative agricultural community to discover how they define the rights and duties of such family statuses* as father, son, wife, etc. (The samples will include the youth and elders as well as the parental generation within the family.) A small number of interviews will extend beyond scheduled questions in order to schieve greater depth and to aid in interpreting the quantitative data.

"A given status may, of course, comprise several roles. The status "father", for example, may include such component roles as disciplinarian, teacher, companion, etc., with relation to the status-occupant's children.

In similar fashion, data on role-expectations will be secured from 50 residents in each of two communities which have been transformed into and centers to permit comparisons among three communities unlike in their economic structure.

Several weeks after the initial interview concerning role-expectations, each respondent will be interviewed again to ascertain his role-behavior with respect to his family statuses.* Thus, comparisons can be made to reveal which statuses and roles are nost marked by behavioral deviations and whether the deviations are more prevalent in the economically changing communities.

Schedules will be suployed during the interviews in order that results will be sufficiently uniform and accurate to lend themselves to statistical analysis. Questions will permit a range of answers from extremely positive to extremely negative so that degrees of commitment and conformity to role-expectations can be ascertained. It should be possible to supplement the interviews with questionnaires administered to larger samples of residents during meetings of community organizations and - in the case of younger respondents - during school hours.

Lypotheses

- 1. Some deviations in behavior from role-expectations to will occur in an economically stable community as well as in economically changing communities.
- 2. Echavioral deviations will be more prevalent in an aconomically changing community than in an aconomically stable one with respect to those rele-expectations which are characteristic of both kinds of communities.
- 3. A significant difference will exist between rele-expectations in an economically stable community and expectations concerning the same roles in communities which have undergone either early or recent economic transformations.
- 4. Compared with an economically stable community, there will be more difference in the role-expectations in a community which has been undergoing economic change for a long period than in one where economic change has been relatively recent.
- 5. Vexima consensus concerning role-expectations will be found within an economically stable community, less consensus within a community characterized by recent economic changes, and minimum consensus within a community where economic change has been of relatively long duration.
- 6. Within a given status there is at least one role which is especially significant to the structure of that status and which might therefore be called a "pivotal" role. Its significance may be partially expressed in the hypothesis that alteration of a pivotal role will more frequently involve changes in other roles encompassed by the same status than when the initial alteration occurs in a role which is not pivotal.
- *I am indebted to the second of the second one which be used successfully during his field work in the
 - **In all the hypotheses, reference to roles and statuses pertain to those within the family.

7. Alteration of a pivotal role in one status will nove frequently involve charges in roles encompassed by related status than when the initial alteration occurs in a role which is not pivotal.

Significance of the Project

One circumstance contributing to the significance of the study proposed here is the fact that very little is known about recent changes in an area of increasing economic and political importance in the From a theoretical point of view, the project's significance lies in its departure from traditional studies of social change. Whereas much work in this field has involved descriptions - often impressionistic - of broad trends in societies as a whole, the intention here is to employ a more restricted and more rigorous approach to the subject.

It might be added that the concept of role, though holding great promise for the study of social change, has previously been little used for this purpose. That hitherto unexplored facets of the problem can be opened up is indicated by the hypotheses posed above. Additional facets which are not covered by these hypotheses and which cannot now be anticipated will inevitably be suggested as the work proceeds. Fresh leads for analysis will, it is hoped, be discovered and new theoretical questions raised. For example, should hypotheses #6 and #7 be verified, pivotal role would prove to be a highly useful and perhaps a key concept for further studies in social change.

In short, role should prove to be an exceedingly useful concept in pin-pointing what happens to the individual and the groups to which he balongs when one aspect—in this case, the economic—of his life is altered. While not stated in my hypotheses, I suspect that in the case of cociety, the pivotal role of both mother and father is the role of breadwinter. Typically, the male or female head of the family has lived close to the soil and has acted as superintendent and leader of the family's joint agricultural efforts. When this family head becomes dependent upon wages, is himself subordinated to the directions of others, and becomes occupationally isolated from spouse and children, many elements are necessarily altered: his conception of himself, their conceptions of him, and the various role relationships which identify them all with the family unit.

Time Required for the Project

Field work is exceedingly time-consuming, especially during its initial stages and is present. I do not see how the materials for this project can be gathered in less than twelve months. To permit some preliminary enalysis in order that gaps in the data may be discovered and filled by brief returns to the field, an eighteen-month period would be preferable. One factor is, of course, the quality and amount of assistance (referred to below) which can be secured from the

*Various studies have, of course, been done in the area, many of them reported in the quarterly.

*Three books on the area are

These works have been concerned chierly with existing social patterns and problems rather than with social change.

Funds Recuired

The funds needed for the project will depend upon the amount of time spent in the field. Sabbatical leave arrangements are sufficiently flexible to permit one of two plans: I may leave in August, 1959, and be away for the year at half salary or I may leave in February, 1960, and be away for six months at full salary. Originally, I had hoped to follow the former plan, but if financial assistance cannot be assured until 1960, I could not leave until February. In this case, I would hope to be able to extend my stay through the academic year 1960-1961 on a leave of absence without salary. A third alternative would be, if necessary, to limit my leave of absence without salary to the fall semester only, leaving in February, 1960, and returning in January, 1961. Budget-wise, the first and third alternatives would require the same amount of financial aid; the second alternative would cost about 75% more, but would increase encrossly the scope of the study. In round figures, the twelve-month plans would require a grant of \$6,000, the eighteen-month plan, a grant of \$11,000.

Twelve-month Budget

Transportation \$ 500.00
This figure includes my personal round-trip fare to that an estimate of the cost of moving about

Subsistance: funds in lieu of selary. \$4,500.00 My salary next year will be \$3000 if the newly proposed scale is established, \$8,400 if it is not. Whether funds in lieu of salary are distributed over trelve months or concentrated in the fall semester, the figure would be identical.

Tape recorder

S 300.00

This is to be used to record unstructured interviews, i.e., those which are not restricted by "yes" and "no" answers and which permit the respondent to talk freely with a minimum of direction. It also permits the interviewing of several persons simultaneously and documents the interaction between the respondents.

Assistants

\$ 700.00

This is primarily for the kind of assistance in the field described below under "Assistance and Facilities", but it is also for assistance in coding materials to be transferred to LBM cards.

Total

\$6,000,00

Eighteen-month Braget

Fransportation

This includes the same figure for fare to and from and for local transportation.

Subsistance: funds in lieu of salary.

\$ 650.00

Appe recorder \$ 300.00

Assistants \$1,000.00

Total \$10,950.00

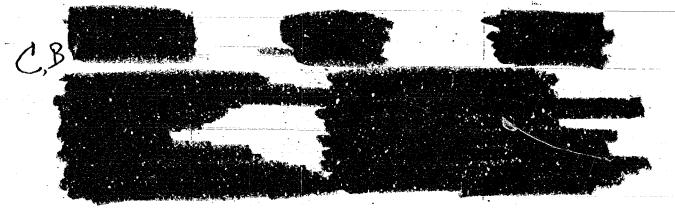
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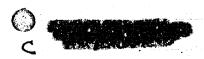
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Professional Record and Previous Work



Publication:

Progress of an indirect kind has been made in the direction of pre-testing certain questions for the interview schedule. This has been done in connection with my investigation locally of charming poles of older family members, a project supported by a the data in hand have been secured through four hundred questionnaires which have been coded and the results of which are now being processed on IBH cards. A major objective of this project



is to throw light on general aspects of role and role change in anticipation of the broader study to be done in . I should rereas add that my participation as a . In 1958 was largely notived by my conviction that . In one of several fields which can provide valuable concrete data for the analysis of social change.

Actually, my professional interest in social change dates back about six years, and for three years I have been teaching both a graduate and an undergraduate course in the subject. Knowing of my interest in the field.

four years are excessed that I midertake a volume on the study which resulted.

Is therefore concerned with social change insofar as that area could be incorporated without obscuring the book's major objective. Since completing that work, I have come to feel that, for the purposes of illuminating the problem of social change.

The provide a less promising body of data then does a smaller social system such as the family.

Other Sources of Assistance

I have written to the.

Its director has empressed an interest in my proposal, but the deadline for applications for 1959-1960 had passed before Lapplied. There is no doubt that some assistance will be forthcoming from our its funds are extremely limited, however, and must serve a faculty of over grants are always very modest. As a result, I cannot hope for nore than \$500 from this source.

Commence



April 25, 1961

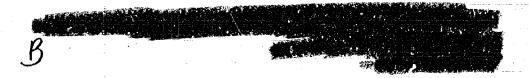
Memorandum to:

C Subject: Project

Enclosed is a summary report of the

Further products will be forthcoming, but
possibly you would like this description to become a part of your file on the task.

> You will note that, as informally agreed, approval has been given for the use of unexpended funds for continuation of the work. A final accounting will be rendered on completion.



×.

April 25, 1981



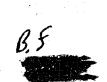
Thank you very much for your letter of March 29 and your report summary. It sooms to me that you have been most productive end, of course, we are gratified to have been a part of your work.

A discussion of your exponditures with my finance Committee has resulted in approval for the use of the left over funds in continuation of your work. We will require a terminal accounting of the funds used and acknowledgement of the support for any additional work completes.

Again, we are pleased with the progress and hope you will keep us Inferred as the work goes along.

Sincerely yours,







Merch 29th, 1961.



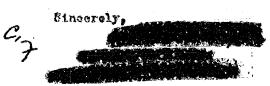
Factored is a copy of my report covering the two years Harch, 1959 - Harch, 1961; for which the great was given. I can sending you several more copies under separate cover.

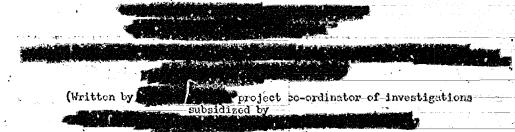
In view of the smitiple sources of financial support for our work, I have included in the report all of the studies related to the adjustment and assimilation of imagrants carried out by our Department of Psychology. The sources of finance are indicated asparately for each project.

The University Accountent has not yet been able to complete the figures as the expectiture of year grant. My catinate of the current balance is \$2500, but this could be \$500 away from the true figure. The reason for this residue is partly that the grant was a sufficient and typing and computing corvious) and partly to the relative unavailability in this isolated community of the psychologists who were required to do the research work and to replace my teaching corvices.

Perparator the descriptions of the studies in the report will show that several of these are still in process of enalysis and preparation for publication. There is enough neterial to occupy by time during the next year, and perhaps for the next two, in critical than up for publication. I therefore request your found of Directors to allow up to retain the balance of the funds to next further costs of (a) teaching replacement for me, if this should be feasible, (b) computational and typing costs and (c) other publication seets.

& B of the Accounting for funds to date will be provided by the Accountant





INTRODUCTION.

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Studies on the adjustment of immigrants have formed a notable part in the sociological literature of the first half of the 20th Century.

Readily there comes to mind such studies as The Polish Peasant by Thomas and Zmaniceki, The Ghetto by Louis Wirth, Immigration and Assimilation by H.O.Duncan or Americans in the Making by W. C. Smith.

The sources of the material used in these studies have been historical documents, personal documents and testimony, demographic records and ecological material (crime and suicide rates, for example).

These data have traditionally been treated as group phenomena sometimes illustrated by life history reports, and the investigations have seldom used any of the more exact techniques that have been developed in recent years by the behavioural sciences. The published reports sometimes come close to being journalistic descriptions rather than scientific statements; doubt often exists regarding the representatives of the samples used, the objectivity of the data gathered and the soundness of the interpretations that are made. A review of the literature would suggest that little attempt has been made to consider the degree to which generalizations can be made either between different ethnic groups or between immigrants into different countries.

In 1952 a series of studies into the adjustment and assimilation was insugurated at the of immigrants in with an investigation by the attitudes of immigrants in their first year after arrival. In this and in subsequent and immigrants, a social psychological approach was adopted, and the data were collected by both questionnaire and interview schedule. "Correlation coefficients, factor analysis, cumulative scaling and other statistical techniques have been used to study the relationships between the various measures of adjustment and assimilation and other background variables such as sex, age, econonic circumstances, home background, A unique feature murital status, length and place of residence in of some of the studies has been the use of an control group in order to assess the meaning of some of the measures obtained.

In order to enable comparative studies to be carried out on social assimilation, attempts have been made to develop a standard conceptual scheme which is applicable fully or in part to all relevant situations. Thus (1957) presented a tentative model for this purpose analyzing factors such as the immigrants' attitude towards the new country, the accommodation of his

behaviour, his acquisition of the new culture, his identifications and reference groups, the adaptation of his social norms and values and the attitudes towards the assimilation of immigrants held by immigrants and by

This multi-aspect model has contributed to some of the concepts used in most of the investigations at the and especially to those by assimilation of trainees into the assimilation of trainees into the assimilation of dimmigrants. A completely revised version is being used in the planning of the current study of the assimilation of immigrants.

see below)

The studies by have concentrated on three aspects of the adjustment and assimilation process: satisfaction, identification and acculturation. He has devised standard methods of measuring these which have been used in similar form in several of the studies.

It is hoped that these attempts to develop a generalizable conceptual scheme and standard research tools will enable further comparative studies to be carried out, and will ultimately further the desirable extension of the insights gathered in the field of social assimilation to the general body of behavioural science theory.

FINANCE AND STAFF.

children by

The finance for the studies came from three sources: the which has provided research grant salaries to students, typing and computational assistance and general maintenance expenses.

gave a most generous grant to the University to provide half-time replacement for teaching services for two years, to pay the salary of research assistants, and to subsidize travel, publication costs and general running expenses.

grant to cover interviewing expenses in the large scale investigation by

of immigrants in

Staff and unpaid research students engaged on the various projects March 1959 - February 1961 were as follow:-

Staff:

and the following senior undergraduate students:-

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INTERSTATE AND INTERNATIONAL CO-CRDINATION.

In 1960 made a short trip to and the to investigate work on the assimilation of immigrants and studies of emigrants. This trip which was financed by proved to be a valuable source of information on current work. The observations are summarized in the report of April 1960.

In August 1960 and took part in a conference on the adjustment of immigrants which was called at the This trip was subsidized by the The proceedings, including Dr. paper and Dr. two papers, are being edited by Dr. and published in duplicated form by the

SUMPARY OF RESEARCH PROJECTS CARRIED OUT.

The principal research worker and the sources of financial support are indicated.

1. Immigrant values questionnaire.

C (Principal research worker assisted by Supported completely by

In order to study the relationships between the assimilation of immigrants and the convergence of their opinions and values to norms, it is necessary to have a list of such norms. A pool of items was drawn up on which it was thought that and immigrants would have different opinions, and after validation and cross-validation were carried out on immigrants of varying origin and educational standard, a scale of 20 items was devised. This scale was subsequently shown to relate to other measures of the degree of acculturation of and immigrants.

Thus, a useable instrument has been devised for measuring convergence of norms.

values with which immigrants must come to grips in the course of assimilation.

Compared with immigrants, appear to value conformity rather than self-expression, superficial rather than intimate social contact, they are socio-egalitarians, but are less tender-minded concerning human relations, and they are less pessimistic about the future of the World than the immigrants.

In addition to its usefulness as a measure of assimilation, the

<u>Publication:</u> It is planned to write a journal article on the construction of the value scale.

2. The role of religious institutions in the adjustment of immigrants

(Principal research worker: thesis supervisor: Supported completely by

The aim is to study the effect of religious affiliation, or a non-affiliation, on the adjustment and assimilation of ammigrants.

Variables include the degree of general and specific satisfaction of needs, acculturation, social participation, naturalization and identification with Several hypotheses are being tested on the effect on assimilation

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of factors in the various religious institutions such as their cohesion and their ethnic identification. The Questionnaires were sent out by mail and 654 useable replies were received, which represented 54 per cent of possible eligible respondents.

A Factor Analysis was carried out and after rotation three factors emerged. The first has been called Satisfaction; the second is hanguage, but it may be regarded as an acculturation factor. It includes facility with on arrival and at the present time, years of education, and the difficulty of making the necessary adjustment. The third factor is that of Identification which includes naturalization, nationality of friends, membership in voluntary organizations and the assessment of one's own identification. When groups were compared on these scales, it was found that the group was lowest on all three. Also low on Satisfaction were the Highest on this scale were the Congregations together with the group came out On the Identification scale the out highest. low, while the ongregations together bame out highest.

The analysis is proceeding and the results are being incorporated in Mr. Thesis. Publication will be considered after the thesis has been presented.

3. The assimilation of immigrants.

Frincipal research worder - thesis supervisor - Major financial support from Land part support from

The aim of the study was to investigate factors related to the assimilation of post-war immigrants. A sample of 118 immigrants (mothers and fathers) were given an extensive interview in their homes.

Some preliminary results: Factors such as mixed marriages, or level of education, which have been conventionally accepted as enhancing assimilation were not significantly related to it. Other factors such as the feeling of culture superiority, often exhibited by immigrants coming from proved to be highly significantly related to assimilation.

An enquiry into the attitudes of parents to the assimilation of their children proved most rewarding and interesting. A high correlation was found between the parents own assimilation and their attitude towards the assimilation of their children. There were no parents who possessed both positive attitudes to their own assimilation and negative attitudes to their children's assimilation.

Regression in assimilation occurred in a relatively high proportion of the immigrants. In this respect two types of immigrants may be discerned: immigrants who regress in their identification with that but still maintain contacts with them, and those who cease to identify and withdraw from the group, severing all connections with it. In this last group, regression occurs both at the psychological and the overt behavioural levels. Having rejoined the ethnic group these immigrants use the language less frequently, have fewer contacts with and generally appear less assimilated than before.

The study will be presented as a Ph.D. dissertation by within the next twelve months.

(Principal research workers Supported completely by made a study by mail questionnaire of the ntellectuals residing in c assimilation of male as refugees in the period Fifty useable who had come to replies were received (58 per cent of the population) which covered various aspects of acculturation, language knowledge, attitudes to and convergence of opinions to Some of the more interesting findings: it was verified that was related to a favourable attitude social participation wi was a pre-requisite towards assimilation, but that some command of bore no relationship for this participation. Otherwise command of to attitude towards assimilation. Married men were more-favourable-to assimilation than were unmarried. In 1960 the questionnaire was mailed again to the Fifty-one replies were ← intellectuals still residing in received (30 per cent of the eligible subjects) of whom 34 had previously replied in 1955 (85 per cent of those still residing in The administration of the questionnaire on two occasions enabled changes to be studied and it was noted that the increase in identificaduring the five years interim period was marked, but there tion with was little increase in adjustment satisfaction or acculturation variables. The variables on both occasions were intercorrelated and factor analyzed and four factors were found which showed a similar pattern of leadings on both occasions. These were named Satisfaction, Social and Occupational Adjustment, Identification and Acculturation. There was also a more general factor which was called Integration. on this study A monograph has been written by and it is now being submitted for publication. Assimilation of (Frincipal research worker -Major financial support from Pertly supported by This study was conducted in a semi-self-contained industrial community of approximately 2500 inhabitants on the outskirts of community which was newly formed in 1954 , 35 per cent consists of approximately 45 per cent immigrants, mainly imaignents and 20 per cent non-Interviews were conducted with a random sample of both husbands

These interviews covered a wide range of the households. study described topics already referred to in connection with the above, plus a great deal of background material on the immigrant's pre and post-migration experiences and attitudes. A preliminary analysis of the results suggests that there are three scales related to assimilation conidentification with tained in the data: satisfaction in These three scales, in turn, form a scale of and acculturation. assimilation in which satisfaction precedes identification, which precedes acculturation. These scales hold for both males and females.

An amlysis has been made of the factors related to degree of assimilation and the position of the immigrants on the three sub-scales. Among the findings were clear indications that the assimilation of males is influenced by the perceived happiness of their wives. The wives are more resistant to assimilation than are their husbands. The findings indicate the paramount importance of satisfaction on the job for the men's assimilation progress.

The study has been written up in monograph form and has been submitted for publication.

immigrants in 6. Assimilation of

(with the assistance of Principal research worker B supported by

This study represented a replication of the

(see above) in the same community, plus some other features.

A sample of 40 male heads of households were interviewed interview study, and on a schedule that combined features of both the The interviews were divided at questionnaire study (2 above). Some of those interinterviewer and an viewed had been respondents in the questionnaire study and others had failed to respond.

The purposes of this study were:- .

to study the adjustment of immigrants in a known environment. as a reliability check on the questionnaire study. to elaborate the information obtained in the questionnaire study,

and to obtain information from non-respondents.

to compare data obtained by a fellow-national of the respondents f (d) to those obtained by and

to cross validate the questionnaire (see above).
to compare results for this group of subjects with those obtained immigrants and other groups. for the

Results:

imaigrants were a little less satisfied than the and considerably less identified and acculturated. A scale analysis supported the validity and usefulness of the C sequences propounded by

Several differences were found between the data obtained interviews and questionnaire studies, and also between Results (Cont).

the and the interviewers. The items that seemed to be most susceptible to change were the items related to the subjects! national identification.

An article embodying the findings in the replication of the study is almost completed and it will soon be submitted for publication. It is also planned to write an article on the methodological implications of the study.

7. The adjustment and assimilation of immigrant and second generation adolescents.

(Principal research workers - and thesis supervisor
(Principal financial support - ; partly supported by

ilot studies:

(i) Conducted by assisted by and

There are practical difficulties of rapport in asking children to characterize their attitude towards the roles of their parents and themselves as immigrants, and we have been seeking a disguised method which would enable these aspects to be studied in an indirect but reasonably objective fashion, and in a way that would not single them out as migrants from the other school children.

A fairly extensive trial was made on 137 children, aged 12-14, of the following types of test:-

(a) Sociometric test.

(b) "What are you?"
(c) Attitude to cand

(c) Attitude to cond Immigrants questionnaire.

(d) Questionnaire on the appropriateness of the role behaviour of an immigrant boy on a number of complementary situations.

(e) Questionnaire on attitude towards the role behaviour in a number of situations of immigrant parents.

The pre-tests showed some interesting results. For example,
no intro-ethnic preferences were observed in the sociometric tests:
the more populate children were those who mentioned
fin the "What are you?" test; were more in favour than
immigrant children that the latter should lose all "foreign" identify,
although there were no differences in tolerance of immigrant parents,
provided they did not bestow their immigrant identity on the children.
(ii) In preparation for an intensive study to be conducted in 1961-62,
pre-tested some new instruments embodying the findings of the
above study. With the aid of these instruments a study will be made of

above study. With the aid of these instruments a study will be made of the degree of assimilation and the relevant desires and perceptions of

second generation adelescent boys.

Prames of reference towards the assimilation process.

(Principal research worker ______ Major financial support from partly supported by

An important variable determining the course of the assimilation process is the overall conception of this process held by the immigrant groups and the host society. For example, do they favour the social segregation of immigrants, foreign language newspapers and broadcasts, compulsory naturalization after a certain number of years of residence, etc?

C.f In a theoretical article

distinguished

these frames of reference towards the assimilation process:

Data relevant to these frames of reference have been collected in almost all of the studies of immigrant groups described above, and also from control groups.

The data are being analyzed in terms of: what are the typical frames of reference of the various ethnic groups, how do and immigrants perceive the frames of reference of the other groups, and what are the correlates of holding one particular frame of reference?

A most important finding was that the immigrants overestimate
the degree to which hold a frame of reference, and
the overestimate the immigrants' pluralistic frame of reference.

It is planned to analyze the data further and to write a journal article on these studies.

The attitudes of the host community towards immigrants and their assimilation.

(Principal research workers and assisted by a Psychology III class. Major support partly supported by

The course of the assimilation of any individual immigrant is influenceaby the attitudes which he meets from the receiving community. In order to study this, an interview study was carried out by the members of the Social Psychology Class after prior training. The interviewess were a representative sample of 200. The study covered such topics as: their attitudes to immigration in general and to specific ethnic groups, their social distance from members of those groups and their attitudes towards the assimilation of immigrants. In addition, to provide a control base-line for the data from immigrant groups, the subjects were interviewed concerning their satisfaction with life and their identification with

The analysis of these data is proceeding.

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| Compared (c) to test this model with a set of data observed from emigres (c) to transsvabilities indicate of other studies we are spicarring on crimical social and personality characteristics of magnes. (c) to transsvability colored to the considerable promise for organizing that in inferiors, the special class of emigres of interest to this Agency. This study is promisely undersaken in tesponal to a countries operational requiremental contribute to the undersakening and knowledge of economic of inferiors.

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Pural, inder the signature of the Wice-Chancellor of the University, is amound together with a précise of the payond original model.

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S to the procedures presumally established for the relative to grants to anvinning agents. Any unused dubts remaining an the onthe cluston of the project will be recorded to the Agency. In is not anticipated that any permanent equipment will be acquired during the project. Travel funds will be accounted for insentiations consistent with the detablished practice of the

5. The tical cost of this project for a period of one year commencing 5 January 1959 will be \$15,000 Charges southly be an acceptable to the second of the commencing 5 January 1959 will be \$15,000 to \$15,000 t

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Research Director



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H James Hill

MERCHANDOR FOR THE EMPOUTIE SECRETARY

SUBJECT



The process by which an individual pressions are manufactured with the first of the process by which are proved to a south for the process business are incommitted with the first of the complication. As long as in these place more or less simplicating that is, without sectionally in the first and the particular is to be particular and the particular and the particular area of terminal and the particular area of terminal and the process which are called the antender of farmous status of the process of terminal and the process in relative and some terminal and the process in relative and sumple terminal.

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P. S. Alvert Care to the same purpose and service in the service and service and

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denied in entirety

B, C, D, F



74-107

February 13, 1967

C f Dear Dr

There are very few outstanding accountings for the the accounting for your grant is one of them. I would certainly appreciate anything you could do about having it sent to me promptly.

Was your book ever published?

Sincerely,

C Callet 2 May 1967 C She will again wite to Ca C, & and vaguet as B. Accounting

22 September 1958

MEMORANDUM FOR:

 $\langle \cdot \rangle$

C.f. B

1. The proposal dated 28 July under the signature of

is one which I actively

is a very bright and productive American trained social psychologist who has produced an interesting and potentially very useful psychological "model" for the study of I regret that the prospectus does not describe the model in some deal because it is this aspect which is of greatest potential interest to Of course, the report of there would also provide a nice comparison for some of our American and data. Finally, the selling point of having a project is added to the international research interests of the This is undoubtedly the most interesting project we could support in

- to the directes. If you have not already distributed it. I hope you will add in your cover letter the remarks I have made in paragraph one above. I recently entertained at diment chairman of the department in which is working. Is spending a sabbatical year in this country, at the I discussed the project with him and emphasized the fact that the proposed budget was considerably larger than we had anticipated. He implied that it could be cut considerably without destroying the project, only slowing it down. I have the idea that the study and support for I was thrown in to try us out for size.
- 3. I therefore propose that we offer about \$10,700.00 to be distributed over two years. This is essentially the same budget with and his assistant climinated. This could go either as a small grant(which would be ear preferred way) or as a special project (which will take more time to sell to the donore).
 - 4. If the directors buy this, perhaps the attached outline for a letter will be useful to you.

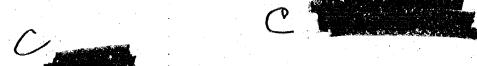
Angust 13, 1988



The calculate for four letter of July Allin requesting emisteness for the Denominant or layele logy for a received project on

I feel that the request contains tailitains information for the Directors to an which has been a the advector and well solved is to these at the next board meeting, there is never the meeting will not take place for coveral weeks, I connect present it reply until less taying her or certly Optobar. I hope this will not cover you too much income these tays.

Sincerely years,



74-100

7 >>

denied in entirety

S, C, F

ATTACHMENT TO 74-100

7PP

Series in entirety

SCF

August 20, 1958

A Memo to C From:

BF

Subject: Project Proposal

Attached is a proposal that we have received and forwarded to the Directors. We have received one unfavorable reply. However, this is an excellent opportunity to spread into other countries.

We would appreciate your thoughts regarding this proposal.

This is a proposal I gotively encouraged through conversations with the principal investigator through conversations with the principal investigator when the dissited as last, but when in nature is to say the least, but principal has an impressive record of productivity for a relatively species man working in a relatively for a relatively species and working in a relatively amount of many be sequest, and I think amount of many be sequest, and I think they pould do some good things on about half the thing pould do some good things on about half the years it could some out of the soull grant find. If years it could some out the formers I feel as lines that it could come out the formers I feel as lines.

Waterions

B.F.

BF

28th July, 1958.

B

Dear Sir,

B C.F I am writing to ask whether the would be willing to assist the Department of reychchogy of the a research project on

c, f

the attached statement of the Department envisages a programme for the calendar years 1959 and 1960 with the programme for the calendar years 1959 and 1960 with the part-time research coordinator and with as part-time associate. As is indicated by the list of publications attached to this statement, the proposed research represents the culmination of a research programme which the Department has been supporting for several years. Other projects in the Department, such as studies of industrial relations, relations between coloured and white school children, and surveys of old age in the proposed study of

C . S

Two considerations have governed the choice of the size of the project submitted. In the first place, it was believed desirable that the project should be sufficiently large to permit generalisation from several sensuhat differently oriented enquiries, and secondly it was necessary that the project should be restricted so as not to become unwieldy and impractical for the research workers concerned. The estimated total cost for the project, as here designed, is \$16,430

2.

28th July, 1958

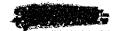
Psychology Department would be able to accomplish more in these two years than they could hope to accomplish unaided over a much longer period of time.

Yours faithfully,

B.C.F.

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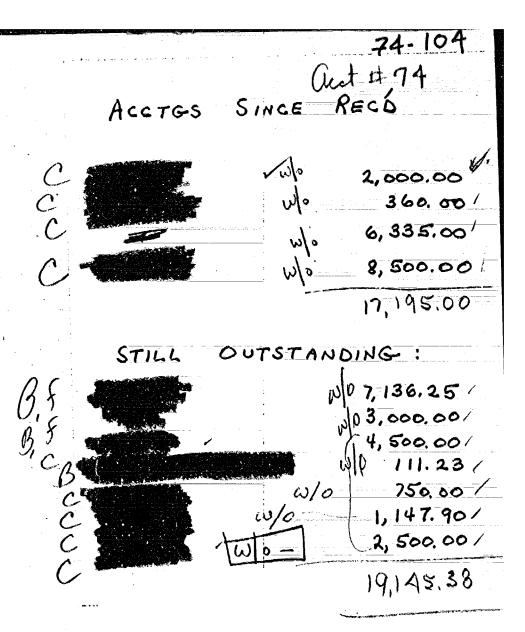
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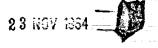
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CERTIFICATION

This is to certify that I have received an accounting

from MKULTRA Subproject # 74 which reflects expenses of

\$4,500.00. The accounting is being retained in TSD.

I further certify that satisfactory services represented

by the accounting have been received and that to the best of

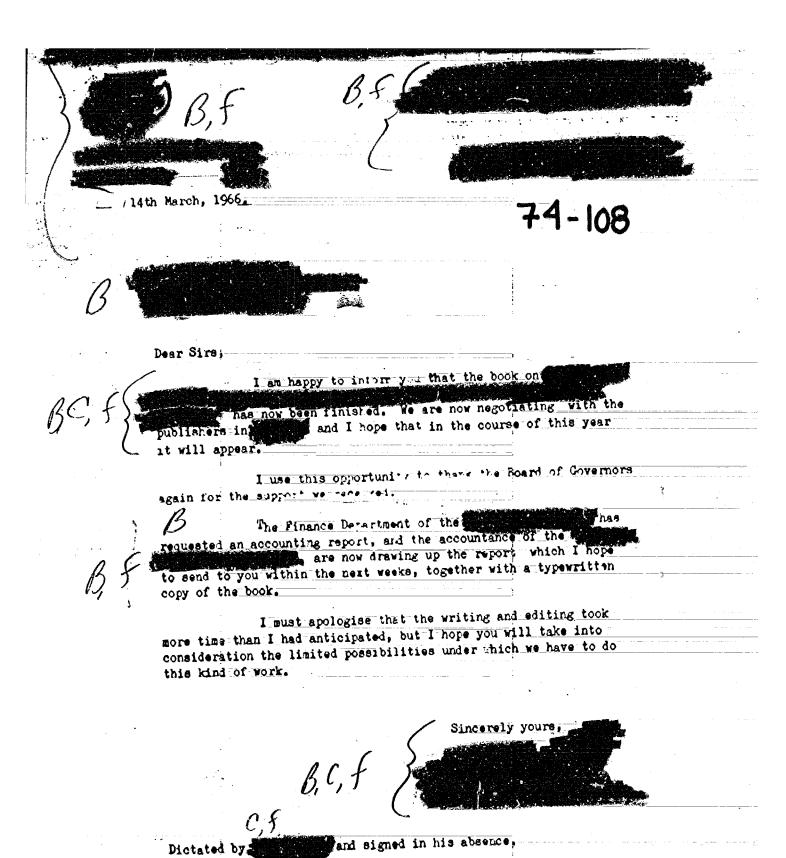
my knowledge and belief the funds expended were for the

purpose authorized by the project approval.

Chief, TSD/BAB







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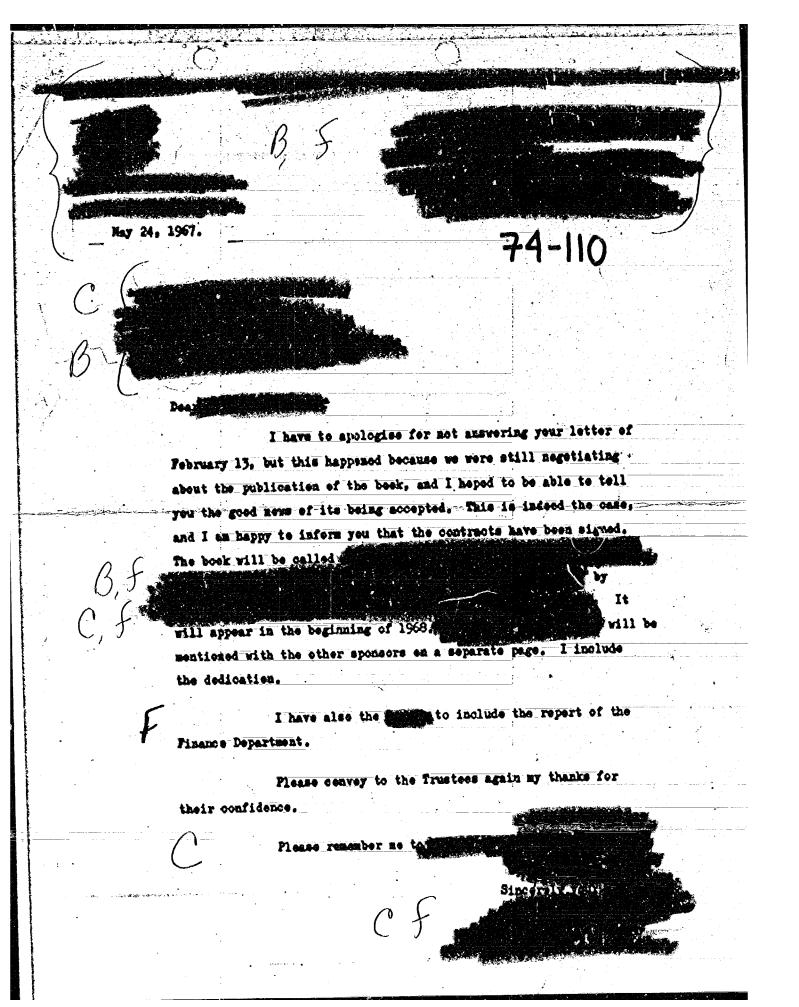
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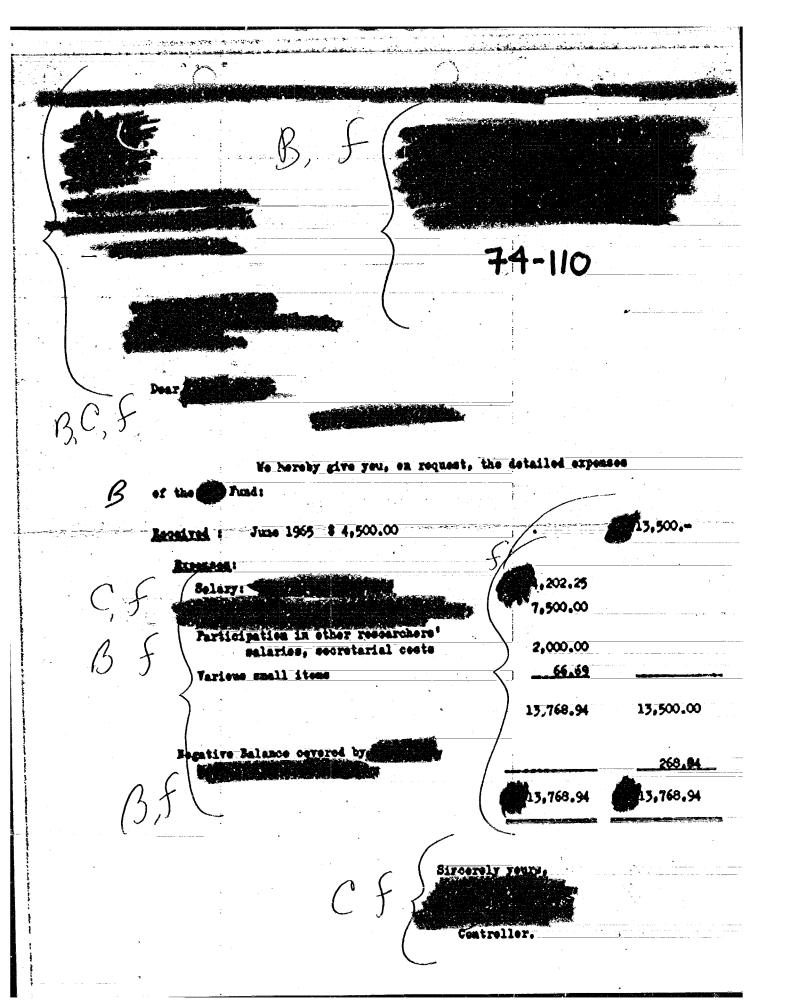
Since I have not heard from you, I presume that my letter of February 13, 1967, did not reach you. In this letter I mentioned that our accountants are anxious to close out all of the accounts. We still have not heard from you or your accounting firm. I would appreciate a prompt reply addressed to me at the above address.

I am also curious as to whether or not your book was ever published.

Sincerely,







This research project was carried out by the

with the assistance of the

It was supported

and from

the

United States of America.

The book is gratefully dedicated to the generous

donors who made possible the building of the

staff who gave so much of themselves during the period

under review.

Published by .

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FORM 282 SENTTE PREVIOUS

(1-49)

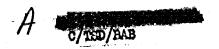
ķ.

CERTIFICATION

This is to certify that on several occasions a final accounting for \$111.23 has been requested from MAULTRA Subproject # 74.

This is attested to by the attached memorandas. It is apparent no accounting is forthcoming, the unaccounted for balance of the original grant of \$15,000 is being written off.

I further certify that satisfactory services have been derived from this grantee for the purpose authorized by the project approval.







December 19, 1966

Memorandum for TSD Finance

Subject:

Grant to

The original grant to the above named was in the amount of \$13,611.23 which was paid to the However, the Fund received from its Sponsor the sum of \$15,000.00. This meant that the Fund was holding the sum of \$1,388.77. As the project progressed, an additional grant in the amount of \$1,500.00 was requested. This meant that an additional \$111.23 needed to be added to the existing \$1,388.77 to complete the grant. This was done.

Since this was \$15,000 from a special source that was written off earlier, it left \$111.23 to be accounted for. Attached find the last two letters (copies) that were sent in attempting to get an accounting for the \$1,500 grant.

In view of the fact that the Fund did not follow through on helping get their report published or maybe the chelf investigator has left, we have never received a reply.

I would suggest writing this sum of the books.

0

to acets' 9 May 1967

Bol \$111.23 ccoo74 160.0 25-470185

October 14, 1965



Dear Dr.

On August 28, 1964, I wrote you about the necessity of receiving a financial accounting for the last grant of \$1,500.00 made to you from the Fund.

In as much as the Fund has suspended its granting activities, our auditors require accountings of all outstanding accounts. Any unexpended funds are to be returned to the

Your prompt attention to this matter would be appreciated.

Sincerely,

August 28, 1964

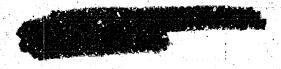


Dearga

Although much time has passed since you received our last grant of \$1,500.00, it is necessary for Treasurers to delve into the past and complete their financial records.

Is it possible for you to arrange to have an accounting sent to us from the Instituut on expenditures from your grants? Thank you for your attention to this matter.

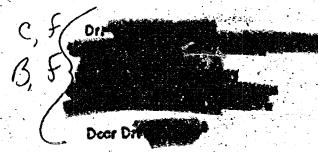
Sincerely,



five Infor

74-116

February 14, 1962



Thank you for your latter of February 5. It clorified a number of points about which I had been confused.

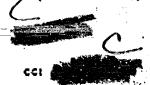
I am pleased to Inform you that, on the boils of your letter, the Board of Directors has approved a supplementary grant of \$1,500.00 for your project.

We are directing our bank to pay you the grant of supplementary equivalent.

It is my uncontending that we will receive two typowritton copies of the report in the production of that the original agreement with that you referred to is a good one. We can best consider your questions of printing and production of a later date.

The Decret of Directors Joins me in wishing you success in the comple-

Sincerely yours,



二 生 ACCOU	INT ING RY	INDIVIDUAL	308	MULTR	A Sul	proje	ect #	74	*		DATE - V	OUCHER NO. 2			
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u Han 14			H							1	TOTALS	750.	en	750.	

282 201710HS

CERTIFICATION

This is to certify that I have received an accounting from MULTRA Subproject # 74 which reflects expenses of \$750.00.

The accounting is being retained in TSD where it may be reviewed by the certifying officer upon request.

I further certify that satisfactory services represented by the accounting have been received and that to the best of my knowledge and belief the funds expended were for the purpose authorized by the project approval.



B. Carrier and Car



October 18, 1965

74-119

Dear

This is in response to your letter of October 14, 1965 regarding the grant of \$1,550 to me by the The money was paid to me directly by the Fund as compensation for time released by the Sound Could Cooperate on a joint research endisor.

The details of this arrangement were worked out by If you would then Sound Sound

If I may be of any further assistance to you on this matter, please do not hesitate to contact me.

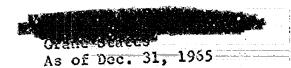
Sincerely yours,

<u>C</u>

			Janamii	150 81						DATE . VO	UCHER NO. 2-12				
ACCOU	NTING BY IN	RA Sub-project # 74													
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		25-770185							Funds re	funded	by grantee				
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X	<u> </u>				<u> </u>	<u> </u>			Project		1965	797.90			
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381	6125-00					SID	WELL COLUMN	EB C/2	SD this s		is true and correc				
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FORM 282 CHE	IOLETE VENTOUS					1						(1-49)			

74-121

January 21, 1966



Crant Date

Grantee

Grant #

Paid this Period

12-31-65

Grant Reserve

Dec. 65



906-1

750.00

Explanation of Grant Returns or Adjustments



Grant \$953 \$5,000.00 reserve was cancelled



797.90



No calaries paid.
No travel or promotion



CERTIFICATION

This is to certify that I have received an accounting from MULTRA, Subproject #74 which reflects expenses of \$350.00. The accounting is being retained in TSD where it may be reviewed by the certifying officer upon request.

The remaining balance of \$797.90 has been refunded. This refund bas been recorded on themselves proprietary company financial records.

I further certify that satisfactory services represented by the accounting have been received and that to the best of my knowledge and belief the funds expended were for the purposes authorized by the project approval.





5357 C

3

October 28, 1965

The following is an accounting for the funds expended under our original grant of \$4500.00. The following "grants" have been given to: \$300.00~ 500.00 V 200.00 --30.60 ~ 21.50 These grants were in support of the preparation of a book on which is scheduled for publication in early spring. In addition a "grant" in support of a critical review of the in the amount of was given to \$500.00. This review is in preparation. An amount of \$150,00 is obligated for a review of the File on the topic of varied habits in a wide variety of cultures. Finally, by two checks for \$2000,00 and \$797.90, refunds of unexpended money were made to the I hope this account is satisfactory. I will be most happy to answer any further questions which you may have. Sincerely 10522585 5000.0€ Chairman

74-124

7 April 1966

0

As you most likely recall a vrote you back in Ootober about a few grant balances that were still unaccounted for. Thanks to you some of them have been cleared up. I'm afraid though, that there are still a few which have not as yet come in even though you followed-up on them very promptly. It would be appreciated if you could give us some further help on these we still have not received. They are as follows:

C, B

\$4,500.00 111.23 750.00 1,147.90 (We have

received a reply and a refund from that he was asked for more detail on his expenses.)

We would like to receive copies of any correspondence you may initiate or receive on this matter.

Thanks again for your help.

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2	ACCOUNT INC
1	FOR

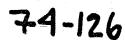
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FORM 282 OBSOLETE PREVIOUS



DATE - VOUCHER NO. 2-12

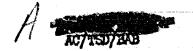


CERTIFICATION

This is to certify that I have received an accounting from MCMLTRA Sub-project #74 for the period June 1960 - 30 November 1965. The accounting reflects expenses for the entire remaining great balance.

The accounting statement and payment certification will be retained in TSD and will be made available for review in TSD if necessary.

I certify further that satisfactory services represented by the accounting have been received and that to the best of my knowledge and belief the funds expended were for the purposes authorized by the project approval.







74-124



STATEMENT OF RECEIPTS AND EXPENDITURES, Cross

June 1960 to Movember 10, 1965.

LECELPTS .

June 1960 by cash 1,000,00

Wor, 1960 by cash

6,502.00

Mar. 1961 by cash

1,500.00

Jan. 1962 by cast

1,500.00

Feb. 1963 by cash

Pad. 1964 by dash

TOTAL RECOIPTS:

Production

June '60-Doc, 31'60

1,125.35 867.54 3,848.64 Jan '62-Dee, 31'62 1,331,69 1,141.60 1,241.60

Jan '63-Doc, 31'63 Jan '642Doc, 31'64 Jan '65-Nov, 30'65 666,60 666,60 499.98 1,040,45 1,540.43

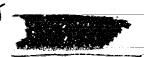
4,752,63 13,509.10

\$ 13,509,10

MANGEL November 30, 1963

COMMUNENTS OFFISIANDING. November 10.1965 1 166.66

er 10,1965.



	SUBMITTED BY				DATE .	VOUCHER NO. 2-12	
ACCOUNTING BY INDIVIDUAL	PECULIEVA	Sub-projec	t # 74	i i			
FOR ADVANCE		PER IOD (F ACCOUNTING				
a gra cag verifica	FROM	T	0	 			
NOTE: Follow Instructions on Reverse	1 June 196	2	31 May 1	963	<u> </u>		
1. CASH ON HAND BEGINNING OF PERIOD		6,695.00	5. EXPENSES	THIS PERIO	D :		
2. OUTSTANDING ADVANCES BEGINNING OF PERIOD			VOUCHER NUMBER	DATE	DESC	RIPTION	AMOUNT 7
3. RECEIPTS THIS PERIOD:				250	menses - Sec	attached	\$
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I CERTIFY FUNDS ARE AVAILABLE	DATE		ROVED RE OF APPROVIN		I certify that	t the expenditures !	inted hereon and
OBLIG.REF. NO. CHARGE FAN ACCOUNT NO.					poses of a credit therefore	ments were incurred to confidential nature, or has not been record to the correct the correct that the correct the correct that the correct th	that payment or eived, and that
DATE SIGNATURE OF AUTHORIZING OFFICE		ERTIFIED FOR P	A COLUTES		SIGNATURE OF		
And the second s	DATE		RE OF CERTIFY!	NG OFFICER	A		TSD/BAB
DESCRIPTION-ALL OTHER ACCOUNTS 13-33 34-			1-54	55 - 66	67-70		
28-33 STAT	TON 41 OBL	G. 49 CA NO. PAY OR GE	NERAL	COST - FAN	OBJECT	71-80 AMOUNT	
DESCRIPTION - SHIP.00C.NO. REC.	ECT DE LL	NO. LIQ. S ACC	EOGER	j.g	61-66 68-70 X NO DUE DATE	DEBIT	CREDIT
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							: :
17 //4.2 / FREPARED BY	A DATE	REVIEWED B		3	TOTALS	6675.00	6.695.00

282 OBSOLETE PREVIOUS

(1-49)



74-129

CERTIFICATION

This is to certify that I have received an accounting from MCULTRA Sub-project # 74 for the period 1 June 1962 - 31 May 1963. The accounting reflects expenses in the amount of \$6,695.00 which accounts for the remaining grant balance.

The accounting statement and payment certification will be retained.

in TSD and will be made available for review in TSD if necessary.

I certify that satisfactory services represented by the accounting have been received and that to the best of my knowledge and belief the funds expended were for the purposes authorized by the project approval.



GROUP 1
Excluded from automatic
downgrading and
declassification



61/02

13,030,00

Accounting of	74-130
Amount of Grant for year: \$12670.	
Expenditure	
Salaries & Superannuation Wages & Superannuation	5,639.20 644.00
Maintenance	. ≟
Chemicals, Glass and other	327.60
Laboratory supplies	
Materials	78.40
Travel	355.60
Printing & Stationery	86.80
Postage	5.60
Test Materials	170.80
Books	14.00
Repairs, Maintenance, etc.	249.20
Sundries (office supplies)	3,578.40
Photographic supplies	263.20
Minor equipment	16.80
Tools & Instruments	215.60
Testing	778,40
Equipment	75,60
Furniture	64.40
	120.40
Photographic The Company of the Comp	912.80
Overheads	
: LOIMI	10,500000

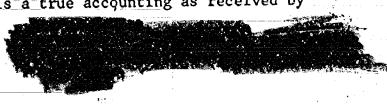
this is a true accounting as received by

FROM PEGOTS

ONLY PEGOTS

APPLIES AGAINST

BEG. BALANCE



let June. 1962 ... 31st May. 1963

Amount of Great for year \$12670.

LIPZNDITURS

Salaries & Superannuation
Wages & Superannuation

Maintenance

Chemicals, Class and other Laboratory supplies

Materials

Travel

Printing & Stationery

Postage

Test Materials

Books

Repairs, Kaintenance, etc.

Sundries

Photographic supplies

Minor equipment

Tools & Instruments

Testing

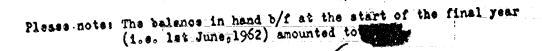
Equipment :

furniture .

Photographio.

Overheads:

74-130



1		SUBMITTED 8	iY -		1	DATE - VOUCHER NO. 2-12	:
j	ACCOUNTING BY INDIVIDUAL	MKILL	CRA Sub-project	e # 74			
	FOR ADVANCE		PER IOD O	F ACCOUNTING	5 .	`	
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	NOTE: Follow Instructions on Reverse	October	1962	October	1965	·	
	1. CASH ON HAND BEGINNING OF PERIOD		\$ 2,500,00		THIS PERIOD:		
7	2. OUTSTANDING ADVANCES BEGINNING OF PER!	OD .		VOUCHER NUMBER	DATE	DESCRIPTION	AMOUNT
-	3. RECEIPTS THIS PERIOD:				Expens	ses - See attached	5
	RECEIPT DATE DE	SCRIPTION				certification	2,500.00
!							
H							
ı					TOTAL	EXPENSES	2.500.00
1			,	6. REFUNDED	HEREWITH : CA	SH CHECK MONEY OR	
1				7. OUTSTAND	ING ADVANCES END O	F PERIOD (Attach listing)	1 1 1 1 1 1 1 1 1 1
1	- i			8. CASH ON	HAND END OF PERIOD	OR BALANCE DUE ADVANCEE	
	4. TOTAL TO ACCOUNT FOR	₹	3 2,500,00	9.	TOTAL ACCO	UNTED FOR	\$ 2,500,00
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1	OBLIG.REF. NO. CHARGE FAN ACCOUNT NO.	DATE	SIGNATUR	E OF APPROVE	NG OFFICER OF	ny attachments were incurre	ed for official pur-
i					cred	s of a confidential natural tit therefor has not been	re, that payment or received, and that
	37.25-1390-3902		SIDES	CONTROL		accounting is true and con	rrect.
ı	DATE SIGNATURE OF AUTHORIZING		CERTIFIED FOR PA			SNATURE OF PAYEE	
		DATE	SIGNATUR	E OF CERTIFY	ING OFFICER	#	
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FORM 282 CASOLINA PERIOUS

(1-49)



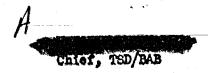
74-132

CERTIFICATION

This is to certify that I have received an accounting from MULTRA Sub-project # 74 for the period October 1962 - October 1965. The accounting reflects expenses on the remaining grant balance of \$2,500.00.

The accounting statement and payment certification will be retained in TSD and will be made available for review in TSD if necessary.

I certify that satisfactory services represented by the accounting bave been received and that to the best of my knowledge and belief the funds expended were for the purposes authorized by the project approval.





62/63

5,000,00

May 5, 1965

TO:
FROM:
RE:

Items Produced by Kay 1955

Ainds Expended and Held in Recerve:

	Expended May 1965	Reserved to October 1965 Total
Part-time Secretarial and Research	3,342	1003,442
Postage and Telephone	258	25 283
Supplies and Mimeographing	376	376
Travel	349	349
Contributors' Copies of 2 volumes	275	<u>275</u> 550
	4,600	400 5,000

B, F

C, B, F

				SUBM	ITTED BY							DATE .	VOUCHER NO.	2-12	-	
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CERTIFICATION

This is to certify that I have received an accounting from MULTRA Sub-project # 74 for the period 11 January 1960 - 30 October 1963. The accounting reflects expenses in the amount of \$10,500.00 which accounts for the remaining grant balance.

The accounting statement and payment certification will be retained in TED and will be made available for review in TED if necessary.

I certify that satisfactory services represented by the accounting have been received and that to the best of my knowledge and belief the funds expended were for the purposes suthorized by the project approval.







C

74-136

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grandellander of the code	60	/6L		 	2	,000	,00	

74-137-

October 13, 1964

B Dear

Since our family vacation in August was followed directly by my trip
to the annual convention of the
there was an unavoidable delay in receiving your letter of
August 28. At the earliest possible date upon returning, I asked the
co request an accounting of funds
granted by the life internal Auditor, since all expenditures from the grants were deposited with the land every payment was made with clearance of the appropriate administrative officer.
I should think that you will receive the Internal Auditor's recort any day.

Let me take this opportunity to excress appreciation to the Fund for its timely support of our research program on behavior of members in small groups and the reference scales of their cultural-ecological settings. In addition to our acknowledgment of the Fund's support in various papers and our recent book it has been my pleasure to express this appreciation publicly in oresenting the research to several university and national gatherings of professional colleagues. In fact, chiefly because of its sponsorship of much of the research to be reported. Lagreed to invitation to discuss the capers at the or. September 5, and I had further opportunity to refer to the Fund's support in my own

The research completed in the period of the more recent grants from the Fund is to appear with due acknowledgment in our forthcoming book to be released the early part of 1965 by

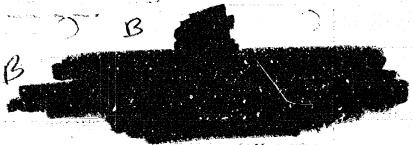
We take it that you and other officers may share in some measure our own pleasure at so ing the remaining research completed with support appear in print between the covers of a book.

Sincerely yours,

C

CB

Dir-ctor



October 19, 1964

74-138

De a de la companya d

The following is a financial report for the Grant under the direction of The period covered by this report is from January 11, 1960 through October 30, 1963. The total funds received for this grant amounted to \$16,250.

The following expanditures by category were paid from this grant:

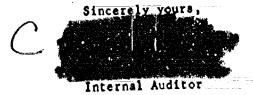
Salaries:

(The salary of the Grant Director, Dr., was paid by the University for the period of the grant.)

Associate Project Director	\$1,039.17 6,797.82
Senior Level Research Collaborators Anthropology Consultant	300.00
Consultant on Data	230.00
Secretarial and Clerical	1,887.43 2,444.33
Data Tabulation Research Assistants	2,382,65 \$15,081.40

3	222.95
Communications	464.66
Machine Rental	210.81
Supplies and Publication	669.27
Equipment	50.00
Travel	\$16,699.09
Total Expenditures	449.09
University contribution in addition to be	\$16,250.00
Total expenditures charged to grant	

All funds were deposited in a University account and expenditures were made in accordance with University policy.





B

74-139

October 22, 1964

CB

Dear Missing

The Internal Auditor, has recently prepared and transmitted to you an audit statement of the transactions involving the grant from the for support of research conducted by Dr.

Professor of

In his characteristic manner, has made vise and careful choice of uses for his research funds and the support provided by the grant has contributed to the scope and depth of his undertakings.

We deeply appreciate the interest and support you have shown in providing financial aid for his work.

Cordially yours,



74-140

Sept. 22, 1964

A	
Dear	

Attached please find the following accountings and check them off your list.

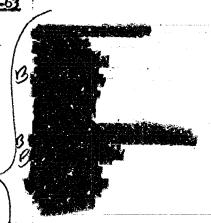
2.	r- copy of original and translation	into US S 17/23
3.	050	
4. Exercise		
5.	1,053	
6. 200	\$3450.00	· · · · · · · · · · · · · · · · · · ·

August 31, 1964

Dear

Here I am with a request for more accountings. These aren't in the delinquent category yet, but will be in a few months. All the amounts were paid in 1963.

FY 62-63



8,500.00 2,500.00 1,125.00 1,614.81 PARTIAL 10-7 500.00 1,000.00 OK RECD 10-7 650.00 25,000.00 OK RECD 11-7	2,175.00			
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25,000.00 OK REGD 11-7	650.00			
	25,000.00	OK	REGD	11-7

FY 61-62

3,167.50 CK RECD 11-23 800.00 850.00 1,500.00

August 28, 1964

Dear Dear

There are not too many quick answers for you. The following require my writing and requesting information:

Fiscal Year 1960/61

BC

C Fiscal Year_1961/62

On the The balance of \$1,388.77
plus \$111.23 make up the last \$1,500 which we sent to them in
February 1962. They have never accounted for this nor for the
final \$13,611.23 although they did write they were in the hole.
I will write to them.

C I will also write to

On there was no accounting. He was paid monthly \$416.66 from Sept. 1959 through August 1960 for services.

Was at in 59 and 60 and they never sent an accounting that I can find so will write.

I have a feeling no one I write to is going to love me.

Best regards to you.

August 26, 1964

Dear Control

The audit people dropped by the other day and would like to elose out some of the ancient history. Using your listing dated 3-28-63, eould you please send accountings for the following grantees still considered open.

Fiscal Year 1960/61	TEACH TEACHER. CALLED LAG
f B	7,136.25
ξB.	3,000.00
B	720.00 (1)
	2,000.00
C. The state of th	5,000,00 (2)
Miscal Year 1961/62	
C.S.	6,335.00 (3)
b contract the contract to the	4,500.00
C	111.23 (4)
	4,200.00
	8,500.00
	1,058.00
	1,147.90
	2,500.00
V	

- (1) We did receive an accounting dated May 24, 1961, for 1962. Don't know if it applies to 1960/61 grant or 1961/62 grant. On your list \$720 appears both grant years; temporerily I've assumed the above accounting belongs with the later year.
- (2) On your report as of June 5, 1963, an accounting was supposed to be attached as # 1A. If so, it has joined the ranks of the lost.

 Could you please send me a copy (again).
- (3) This amount represents grant payment made during the Jan-March
 1963 period.
- (4) Last accounting we received dated March 15, 1960, was for \$12,406.26.

 No accounting for <u>cumulative</u> expenses of \$13,611.23 out of original grant of \$15,000.00. Was the balance, \$1,388.77 ever paid to the Institute?

August 26, 1964

A couple more last-minute accountings we are in need of:

- Grant of \$20,000 for period Oct. 1, 1959 to Dec. 31, 1960

Grant of \$5,000 to be paid in 12 eucl payments,

beginning September 1959 C.K-SEE MEMO 8/28/64

Acc 16 RECD - BAL REMAINING IS \$ 1,508-78

ACCOUNTINGS ON SMALL CRANTS AND OTHER GRANTS

Balance from Previous years—Small Grants Fund: \$904.90 \$25,000.00 Received during Fiscal Year 1960/61 \$25,904,90 Expended: 7,136.25 FUND PAYROLL 5,000.00 3,000.00 ACCTG 720.00 2,000.00 ACCTG RECD ACCTG RECD 5,000.00 FUND PAYROLL23, 294.25 \$2,610.65 Balance \$146,000.00 Grant Money Received Fiscal Year 1961/62 Expended: 360.00 ACCTG RECD 71-23-64 ERROR= 6.375.00 ACCTG RECD 6,209.32 REFUND 73.03 2,500.00 1,000.00 500.00 18,150.00 6335, - ACCTG RECD 11-23-64 9.862.50 ACCTG RECD 1,000.00 4,500.00 ACCITG RECD 1,190.00 948.75 1,425.00 111.23 -ACCTG REGP 720.00 SEE 96 (DIFF) 2,963.09 7,500.00 2,910.75 560.00 PARTIAL = 3.450 4,200.00 3,500.00 ACCTG. RECD ACCTG- RECO 11-9-64 8,500.00 AGGTG RECD 22,152.75 1,058.00 ACCTG-RECD 1,262.50 --PARTIAL = 1,352:10 2,500.00 4.250.00 ACCTG RECD 2,500.00 110,548.89 115,781-39 115-181.39 Total Committed from Balances 138,475.64 \$26,601.93 3167.00 29769.43

CHECK ON:

\$6,335.00 STILL DUE 4,500,00 (see folder 82) @ 111.23 STILL OUE 4,200.00 8,500.00 STILL DUE 1,058.00 BALANCE - PROJECT COMPLETION BY I JULY 64 - 2 RETURN FUNDS 1,147.90 4,250.00/17,000 (2) 2,500.00/5,000 STILL DUE 1,136,25 NOT FINISHED UNTIL 12-31-63 5,000.00 payhel, 3,000.00 YOU DID SEND AN ACCTG- DTD 5-24-4 120.00 (FOR 1962 - STILL NEED ONE HORE 2,000.00 0 5,000.00 6 B 438.00 fund payroll

O RECO A Number diff grants twill a/c for all

@ acoty in Sub 134 Folder

3 Represents money passed during Jan-Mar 63

(4) On your report as of 6/5/63 attacked as # 1 A

(5) " " # 2A

© Last acety dtd 3/15/60 for \$12,406.26. No acety for cumulative exps of \$13,611.23. Was the balance of \$1,388.77 ever passed to

consists of BEG BAL 5,073.78 124 / SUB 3,721,78 995.93 1,388.77 5,000:00 21,865.75 1,250.00 416,74 (b) 1+ 119-1 2,000.00 98 V 70.00 ς 108-1-V 706.00 -1,512,37 96-1 35, 424.38

0

- This represents refunds for satisfactorily completed 39,81,65,88 projects and was used by Sub- 124.

 (2) Sub- 89 satisfactorily completed 4 returned unused funds
 (3) Acetyr rec'd for 1NV 5-1962; 1NV 4-1961

 No acety for 10ct 59-31 Dec 60-\$20,000 rec'd 11-5-59

 (B) Aceth for in full
 (5) Last acety rec'd was dtd 7-11-62 for \$2,682.64, log bal 4-30-62 of \$6412.68 Aceth for in full
 - 6 represents \$5,000 grant to 1. Do your lave any acetys at all? /PAIR MONTHLY FOR SVGS

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October 22, 1965



Dear Dr

Thank you for your prompt reply and your check for \$797.90.

Unfortunately, the auditors would appreciate a summary of the actual expenditures. Sorry to bother you again.

Thank you for the kind things you said about the Fund and we all look forward to your publication.

Sincerely,

October 14, 1965

Dear 💮

Attached are carbon copies of letters I have sent to our delinquent grantees. As you can see, in each case, I had written to them about a year ago with no results.

Let us hope we have better luck. In some of these cases the amounts are different from yours but don't let that bother you.



C Dr. Chairman

C Dear Dr.

On August 28, 1964, we wrote you requesting a financial accounting of your grant from the

In as much as the has suspended its granting activities, our auditors require accountings of all outstanding grants. Any unexpended funds are to be returned to the

Your prompt attention to this matter would be appreciated.

Sincerely,

C, f Dri.
3, f
C, f Dear Dr.

On August 28, 1964, I wrote you about the necessity of receiving a financial accounting for the last grant of \$1,500.00 made to you from the

In as much as the has suspended its granting activities, our auditors require accountings of all outstanding accounts. Any unexpended funds are to be returned to the

Your prompt attention to this matter would be appreciated.

Sincerely,



C C Dear Dr.

B

On September 8, 1964, we wrote you regarding various past accountings for grants given you by the We are in receipt of an accounting for the last grant given you in the amount of \$1,200.00.

In as much as the has suspended its granting activities, our auditors require accountings of all outstanding accounts. The accountings we require from your organization are as follows:

June	6, 1960	\$1,000.00	•
	1, 1960	6,500.00	
Feb.	8, 1961	1,500.00	
	27, 1962	1,500.00	
	25, 1963	1,500.00	

Your prompt attention to this matter would be appreciated.

Sincerely,

Dear Dr.

On August 28, 1964, I wrote to you at the and since I did not hear from you it is possible that you did not receive my letter.

In my letter I requested a financial accounting for the grant of \$1,550.00 which you received from the

In as much as the has suspended its granting activities, our auditors require accountings of all outstanding grants. Any unexpended funds are to be returned to the

Your prompt attention to this matter would be appreciated.

Sincerely,

C.f. Professor.

C & Dear

B

When you wrote to me a year ago, you did not mention the financial accounting that I requested.

In as much as the has suspended its granting activities, our auditors require accountings of all outstanding grants. Any unexpended funds are to be returned to the

Your prompt attention to this matter would be appreciated.

If your final report on is ready, we would be very interested in receiving a copy.

Sincerely,

13 October 1965

I am trying to pickup the many loose ends on TSD grants. It appears that accountings are due or past due on the following:

B, f { 3,000.00 4,500.00 111.23 750.00 1,147.90

some rather antiquated workpapers passed to me by the amount outstanding does check back to our memorandum account balance so I venture a guess that the amounts are correct. If you could bring me up to date on the status of these grants, I would be most grateful.

29 Hovember 1965

<u></u> €	I have been going over the quarterly statements and find an item
ond o	that, Finance Division, Audit Staff and TSD/Bay failed to take into con-
0	sideration in the past.
5	On your Statement of Income and Expenses the item "Grant Refunds (Returns)" has heretofore been completely ignored as being part of
.5	grant activity.
	Would it be possible for you to furnish complete detail on this,

i.e. Grantes and amounts back to October 1963.

Gust Refunds JULY- Sep 65 677.60 APR- UN- 65 150000 \$2,177.60 JAN - MAR- 65 Oct - DEC-64 JUL-SEP. 6A 6,13120 APR- JUN -64 21,527.32 JAN - MAR - 64 1,500.00 at-DEC-63 2,150.70 NUL-SEP 63 2,150.7 APR-JUN 63 33,486.8:

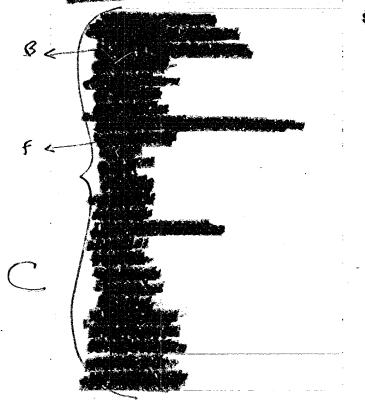
30 September 1964

MENORANDUM FOR : Chief,

SUBJECT

MULTRA Subproject 74

1. Accountings have been received against MAULTIA Subproject 74 from the following grantees:



5,000.00√ 1,440.00 5,000.00 **438.∞**✓ $6.375.\infty$ 6,209,32 2,500.00 1.000.00 500.00 18,150.00 1,000.00 1,130.00 948.75 1,425.00 2,963.09 7,500.00 3,450.00 3,500.00 22,152.75 1,058.00 1,262.50 1,352.10 4,250.00 \$102,135.26

- 2. It is requested that \$102,135.26 be credited to account 760.0
- 3. The accountings are being retained in TAD where they may be reviewed by the Certifying Officer upon request.
- h. I certify that satisfactory services represented by the accountings have been received and that to the best of my knowledge the funds advanced for such services were expended for the purpose for which advanced.

Chief, TSD/HAB

APPROVAL:

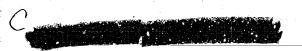
Chier, TeD/Support







PAYROLL

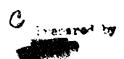


5,000.00

438.00

3ay 24-1963

	B, F		
Accounting of Grant to	1961		
Receipts:			
Subscription by members Subscriptions from other countries Advertisements	\$ 714.42 400.00 919.56 47.92		
Total receit	ots .	\$2,081.90	
Expenditures:			·
Printing costs Publicity General Office expense Fee for authors Travel	1,236.37 118.22 386.17 37.00 49.50		
Total expens	os- · ·	\$1,827.26	
	Balance	\$ 254.64	



Nay 24, 1961

		Bf		
	Accounting of Grant to		1962.	:
	Receipts:			
\mathcal{B}	Grant from Subscriptions Private donation	715.39 1,578.20 7.50		
Cf	Total re	ceipts	\$2,336.05	i

Expenditures:

Frinting materials	68,18
Albitis same	220.79
General office costs	203.21
Postace and telectone	69.00 × \69.00 = 100
Payments to authors	· · · · · · · · · · · · · · · · · · ·
Secretarial and	88250
Bookkeaping	37.60.14
Printing and plates	1,459.70
Payment to Editor	138.00

Total expenditures \$2,218.14

3alance \$87.91

The above is a true statement of axionses as reported to The original accounting was subsitted

C

B A DEPOS

74-159

ANNUAL EXPENDITURES REPORT

Title of Grant:

Grant Period:

January 1 - Lecember 31, 1962

Deposits:

1st Quarter, 1/3/62 \$2125.00 2nd Quarter, h/10/62 2125.00 3rd Quarter, 7/11/62 2125.00 hth Quarter, 10/17/62 2125.00

Total Received \$8500.00

Expenditures:

Personnel \$4015.92

Food for Subjects 341.13

Subjects 1516.75

Supplies 126.98

Administrative Fee 50.00

Balance on Hand \$ 2449.22

6050.78

ANNUAL EXPENDITURES REPORT

Title of Granting

Grant Period: January 1 - December 31, 1963

Deposits:

Balance January 1, 1953 \$ 2149.22 Pebruary 1963 \$ 6949.22 \$ 6949.22

Expenditures:

Personnel	\$~ <u>4355</u> .67	
Food for Subjects	142.17	
Subjects	1174.76	
Trivel	69.56	
Supplies	10.93	
Date Analysis	522.00	
Administrative Fec	\$ 6800.09	\$ 6800.09

Palance on Hand \$ 149.13

I continue What now then no interval thave been satisfactorize more than the continues were incurred on the label to the continues.

Date:

Grant to for year 1962

Received different rates for the \$ throughout the year.

_	Total U.S.	Dollars forwarded	anno ar to a succession and a supplication of the supplication of	\$8,279.00
		and the second		5
	oxoeina Cures			
			U. S. \$	
	Salaries		5,860.00	
+ -	\ Stipend		156.00 1	
*	Freight		26.00	
<	Typing		19.00	
	Telephone		13.00	
	Translation		10.00	
	/ Travel		991.00	
A			122.00	
(The second second second		7,197.00	
	Balance-at 12-31-62		1,098.00	Đ.
			8,295.00	\$ to

This is a true accounting as reported to _____ the Fund.

Prepare d by:

May 27, 1963



Statement of Income and Expenses

Grant Award .

\$2,500.00

Expenses

Salaries & Wages	1,023.70
Printing	237.75
Supplies	19.29
Telephone	51.25
Travel	192.71
Rent	10.00
Office equip-repairs	250,00
Outside Services	642.27

Total Expenses
Unexpended Balance

2,426.97 \$ 73.03 ok

This is a true statement of accounting on grant given to to the Fund

February 7, 1963

B Statement of Expenses

- B

Salaries	\$802.45		
Leave,	96.18		
Retirement Plan	22,22		
Total Personnel Costs	:	\$ 920.85	
Machine-work	• = • - • - • - •	20,50	
Total Direct Costs		941.35	
Indirect Costs (Overhead20%)		167,00	·
TOTAL COSTS	3 . 1	\$1,108.35	



May 24, 1963

Accounting of

A lump sum payment in the amount of \$500 was raid to for a report. Note this payment was for her services and no other accounting was requested.

acknowedged receipt of our granting letter and check in a letter dated Oct. 23, 1961 which is in the file.

This is a true statement on the accounting.

March 7, 1963

C.	
Accounting of	
Grant March 1, 1962 - February 28, 1	963 \$24,200.00
Expenditures:	· · · · · · · · · · · · · · · · · · ·
Salaries: Prin. Investigator Assistant Taxes	10,500.00 3,805.89 ——163,44
Rent	1,100.00
ocuments and supplies	499.81
Consultant Fees	905.75
Travel	365-17
Total Expenditures	17,340.06 17,340.06
Balance to be carried over	to 1963 grant \$ 6,859.94

B This is a true statement of expenses as reported to the Fund.

K

prepared by

10 March-1964

INTERIM REPORT OF EXPENDITURES FROM MARCH 1, 1963 TO MARCH 1, 1964

(Supplement to second ANNUAL REPORT dated 28 February 1964)

SUMMARY

Consultants fees and expenses	351,63
Salaries: Principal Investigator Assistant Employer Taxes (F.I.C.A. and local unemployment taxes)	10,500.00 -6,060.00 255.00
Documents and Supplies	
Rent	
Travel	181.48 19,041.67
Balance on hand	2,090.33 21,140.00
Amount of grant	\$21,140.00

Distribution:

Executive Director 3 copies
Research Programs 1 copy



March 18, 1964_____

A Memo to

ે Re:

Accounting

903-6

Attached is a copy of the accounting received by

B the from the above named Grantee.

I am not sure if you are aware of the fact that

of his funds will be used to defray some of his expenses.

A separate quarterly accounting will be continued on









Januar 25, 1963

Miss Treasurer

Dear Mis

In checking our records we do find considerable

correspondence with Mr.

Executive Director

concerning ats grant of

\$1,000, to to cover the

which was held in

We have not, however, a record of a detailed account
of how we spent this money; rather, our report to

Mr. Was informal in nature and indicated that
all of the \$1,000, was spent to cover printing costs of
the proceedings.

In checking back on the actual printing costs for this publication, we find that we had 1000 copies of the booklet published by

at a cost of \$1,287. So we actually supplemented vour grant with \$287 to pay the complete printing costs.

The bills from to us for this printing are numbers 481 and 583.

0

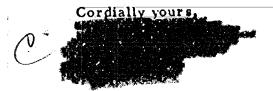
Since received a grant from the to publish these proceedings, it has distributed them treely to attendees to the clinic, medical schools, major hospitals and individual medical research people who have asked for copies. Over 950 copies have been distributed

Miss		The state of the s
January 25	1963	

-2-

in this manner. We do have a list of most of the people who have received copies if you care to see it.

I hope that this letter answers the question that you raise in your letter of January 24. If not, please let us know what else we can send on to you.



Enc.

•

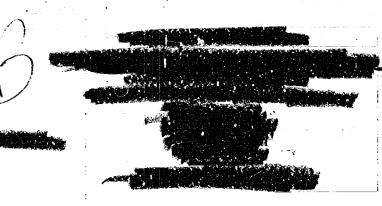
7

_

December 16, 1963

			01 100
Total Grant			\$1,190
Expenditures:		;	
I. Research Materials		 _ :	
Paper	<u>3.47</u>	=	
Questionnaire	2.45	:	
500 TAT pictures	42.11	 j	
500 TAT booklets w/pi	lc. 67.37	115.40	
II. Research Assistance			
Translation and trans	scription		
of TAT stores	145.26		
Advance for translat:			
and postage of TAT st		<u></u> :	
collected after leav		<u></u>	
Corrected arter reav.	136.84	282.10	
3	130.04	202.10	
III. Living Expenses following	ng termination		
C of Grant		:	
Rent July, Aug., Sept	• •		
0ctober	210.53	<u> </u>	
Food	157.89	i '	
Rent Sept. & Oct.	157.89		
Cook	134.74	• • • • • • • • • • • • • • • • • • • •	
Washer, sweeper, bab		·	
tender	134.74	795.79	
			\$ 1,193

Prepared by: from acco



GRANT FINANCIAL REPORT

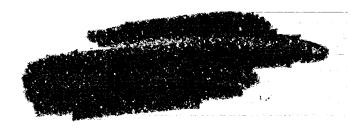
FROM.	
5	Date: <u>December=13,-1962</u>
TO: Executive Director	
6	
Report of expenditures_from_grant_funds_in-s	upport of
Director: Mrs	Grant Period: 1/15/62-6/30/62
<u>I tem</u>	Amount Expended
1. Salaries and Wages	401 .45
2. Supplies and Materials	92.65
3. Travel: 3/12-15/62 -5/16-18/62	42.30
4. Other Expenses:	
Social Security	6.49
Typewriter—Rental Chart Orafting	14.00
5. Total direct costs	766.89
6. Allowance for indirect costs 15%	115,03
7. Total Expenditures	881 .92
8. Unexpended Balance	66,83 RECD CCT-DEC 62
9. Total Award- Grant Funds Received	948,75
C	17/ /
B	Dates //3/122

The state of the s

PROGRAM, DIRECTOR.
FROM:

ITERIAED ACCOUNT OF SUMMER, 1962 FOR RESEARCH GRANT \$1425.0	00
Salary	\$ 700.00
DRINEY	. è 60.00
Travel (two trips to promotion	.3-50.00
Promotion (entertainment or the interviewees)	.3-200.00
(entertainment or x interviewees) Supplies. (Books, magazines, photostatic copies of articles) (Books, magazines, photostatic copies of articles) Rent. Stationers, Tape Recorder supplies etc.	.3 400.00
Pelephone	.3 15.00
*61ebuous***********************************	\$1425.00

Note: This is an approximate accounting, I found it necessary to spend part of my salary to take care of many miscellaneous items.



April 16, 1962

Summary Accounting of the Phases I and II Combined \$19,500.00 Stipends 4 1,722.27 Equipment and Supplies 150.00_ Gifts 15,766.72 Travel . 168.00_ Secretarial Help_ 121.10 Miscellaneous \$37,428.09 Total expanded on whole project 34.465.00-Received 2,963.09 Absorbed from General Grant Funds .

This is a true statement of the expenses of the

I have expressed and an lowed the submitted

I have own. expenditures.

A Constant Sivision

Date: 1/21/02

December 17, 1962

•	C the year 1962	-		บร \$
Acco	unting of for the year 1962		16,800	2,942.00
1.	Payments to subjects {262 subjects, 328 interviews payments were	in form	70,004	
	of gifts, entertainment	· 	17,135	3,001.00
2.	Payments to assistants (35 persons who helped locate subjects)		14,400	2,521.00
3.	Payments for training 5 assistants		1,450	253.00
4.	Clerical Assistance	et.c.	4,822	846.00
5.	Car fares, books, newspapers, stationeries,		17,130	3.000.00
6.	Salary-principal investigator			12,563.00
	Total expenditures	:	• • • •	10,000.00
	Received	:		2,563.00
	Balance due Dr.			

Dr. plans to make up deficit in 1963 budget request.

The above is a true statement of Dr. expenses as reported to the Fund.

prepared by from Dr. statement

I have examined and approved the sammivest

A

TSS/Chemical Division

Date: 1/1/63

April 23, 1963

B

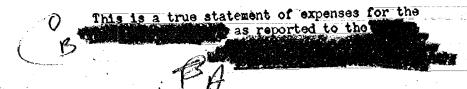
Roceipts	2,910.75
Expenditures:	1,650.00
Interviewing and canvassing Travel	634.19 162.80
Supplies	187.28
	2,634.27
15% overhead	3,029.41
15% overhead Balance	(118.66)

Balance was sent in 1963

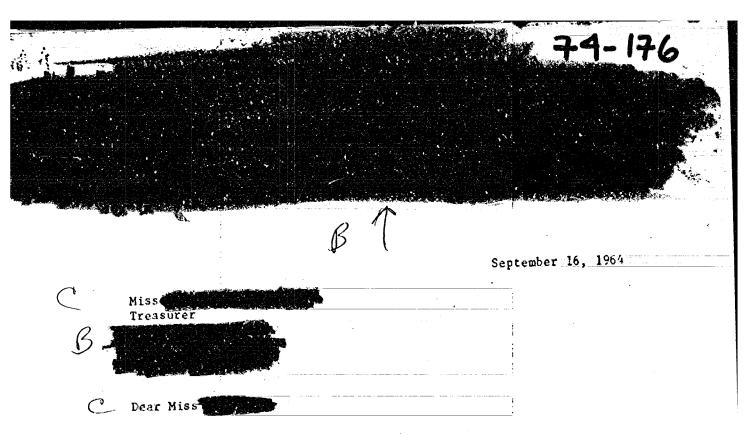
This is a true accounting as reported by
the to the

May 13, 1963

Accounting from Dr. \$56	50.00 sent for
Production Costs	\$382.00
Commentator's Fee	14.00
faster Recording	14.00
Payments to Participants	150.00_
ay montoo to a section of the sectio	\$560.00



prepared by



Following is an accounting of the expenditures made from the research grant awarded Dr. 2 and sent to on June 4, 1962 in the amount of \$ 3,450.00:

2,483.00 Co_ Salary to Research assistance for punching and computing of data by the 517.00

15% of budget to 450.00 for administering grant

Total expenditures \$ 3,450.00

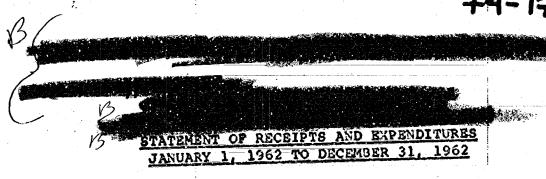
It was a real pleasure for us to participate in this grant and we are grateful to the for extending us this privilege.

Sincerely .

President & District Superintendent

Grant Funds, Income and Expense
October 2, 1959-March 31, 1953

		and to accommodate the deleteration from the control of the contro		
paration and Sale of 1961 D	rectory and A	ostracus		
come from Sale of Books, Nov	2-160-Aug_31	161 \$ 49	99.40	· -::-
pense, Oct 6 159-Aug 31 161		·-·		
Ralaries		•		
Dr. Prof. A	ssociate \$ 1,000.00			
Research Assistants	5-195-85-			
Typists, Clerks	1,359.13	\$ 7,554.95		*
Onintina				
Directory	<u> </u>			
Abstracts '61	<u>1,408.00</u>	2,500.00	vicesrincials ha	V D
Other Expense	à 000 0'	antisfortanily rec	vices in this had been controlled to the control of	litu
Books and Reprints	38 50	were incurred on	fficia " " " ness.	
Photocopy Supplies	250.00			772
Photocopy Machine Expendable Supplies	287.15	<u> </u>		ia
Postage	2 0.)	The state of the s	00 Datou 5/9/67	
Travel	487.45	1,357.14 11,4	50 blore	
pense Exceeds Income from Sa			1 10,920.72	
cess, Net Expense over Grant	Funds, Aug 3	1-161	1 10,920.72 0 1,050.72	
cess, Net Expense over Grant	. Funds, Aug 3 and Sale of 1	1 '6 <u>.</u> 961 and 1253 Books	\$ 1,050.72	
cess, Net Expense over Grant eperation of 1963 Directory noome from Sale of Books, Sep	Funds, Aug 3 and Sale of 1 1=161-Mar 31	1 '6 <u>.</u> 961 and 1253 Books	\$ 1,050.72	
cess, Net Expense over Grant eparation of 1963 Directory ncome from Sale of Books, Sep opense, Sep 1 161-Mar 31 163	Funds, Aug 3 and Sale of 1 1=161-Mar 31	1 '6 <u>.</u> 961 and 1253 Books	\$ 1,050.72	
cess, Net Expense over Grant eparation of 1963 Directory ncome from Sale of Books, Sep conse, Sep 1 '61-Mar 31 '63 Salaries	Funds, Aug 3 and Sale of 1 1=161-Mar 31 S 1.487.25	1 '6 961 and 1953 Books 163 4,1	\$ 1,050.72	
cess, Net Expense over Grant eparation of 1963 Directory noome from Sale of Books, Sep opense, Sep 1 '61-Mar 31 '63 Salaries Research Assistants	Funds, Aug 3 and Sale of 1 1=161-Mar 31 S 1.487.25	1 '6] 961 and 1963 Books '63 \$ 4,1	\$ 1,050.72	
cess, Net Expense over Grant reparation of 1963 Directory noome from Sale of Books, Ser comes, Sep 1 '61-Mar 31 '63 Balaries Research Assistants Typists, Clerks	Funds, Aug 3 and Sale of 1 1=161-Mar 31 S 1.487.25	1 '6 961 and 1953 Books 163 4,1	\$ 1,050.72	
cess, Net Expense over Grant reparation of 1963 Directory noome from Sale of Books, Sep opense, Sep 1 '61-Mar 31 '63 Balaries Research Assistants Typists, Clerks Advertising Expense	Funds, Aug 3 and Sale of 1 1=161-Mar 31 S 1.487.25	1 '6] 961 and 1963 Books '63 \$ 4,1	\$ 1,050.72	
cess, Net Expense over Grant reperation of 1963 Directory noome from Sale of Books, Ser come from Sale of Sale of Books, Ser come from Sale of Sale	Funds, Aug 3 and Sale of 1 1=161-Mar 31 S 1.487.25	1 16 961 and 1963 Books 163 \$ 4,1 \$ 2,216.28 506.30	\$ 1,050.72	
cess, Net Expense over Grant reperation of 1963 Directory noome from Sale of Books, Ser opense, Sep 1 '61-Mar 31 '63 Balaries Research Assistants Typists, Clerks Advertising Expense Mailing Expense	\$ 1,487.25 729.03	1 '6' 961 and 1963 Books '63 \$ 4,1 \$ 2,216.28 \$ 506.30 \$ 307.71	; 1,050.72	
cess, Net Expense over Grant reperation of 1963 Directory noome from Sale of Books, Ser come from Sale of Sale of Books, Ser come from Sale of Sale	\$ 1,487.25	1 '6' 961 and 1963 Books '63 \$ 4,1 \$ 2,216.28 \$ 506.30 \$ 307.71	\$ 1,050.72	
cess, Net Expense over Grant eperation of 1963 Directory noome from Sale of Books, Sep opense, Sep 1 '61-Mar 31 '63 Balaries Research Assistants Typists, Clerks Advertising Expense Mailing Expense Other Expense Books and Reprints Expendable Supplies	\$ 1,487.25 729.03	1 16 961 and 1953 Books 163 \$ 4,1 \$ 2,216.28 506.30 307.71	; 1,050.72 .60.91	
cess, Net Expense over Grant reperation of 1963 Directory noome from Sale of Books, Ser comes, Sep 1 '61-Mar 31 '63 Balaries Research Assistants Typists, Clerks Advertising Expense Mailing Expense Other Expense Books and Reprints	\$ 1,487.25 729.03 \$ 73.30 \$ 2.51 eeds Expense,	1 '6' 961 and 1953 Books 163 \$ 4,1 \$ 2,216.28 506.30 307.71 166.31 3,5	1,050.72 .60.91 	



Receipts

January, 1962 June, 1962

\$3,003.00 3,000.00

\$6,003.00

Expenditures

Salariesi

Social Security

\$3,046.81

Building Maintenance & Rapair Stationary & Office Supplies Travel & Field Expense Telephone & Telegran Charges

Equipment Payments to Outsifit Individual Equipment Rental

Books & Periodical Indirect Cost

309.56 241.77 167.50 102.30 73.79

600.00

50.00 26,25

12.25 783.00

Commitments December 31, 1962

Balance December 31, 1962

5,413.23

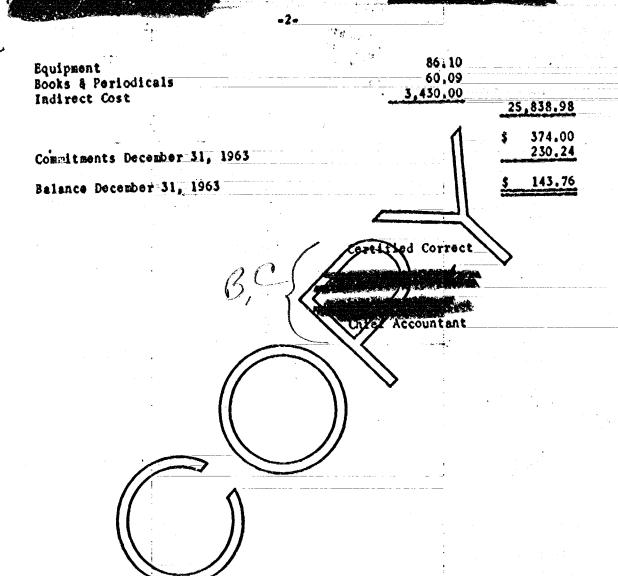
\$ 589.77 503.30

Certified Correct

ef Accountant

Statement of Receipts and Expenditures
January 1, 1963 to December 31, 1963

Balance January 1, 1963	· · · · · · · ·	\$ 679.20	
Re	eceipts	<u> </u>	
January, 1963 April, 1963 August, 1963	\$ 6,574.75 5,809.63 6,574.75		
October, 1963	6,574.75	25,533,88	\$26,212.98
Exp	endisares		·
Salaries:			
3	+5,100.00	· · : !	
	2, 163, 32	>	
	1,432.53		
	1,13,132 793,47 598,20	: ! !	
	372.31 350.00		
	325.66 320.25	.	
	236.66 200.00		
	134.00 100.00		
	22.69 562.20	\$18,370.39	er er en en en en en en en en en en en en en
Social Security	302120	2,087.85	
Supplies & Materials		668.68	
Telephone & Telegraph		649.96	
Stationery & Office Supplies		278,95	
Equipment RepairsTravel & Transportation		206.96	





STATEMENT OF INCOME AND EXPENDITURES FOR THE PERIOD FEBRUARY 1, 1962 THROUGH AUGUST 31, 1962

Income: \$1,058,00 Grant Income..... Expenditures: \$256.76 663,24 Laboratory supplies..... 1,058,00 Overhead...... 138,00



The deficit of 198.92 was made up by research funds of the

Paychology Department.

This is a true statement of accounting from

A as reported to the Fund

B, 5

December 10, 1963

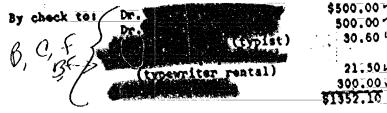
Dear Miss

Thank you for your recent letter regarding the for which I am responsible. I am happy to outline the present status of these funds for you.

I was initially granted \$4500 to develope a critical review of the status of research. It was proposed to organize this review in four general areas: physiological aspects, behavioral aspects, pharmocological aspects, and psychiatric aspects. Shortly after receist of the funds two things became apparent: (1) The imminent appearance of books by an amendment and an initial the requirement for a broad and comprehensive survey approach. (2) A more effective and still needed work would be best accomplished in a more focused fashion.

With these considerations in mind the program was revised to work in two areas; (1) the rapidly developing area of aspects of which had exploded around the interest in the formation, and (2) the area of theraphy which had had limited consideration in this country because most of the work was in foreign journals (primarily Russian, German and French).

(1-3-62). Since At this point \$2000 was returned to that time the following expenditures have been made from the remaining \$25001



500.00 30.60 ~ 21.50 300.00 /

\$500.00

Balance in bank:

\$1147.90

Dr. de developing the stheraphy review with me.

Of these reviews are well underway; the theraphy review should be complete by Harch. I do anticipate use of the total funds and I anticipate completion of the project by 1 July 1964,

If there are any further questions which I may answer please feel free to write me.

Sincerely,

B,C, F

Cheirman

department of Psychology

c f

C Miss Treasurer

B Dear Miss

In reply to your letter of January 23, here is a summary statement of how my grant of \$22,000 was spent between September 1, 1961 and August 31, 1962:

Item:	Allocation	Expenditure	Balance
Stipend	\$10,800	\$10,800.00	
Clerical and Testing Assistance	1,200	1,473,22	• 273,22
Pees to Subjects	3,000	1,980.00	1,020,00
Oost of Computation	1,000	160,00	840.00
Cost of Publication	600	***	600.00
Travel	600	307.70	292.30
Office Expenses	1,500	1,285,65	214.35
Test Equipment	5,000	2,425,83	- 425.83
Books and Journals	300	197.11	102,89
Contingencies	1,000	197.99	802.01
Total	\$22,000	18,827,50	3,871.55 - 699.05
			

Unexpended Balance: \$3,172.50

Under the terms of the grant renewal, the unexpended balance is being applied to expenses of the second year of the grant in this fashion:

	0
ACCOUNTING	OF

O Item	Am'te Carried over from Pirst Year	Allocation for second year	Funds from Other Sources	Total <u>Available</u>	Total Spent	Balance as of 11/15/63
A-Stipend		\$11,400.00		\$11,400.00	\$13,300.00	- \$1,900.00
B-Clerical & Testing	;	2,000.00	\$200.00	2,200.00	2,651.00	- 451.00
C-Fees to Subjects	<u> </u>	600.00	37.50	637.50	365.50	+ 272.00
D-Cost of Computation	n \$ 840.00	1,000.00		1,840.00	1,666.00	+ 174.00
s-Cost of Publication	a 600.00			600.00	39.76	+ _ 560.24
F-Travel	292.30	200.00	7.50	499.80	406.90	+ 92.90
G-Office Expenses	214.35	1,200.00	23-35	1,437.70	1,609.32	171.62
H-Test Equipment		400.00	22.00	422.00	101.93	* + 520.07
J-Books & Journals	102.89	200.00		302-89	255.15	+ 47_74
K-Contingencies	1.122.96			1.122.96	67.29	+ 1.055.67
	\$3,172.50	\$17,000.00	\$290.35	\$20,462.85	\$20,462.35	nil

74-184 F

FINAL

	a	Date 5 September 1963
. ,		
Branch	OB Category	(VIa)
		(formerly Va)
Project Tit	leN_A	Item Classification None
		· · · · · · · · · · · · · · · · · · ·
Project Cr	ypto MKULTRA # 74	Crypto Classification Unclassified
	•	θ
Branch Pro	niect No. N.A.	Project Engineer
		200
	5	
Contractor.		
•	,	
Contract N	74	Task No. N.A.
00.11.10.00 11	V	A VOIL AT VI
		~
Type of Co	ontract MKULTRA	Date Initiated 7 August 1962
	•	
Cost	\$15,000,00	Completion Date 7 August 1963
COSt		Completion Date
		\mathcal{G}
Purpose:	To enable the	to_make-small "cover" grants
	for studies which wi	Il include the assessment
	technique, training	techniques, psychological and psychophar- interrogation and illicitation, studies
	of motivation and at	titudes.
		CA.
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	•	
Status:	This is a continuing	project with work first started in
	value have been prov	eports and assessments of psychological ided.

Requirement:

Internally generated in TSD.

15 October 1962

74

Authorization #11

74______\$15,000.00

3125-1390-3902.

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MEMORANDUM FOR:

THE RECORD

SUBJECT

Supplement to Project MKULTRA,

Subproject 74

With this request for supplemental funds the purpose of 1. Subproject 74 will be increased considerably in scope. The original purpose, to enable the grants to make small "cover" grants for studies which are not of immediate interest to TSD/RB in order to propagate the present cover of being interested in the broad field of human ecology, will remain. The level of effort and the considerations governing the use of funds for "cover" purposes will remain the same as outlined in the Memorandum for the Record, dated 2 August 1961, The supplemental funds requested will be used in support of the development program being spearheaded by C/BAB/TA/TSD.

2. The Behavioral Activities Branch has undertaken responsibility for developing a number of psychological aids to the point where they have a potential for current operational usage by the operating divisions of the DD/P. These developments include the assessment technique, other indirect assessment techniques, training techniques, psychological and psychopharmacological aids to interrogation and elicitation, studies of motivation and attitudes, and any other developments which could increase the scope of psychological support for Agency operations. Research funds







utilized in the past for a number of research studies in support of this kind of effort will now be absorbed under Subproject 74. The will serve as cutout and administrator for the disbursal of these funds. Expenditures will be made in direct support of the program of the Behavioral Activities Branch.

- 3. The costs for this additional support are estimated not to exceed \$14,000 for a period of one year. Charges should be made against Allotment 2125-1390-3902. Accounting for these funds shall conform to procedures established for the
- 4. The will require the grantees or the institutions of which they are members to submit annual accountings of a nature which is customary for this type of grant.
- 5. The grantee will document and account for any travel expenses which are reimbursable by this parent organization and will conform with the accepted practices of that organization. If the grant should be made to an independent individual, any travel expenses will be accounted for in his accountings to the
- 6. Individual grants made under this subproject will bear the prior approval of the Assistant Chief for R&D as requested by the Chief, TSD.

TSD/Research Branch

APPROVED FOR OBLIGATION OF FUNDS

Acting Chief, DD/P/TSD

Distribution: Original Only



Oven.

Approved for Additional Obligations of Funds: \$15,000.00. Charges should be made against Allotment 3125-1390-3902.

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Approved for Additional Obligation of

Funds: \$15,000.00. Charges should be made against Allotment 3125-1390-3902.

RECEIPT

Receipt is hereby acknowledged of Treasurer's Check No. 194420, dated October 9, 1962, drawn on the

payable to the \$15,000.00.

in the amount of



Date 13 August 1962

Branch BB Category Behavi	or Prediction & Control (V-a)
Project Title	. Item Classification None
Project Crypto MKULTRA	Crypto Classification None
Branch Project No	Project Engineer Dr.
	Task No. Authorization 188 74//
	Date Initiated 7. August 1962
$\mathcal B$	Completion Date 7 August 1963
tuaining techniques, psychological	o make small "cover" de the sessment technique, l and psychopharmacological aids to lies of motivation and attitudes.

Status: This is a continuing project with work first started in August 195%. Many reports and assessments of psychological value have been provided.





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No:	- 1/

Cost Account 3125-1390-3902

Object Class ____

Date	Remarks and References .	ObligationsIncurred	Obligations Liquidated	Unliquidated Balance
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7 August 1962

Authorization #10

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Date

Date

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1390-3902-

AUTHORIZING OFFICER

Date

Colegory-BB V-a.

15 October 1962

74

Authorization #11

74

\$15,000.00

3125-1390-3902.

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74-192

No.

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Sub-Bry 74

Cost Account 1125-1593-3902

Object Class -

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Date: 2 August 1961.

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memorandum i	FOR: THE COMPTROLLER	
attention	: Finance Division	
Subject	: MKULTRA, Subproject 24 Additional Authorization No. 5	
Under	the authority granted in the memorand	lum dated 13 April 1953
from the DC	I to the DD/A, and the extension of t	his authority in sub-
sequent sam	oranda, Subproject 74 has been ap	proved, and 626,300.00
of the over	-all Project MANATRA funds have been	obligated to cover the
subproject'	s expenses and should be charged to	cost center 2125-1390-3903, .
Approved yo of Yunds:	R OBLICATION 1 CERTIFY DESIGNATION	Chler /Rasearch Branch THAT RUNDS ARE AVAILABLES REPRESENTED TO STORE ALLOTMENT NO. 2135-1170-3902 ALLOTMENT AND OFFICER
Researc	h Director	A CONTRACTOR OF THE CONTRACTOR
Date:		
Distributio	n: . & 2 - Addressee	
VI 2 8.11183	Z-TSD/FASS 2-TSD/RB	
TSD/RB	(2 August 1961)	
A		

Date: 2 November 1961

MEMORANDUM FOR: THE COMPTROLLER

ATTENTION :

: Finance Division

SUBJECT

: MKULTRA, Subproject 74

Under the authority granted in the memorandum dated 13 April 1953

from the DCI to the DD/A, and the extension of this authority in subsequent

memoranda, Subproject 74 has been approved, and \$100,000.00

of the ever-all Project MKULTRA funds have been obligated to cover the subproject's expenses and should be charged to cost center 2125-1390-3902

A Chief
TSD/Research Franch

APPROVED FOR OBLIGATION OF FUNDS:

A

Research Director

Dates

Distribution:

Original & 2/Addressee

- TSD/FASS

2 - TSD/RB



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Date: 12 April 1962

MEMORANDU	M FOR: THE COMPTROL	LLER	
ATTENTION	: Finance-Division		
SUBJECT	: MKULTRA, Subj	project 7	
Under	the authority granted in th	io memorandum éased l	3 April 1953
from the DCI	to the DD/A, and the exte	meter of this authority i	n aubsequent
memoranda.	Subproject has be	een approved, and \$5	,000.00
of the over-al	ll Project MKULTRA jund	s have been obligated to	cover the
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APPROVED OF FUNDS:	E COST CONTRACTOR & VAN	I CERTIEY THAT REIDS ARE ANAR.	APASS
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Date:	Committee of the Commit	The second secon	
Distribution	: 2 - Addresses		
Original &	u TSD/FASS		
	2 - TSD/RB		

Date:	12 April	1962

${ t MEMORANDUM}$ FOR: ${ t THE.COMPTRO}$	MEMO	ANDUM B	OR: THE	COMPTR	OLLER.
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ATTENTION:

: Finance Division

SUBJECT

: MKULTRA, Subproject 74

Under the authority granted in the memorandum dated 13 April 1953

from the DCI to the DD/A, and the extension of this authority in subsequent
memoranda, Subproject 74 has been approved, and \$20,000.00

of the over-all Project MKULTRA funds have been obligated to cover the
subproject's expenses and should be charged to cost center 2125-1390-3902

Chief TSD/Research Branch

APPROVED FOR OBLIGATION OF FUNDS:

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Chief, TSD

Distribution:

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1 - TSD/FASS

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29 May 1962

\$20,000.00

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29 May 1962

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Dates 21 Jun 142

MEMORANIZUM FOR: THE COMPTROLLER

ATTENTION

: Finance Division

Subject

: MEULIPA, Subproject

Under the authority granted in the memorandum dated 13 April 1903

from the DCI to the DD/A, and the extension of this authority is subsequent memorands, subproject has been approved, and 414,440.00 of the over-all Project MODATA funds have been obligated to cover the subproject's expenses and should be charged to cost center 2005.13. 38.2

TSD/Research Branch

APPROVED FOR OBLIGATION OF YUNES:

Chief, DD/P/TED

Date:

Distribution:

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I CERTIFY THAT PURIOS ARE AVAILABLE

CAUGATION REFERENCE No. 387 CAUGA TO ALLOTAINT No. 2/25-2/390-3903

AUGHORIZING OFFICER



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7 August 1962

74

Authorization #10

\$15,000.00

3125-1390-3902



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Date

OBLIGATION BUTTERICE No. 279

OBLIGATION TOTAL NO. 3125-1396-396

Date_13 August 1962

Branch BB Category Behavi	or Prediction & Control (Y-a)
Project Title	Item Classification None
Project Crypto MKULTRA.	Crypto Classification - Kose
Branch Project No/	Project Engineer
Contractor	
Contract No. Subproject 74	Task No. Authorization /10
Type of ContractR&D	Date Initiated 7 August 1962
Cost \$15,000.00	Completion Date 7 August 1963
Purpose: To enable that grants for studies which will include training techniques, psychological sinterrogation and illicitation, studies	to make small "cover" the sessessment technique, and psychopharmacological aids to as of motivation and attitudes.

Status: This is a continuing project with work first started in August 195 % Many reports and assessments of psychological value have been provided.

MEMORANDUM FOR: THE RECORD

SUBJECT:

MKULTRA, Subproject 74

1. The purpose of Subproject 74 will be to fulfill what is considered a deficiency in the present cover of the At the present time the has been making grants to large institutions for research work of immediate interest to TSS/CD. It is felt that for the to continue to propagate its present cover of being interested in the broad field of human ecology, it will be necessary to make "cover grants". These grants will be small monetarily and will not necessarily be of immediate interest to TSS/CD. However, it is thought that they will contribute materially to the cover and security of the

2. At a meeting of the Board of Directors of the on on all March 1957, at which the DCI was present, this matter was discussed in full. The DCI at that meeting assured the Board that he understood and approved of the necessity for the to engage in certain activities not related to our immediate interests in order to validate itself.

grants will be made nor who the grantees will be. However, each grantee's application to the will be reviewed by the authorized mechanism and a decision made for acceptance. Upon approval of the the proposed grant will then be approved by C/TSS/CD and C/TSS.

4. It is estimated that the total cover grants will not exceed \$25,000.00 for a period of one year. Charges should be made against Allotment 7-2502-10-001.

5. The will require these grantees or the institutions of which they are members to submit annual accountings of a nature which is customary for this type of grant.

6. The grantee will document and account for any travel expenses which are reimbursable by his parent organization and will conform with the accepted practices of that organization. If the grant



OUCHER NO. 7-12		DATE 2-6				VOUCHER N	10. 7-12		
DESCRIPTION-ALL OTHER ACCO	28.33	N 40-42 F EXPEND U	PAY REF		56- 57 ALLOT.	OR COST	68-70 DUE DATE		BO
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10	July	1962

MEMORANDUM FOR: CHIEF, FINANCE DIVISION	MEMORANDUM	FOR:	CHIEF.	FINANCE	DIVIS	ON
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VIA

: TSD/Budget Officer

SUBJECT

MKULTRA, Subproject No. 74, Invoice 12, Allotment 2125-1390-3902

1. Invoice No. 12 for the above subproject is attached.

Payment should be made as follows:

Cashier's check in the amount of \$14,000.00

drawn on a stand made payable to the

Z. Please forward the check to Chief, TSD/Research
Branch through TSD/Budget Officer as soon as possible.
Other invoices will follow.

Chief,
TSD/Research Branch

Attachments:__

Invoice & Certifications

19 101

Distribution:

Orig & 2 - Addressee

1 - TSD/FASS L

2 - TSD/RB

I CERTIFY THAT RUNDS ARE AVAILABLE

CONCATION RUTTESICE No. 381

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AUTHORITING OFFICE



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I hereby acknowledge the receipt of the following:

Treasurer's Check, No. 191880, drawn on the dated June
15, 1962 in the amount of \$20,000.00 (Twenty Thousand Dollars) payable to the

Date: June

. . .



29 May 1962

MEMORANDUM FOR:	CHIEF,	FINANCE	MOISIAIG
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VIA

1 TSD/Budget Officer

SUBJECT :

MKULTRA, Subproject No. 74, Invoice #11, Allotment 2125-1390-3902

1. Invoice No. 11 for the above subproject is attached.

Payment should be made as follows:

Cashler's check in the amount of \$20,000.00 drawn on a second made payable to the

2. Please forward the check to Chief, TSD/Research Branch through TSD/Budget Officer, as soon as possible.

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ADVANCE ACCOUNTS 13-27	P.O. NO.	PROP. NO.	}	D 5	CODE			LEDGER ACCT, NO.		62-67 CK. NO. X REF. NO.	OBJECT CLASS	02.817		CRE	017
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- 18 April 1968

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: Misson Andrea

SUBJECT!

: MIKULERA, Sabproject 1

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Original & A . Addraga 355

1 - TSD/RASS 2 - TSD/RB

RECEIPT

I hereby acknowledge the receipt of the following:

Treasurer's Check, No. 192868, drawn on the dated July 30, 1962 in the amount of

\$14,000.00 payable to the

Date:

august 2, 1960



12 April 1962

MEMORANDUM FOR: THE RECORD

SUBJECT

Supplement to Project MKULTRA,

Subproject 74

1. With this request for supplemental funds the purpose of Subproject 74 will be increased considerably in scope. The original purpose, to enable the to make small "cover" grants for studies which are not of immediate interest to TSD/RB in order to propagate the present cover of being interested in the broad field of human ecology, will remain. The level of effort and the considerations governing the use of funds for "cover" purposes will remain the same as outlined in the Memorandum for the Record, dated 2 August 1961. The supplemental funds requested will be used in support of the development program being spearheaded by C/BAB/TM/TSD.

where they have a potential for current operational usage by the operating divisions of the DDP. These developments include the assessment technique, other indirect assessment techniques, training techniques, psychological and psychopharmacological aids to interrogation and elicitation, studies of motivation and attitudes, and any other developments which could increase the scope of psychological support for Agency operations. Research funds

2. The Behavioral Activities Branch has undertaken responsi-

bility for developing a number of psychological aids to the point





of this kind of effort will now be absorbed under Subproject 74.

will serve as cutout and administrator for the distursal of these funds. Expenditures will be made in direct support of the program of the Behavioral Activities Branch.

- 3. The costs for this additional support are estimated not to exceed \$20,000 for a period of one year. Charges should be made against Allotment 2125-1390-3902. Accounting for these funds shall conform to procedures established for the
- 4. The will require the grantees or the institutions of which they are members to submit annual accountings of a nature which is customary for this type of grant.
- 5. The grantee will document and account for any travel expenses which are reimbursable by this parent organization and will conform with the accepted practices of that organization. If the grant should be made to an independent individual, any travel expenses will be accounted for in his accountings to the
- 6. Individual grants made under this subproject will bear the prior approval of the Assistant Chief for R&D as requested by the Chief, TSD.



TSD/Research Branch

APPROVED FOR OBLIGATION OF FUNDS:

Chief, DD/P/TSD

Date Une 11, 1962

Distribution: Original Only

number of research studies in support of this kind of effort will now be absorbed under Subproject 74. The

vill serve as cutout and administrator for the disbursal of these funds. Expenditures will be made in direct support of the program of the Behavioral Activities Branch.

3. The costsfor this additional support are estimated not to exceed \$5,000 for a period of one year. Charges should be made against Allotment 2125-1390-3902. Accounting for these funds shall conform to procedures established for the

4. The will require the grantees or the institutions of which they are members to submit annual accountings of a nature

which is customary for this type of grant.

5. The grantee will document and account for any travel expenses which are reimbursable by this parent organization and will conform with the accepted practices of that organization. If the grant should be made to an independent individual, any travel expenses will be accounted for in his accountings to the

6. Individual grants made under this subproject will bear the prior approval of the Assistant Chief for R&D as requested by the Chief, TSD.

Core

TGD/Research Branch

APPROVED FOR OBLIGATION OF FUNDS:

A



Distribution: Original

only

Date: 18 April 1962

MEMOR	MITTER	WOR:	THE	COME	YTR.	CLLER

MCITMETTA

: Finance Division

SUBJECT

: MKULTRA, Subproject

74

Under the authority granted in the momerandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memorands. Subproject The has been approved, and \$20,000.00 of the over-all Project MKULTRA funds have been obligated to cover the subproject's expenses and should be thanged to cost center \$125-130-300

A Chief

TSD/Research Franch

APPROVED FOR OBLIGATION OF FUNDS:

PERMITY THAT RILES ARE AVARABLES

DELOATION REFERENCE No. 381

CHICA TO ALLOTHUM No. 2/25/1390-390/

Desert

AUTHORISHING OFFICE

Distribution;

Original & 9 - Laidressoo

/ - TSD/RASS

2 - TSD/KB

RECEIPT

Receipt is hereby acknowledged of the following check:

Treasurer's Check No. 187893, dated December 18, 1961, drawn on the payable to the amount of \$101,000.00.

A Date: Acc. 20, 1961



14 December 1961

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA

1 TSD/Budget Officer

SUBJECT

MKULTRA, Subproject No. 74 Invoice No. 10, Allotment 2125-1390-3902

1. Invoice No. 10 for the above subproject is attached.
Payment should be made as follows:

Cashier's check in the amount of \$101,000.00 drawn on a

2. Please forward the check to Chief, TSD/Research Branch through TSD/Budget Officer, as soon as possible.

3. This is a final invoice. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

Checke

Activity

TSD/Research Dranch

Attachments:

Invoice & Certifications

Distribution:

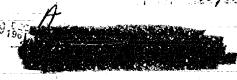
Orig & 2 - Addressee

1 - TSD/FASS

2 -- TSD/RB

2.38-1390.3912

TO SELLE



74-212



INVOICE

For Services

\$101,000.00

B

CERTIFICATIONS-

(1) It is hereby corrided that this is invoice 10 applying to the project No. 74 of MKULTRA, that performance is satisfactory, that services are bring accomplished in accordance with mutual agree materials, that a detailed agends of the payments and receipts is on file in TOD/NO, that this bill is just and correct and that payment thereof has not yet been made.

Chief Tooll secarch Branch

34. 14 December 1961

Research intestor

4 **1** 1 1 1



DRAFT
2 November 1961

MEMORANDUM FOR: THE RECORD

SUBJECT :

: Supplement to Project MKULTRA,

Subproject 74.

of Subproject 74 will be increased considerably in scope. The
original purpose, to enable the

"cover" grants for studies which are not of immediate interest to
TSD/RB in order to propagate the
interested in the broad field of human ecology, will remain. The
level of effort and the considerations governing the use of funds for
"cover" purposes will remain the same as outlined in the Memorandum
for the Record, dated 2 August 1961. The supplemental funds requested will be used in support of the development program being
spearheaded by C/BAB/TA/TSD.

2. The Behavioral Activities Branch has undertaken responsibility for developing a number of psychological aids to the point where they have a potential for current operational usage by the operating divisions of the DDP. These developments include the





-2-

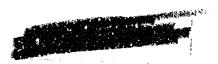
assessment technique, other indirect assessment techniques, training techniques, psychological and psychopharmacological aids to interrogation and elicitation, studies of motivation and attitudes, and any other developments which could increase the scope of psychological support for Agency operations. Research funds utilized in the past for a number of research studies in support of this kind of effort will now be absorbed under Subproject 74.

The will serve as cutout and administrator for the disbursal of these funds. Expenditures will be made in direct

3. The costs for this additional support are estimated not to exceed \$100,000 for a period of one year. Charges should be made against Allotment 2125-1390-3902. Accounting for these funds shall conform to procedures established for the

support of the program of the Behavioral Activities Branch.

4. The will require the grantees or the institutions of which they are members to submit annual accountings of a nature which is customary for this type of grant.



		\mathbf{IP}	

Receipt is hereby acknowledged of the following check:

Cashier's Check No. 659 27167, dated October 25, 1961, in the amount of \$25,000.00, drawn on the payable to the

A

Date: Rasember 8, 1961



Date: 2 November 1761

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ATTENTION		
SUBJECT	: MKULTRA, Subpr	oject 74
	,	
		memorandum dated 13 April 1953
from the DC	CI to the DD/A, and the extens	nien of this authority in subsequent
memoranda	, Subproject 74 has bee	n approved, and \$100,000.00
		have been obligated to cover the
subproject;	s expanses and should be char	ged to cost center _ 2125-1393-3932 .
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,		TSD/Research Branch 1
	D FOR OBLIGATION	
OF FUNDS		
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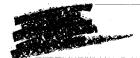
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1 - TSD/FASS 2 - TSD/RB



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0-59 606 USE PREVIOUS EDITI	ions.					SECRE								110	



30 August 1961

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA

: TSD/Budget Officer

SUBJECT

MKULTRA, Subproject 74, Invoice No. 9
Allotment 2125-1390-3902

1. Invoice No. 9 for the above subproject is attached. Payment should be made as follows:

Cashier's check in the amount of \$25,000,00 drawn on a bank and made payable to the

2. Please forward the check to Chief, TSD/Research Branch through TSD/Budget Officer, as soon as possible. Another invoice will follow.

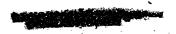
Chiei
TSD/Research Branch

Attachments: Invoice & Certifications

Distribution:
Orig & 2 - Addressee

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For Services	\$25,000,00
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CERT	ifications ————————————————————————————————————
(1) It is hereby certified that t	his is Invoice 9 applying to
	TRA, that performance is satisfactory,
that services are being accomplis	shed in accordance with mutual agree-
	the payments and receipts is on file
	and correct and that payment thereof
has not yet been made.	
•	
	Chief, TSD/Research Branch
Date:	
	kyp) p
<u> </u>	
	this invoice applies to Subproject 74
	roved, and that the project is being
	e memorandum of 13 April 1953 from
	ension of this authority in subsequent
memoranda,	
· .	
	Research Director
Date:	
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DRAFT/ A A

MEMORANDUM FOR: THE RECORD

SUBJECT

: Continuation of MKULTRA, Subproject 74

1. The purpose of this project will remain the same as when it was originally established: to enable the to make small "cover grants" for studies which are not necessarily of immediate interest to TSD/RB in order to propagate the present cover of being interested in the broad field of human ecology.

2. The continuing mechanism of "cover grants" was established

of Directors of the on 11 March 1957. In addition approval was granted in February 1959 for the Board to disburse these grants at its own discretion. The major consideration in the use of these funds is to remain as originally laid down: that is, the funds should be spent over as large a number of small projects as possible in order to achieve maximum value in a cover sense. A secondary consideration in every case is that information of particular value to the Agency might be obtained. The purpose of changing the original procedure was twofold. By eliminating the multiple approval originally required, a certain amount of administrative delay in the initiation of projects could be eliminated. In addition, it is thought that the Board itself could function in a much more authentic fashion if, during each year, it has available this sum of money for disbursement on the basis of its own authority.

shall function as cover and cutout for this project. The total estimated costs for the "cover" grants is \$25,000.00 for a period of one year. To this must be added \$1,000.00 which represents the 4% service charge due to the cutout. The cost of the project for one year, therefore, will not exceed \$26,000.00. Charges should be made against Allotment 2125-1390-3902.

4. The will require these grantees or the institutions of which they are members to submit annual accountings of a nature which is customary for this type of grant.

5. The grantee will document and account for any travel expenses which are reimbursable by his parent organization and will conform with the accepted practices of that organization. If the grant should be made to an independent individual any travel expenses will be accounted for in his accountings to the Fund.

TSD/Research Branch

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Date	•					
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Distribution: Driginal Only





Date: 2 August 1961

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ATTENTION

Finance Division

SUBJECT

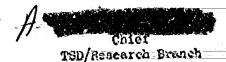
: MKULTRA, Subproject 9A

Authorization #5

Under the authority granted in the memorandum dated 13 April 1953

from the DCI to the DD/A, and the extension of this authority in subsequent memorands, Subproject 74 has been approved, and 626,000.00

of the over-all Project MULTRA funds have been obligated to cover the
subproject's expenses and should be charged to cost center 2125-1390-3902.



Approved for obligation of funds:

Research Director

Date:

Distribution:

Original & 2 - Addressee

1 - TSD/FASS

2 - TSD/RB

TSD/RB: (2 August 1961)



8_December 1960

MEMORANDUM FOR THE RECORD

C,F

SUBJECT: Proposal

C, B, F

1. At the research meeting of 12/8/60, a decision
was made to accept the recommendation of that that
the project be approved for an expenditure of \$4,500 over a three-year period for the support of ture of \$4,500 over a three-year period for the support of the through 1963. This will be funded through the cover trants.

B.f

Cover Grants.

2. It was suggested that the existence of the fact that we find considerable value to the existence of the and that, should it develop that support from other sources is not forthcoming over the next three-year period, we reconsider the level of funding.

SIDNEY GOTTLIEB

Orig only.

I have examined and approved the submitted expenditures. TSS/Chemical-Division September 15. Dates 21 Systember 1960 Memorandum to Small Grant Projects A summary of the accounting on the Small Grants beginning in \$ 25,000.00 6,225.00 2,000.00 5,000.00 10,780.00 -1959 24,005.00 \$995.00 Balance 1958 25,000.00 2.500.00 449.10 6.141.00 6,000.00 3,000.00 25,090.10 5,000.00 (90.10)Balance 1959 \$ 904,90 25,000.00 Received: Expended: 7,136.25 Balance as of 9-15-60

RECEIPT

Receipt is hereby acknowledged of the following check:

Treasurer's Check No. 85097, dated August 30, 1960, in the amount of \$25,000.00, drawn on the payable to the

Conte: Sept le, 1960

15 August 1960

MEMORARDUM	FOR:	CHIEF.	PINANCE	DIVISION
PREPAREUS LILANI	EVAL	Amres 1	E TOTAL STATE OF	The Age of Street, Street, Street,

YIA

: TSD/Budget Officer

SUBJECT

: MRULTRA, Subproject 74, Invoice No. 8 Allotment 1525-1009-1902

1. Invoice No. 8 for the above subproject is attached. Payment should be made as follows:

> Cashier's check in the amount of \$25,000.00 drawn. bank and made payable to the

2. Please forward the check to Chief, TSD/Research Branch through TSD/Budget Officer by Monday, 29 August 1960.

3. This is a final invoice. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

> Chief TED/Research Branch

Attachments: Invoice & Certifications

Distribution: Orig & 2 - Addresses

> 1 - TSD/FASS

I CERTIFY THAT FUNDS ARE AVAILABLES T OSLIGATION REFERENCE No. CHARGE TO ALLOTAIENT No. 1575-179

AUTHORIZING OFFICER



INVOICE

700 N

	The state of the s	
For Services	\$25,000.00	
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	CERTIFICATIONS	
(1) It is hereby cen	tified that this is Invoice No. 8 applying to Sub-	·····
project 74 of MOULTRA	, that performance is satisfactory, that services	
are being accomplished	d in accordance with mutual exceements, that a	
detailed agenda of the	e payments and receipts is on file in TSD/RB, that correct and that payment thereofhas not yet been	-
ERGS OTT IS THE STA	Cottage and dree bedressed engineering not been seen	
market 4		
	Chief, TSD/Research Branch	
Date:		
(2) It is harohy can	tified that this invoice applies to Subproject 74	
of MOJITRA which was	duly approved, and that the project is being car-	
ried out in accordant	e with the memorandum of 13 April 1953 from the	
DCI to the DD/A, and	the extension of this authority in subsequent	

Research Director

Dates

memoranda.



CONFIDENTIAL FUNDS POSTING VOUCHER DATE 2-6 VOUCHER NO. 7-12 VOUCHER NO. 7-12 34-39 STATION CODE 47-52 58-67 68-70 DESCRIPTION- ALL OTHER ACCOUNTS 13-33 71-80 45-46 40-42 OBLIG. REF. NO. 53 ALLOT. OR COST DUE 54-57 AMOUNT PAY EXPEND GENERAL PER. DESCRIPTION-CODE 62-67 CK. MO. OBJECT X REF. NO. CLASS ADVANCE ACCT, WO. CA LEDGER PROF. NO. P. G. NO. LIQ. ADVANCE ACCOUNTS 13-27 YR ACCT. NO. DEBIT CREDIT 32-33 PROJECT NO. CODE ... 1010 12 1009 198 1 1 1/2 بهراني ورمايع!! مستسمه TOTALS CERTIFIED FOR PAYMENT OR CREDIT REVIEWED BY SIGNATURE OF CERTIFYING OFFICER DATE

10-59 606 USE PREVIOUS EDITIONS.

(10-49)

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Object Class _____

Date	Remarks and References	Obligations Incurred	Obligations Liquidated	Unliquidated Balance
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23 May 1960

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MANA	ALL PA	PORT

COMPTROLLER

BOTTSTTA

: Finance Division

SUBJECT

: MRULIRA, Subproject 74, Additional

Authorisation 80. 4

Under the suthority granted in the memorandum dated 13 April 1953
from the DCI to the DD/A, and the extension of this suthority in subsequent memorands, Subproject 74 has been approved and \$25,000.00 of the
over-all MULIFA project funds has been obligated to cover the subproject's
expenses. This obligation of funds should be charged to Allotment 05251003-1202. 1525-1009-1902.

Chief
Tem/Chemical Branch

Funds:		OMIGATION REFERENCE NO.
Resci	rch Director	
to:		

1 - TSD/CC >1 - TSD/FASS



TO: 788/00 1. Date of Obligations NA 3. Purpose of Project. Funds for "Cover Grants" for the 3. Progress to Date: Satisfactory N/A 4. Expiration Date:__ As. Project Monitor FROM: ISS/CD Priority #5



DRAFTA 23 May 1960

MEMORANDUM FOR: THE RECORD

SUBJECT

Continuation of MKULTRA, Subproject 74

1. The purpose of this project will remain the same as when
it was originally established: to enable the

to make small "cover grants" for studies
which are not necessarily of immediate interest to TSS/CD in order to
propagate the present cover of being interested in the broad
field of human ecology.

2. The continuing mechanism of "cover grants" was established

of Directors of the continuous of the DCI at a meeting of the Board of Directors of the continuous of 11 March 1957. In addition approval was granted in February 1959 for the Board to disburse these grants at its own discretion. The major consideration in the use of these funds is to remain as originally laid down: that is, the funds should be spent over as large a number of small projects as possible in order to achieve maximum value in a cover sense. A secondary consideration in every case is that information of particular value to the Agency might be obtained.

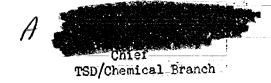
The purpose of changing the original procedure was twofold. By eliminating the multiple approval originally required, a certain amount of administrative delay in the initiation of projects could be eliminated. In addition, it is thought that the Board itself could function in a much more authentic fashion if, during each year, it has available this sum of money for disbursement on the basis of its own authority.



_ 2 -

- 3. It is estimated that the total cover grants will not exceed \$25,000.00 for a period of one year. Charges should be made against 1525-1009-1902.

 Allotment 0525-1009-1902.
- 4. The will require these grantees or the institutions
 of which they are members to submit annual accountings of a nature which
 is customary for this type of grant.
- 5. The grantee will document and account for any travel expenses
 which are reimbursable by his parent organization and will conform with
 the accepted practices of that organization. If the grant should be
 made to an independent individual any travel expenses will be accounted
 for in his accountings to the



OF FUNDS:	FOR OBLIGATION	
A	etron Division	
Date:	6/15/60	

Distribution:
Original only

RECEIPT

Receipt is hereby acknowledged of the following check:

Treasurers Check No. 240229, dated 12 August 1959, in the amount of \$25,000.00, drawn on the payable to

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6 August 1959

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

AIA

1 T68/Budget Officer

SUBJECT

MULTRA, Subproject 74, Invoice No. 7
Allotment 9-2502-74-902

1. Invoice No. 7 for the above subproject is attached. Pay-

Cashier's check in the amount of \$25,000.00, drawn on a grand made payable to the

2. Please forward the check to Chief, TES/Chemical Division through TES/Budget Officer by Thursday, 20 August 1959.

3. This is a final invoice. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

Chief
TSS/Chemical Division

Attachements: Invoice & Certifications

Distribution

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8/14 -

MUNIT OF BEST

12444



INVOICE

For Services

\$25,000.00



CERTIFICATIONS

(1) It is hereby certified that this is Invoice No. 7 applying to Subproject 74 of MULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and dorrect and that payment thereof has not yet been mede.

Chief, TSS/Chemical Division

Date:

(2) It is hereby certified that this invoice applies to Subproject 74 of MULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

RESEARCH DIRECTOR

DATE:





16 March 1959

MEMOR ANDUM	FOR: COMPTROLLER	
ATTENTION	: Finance Division	
SUBJECT	MKULTRA, Subproject 7	4, Additional

Under the authority granted in the memorandum dated

13 April 1953 from the DCI to the DD/A, and the extension of
this authority in subsequent memoranda, Subproject 74 has been
approved and \$25,000.00 of the over-all MKULTRA project funds
has been obligated to cover the subproject's expenses. This
obligation of funds should be charged to Allotment 9-2502-75-902.



Approved w	N. Ontificuas	J
of Funds:	Griginal Signal by	1 CENTRY THAT PUNDS ARE AVAILA EMISATION ECHANCE NO. 3600 CHARGE TO ALLOTMENT NO. 9-3500
	. Commence of the second	AUTHORIZING OFFICER
Research	h Director	
Date:	27 %器	
	- Addressee	

should be made to an independent individual any travel expenses will be accounted for in his accountings to the

TSS/Chemical Division

APPROVED FOR OBLIGATION Approved: OF FUNDS:

Research Director

Chemical Division

Distribution: Original only

Approved for Additional Obligation of Funds: \$25,000.00. Funds will be disbursed under this project according to Method outlined in the Memorandum of 9 February 1959.

VY Research Director

Date:

RECEIPT

Receipt is hereby acknowledged of the following check:

Treasurer's Check No. 234052, dated March 4, 1959, in the amount of \$4,000.00, drawn on the amount of \$2,000.00, drawn on the and Cashier's Check No. UT 4944, dtd March 4, 1959 in the amount of \$7,275.00 payable to the

0

Date

1-7920157



9 February 1959

MEMO	RANDUM FOR: CHIEF, FINANCE DIVISION
VIA	: TSS/Budget Officer
SUBJE	CT: MKULTRA, Subproject 74, Invoice No. 6 Allotment 9-2502-75-902
ment e	1. Invoice No. 6 for the above subproject is attached. Pay- should be made as follows:
ε	Cashier's check in the amount of \$11,775.00, drawn on bank and made payable to the
B	2. Please forward the check to Chief, TSS/Chemical Division b TSS/Budget Officer by Monday, 23 February 1959.
that a	3. This is a final invoice. However, since it is anticipated ditional funds will be obligated for this project, the files should closed.
	Chief TSS/Chemical Division
Attac	hmenter
	ice & Certifications 6 36
Distr -Orig	ibution: ; & 2 - Addresses

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INVOICE

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For Serv	ices		\$11,775.00	<u> </u>
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	CERT	IFICATIONS		
l) It is l	hereby certified that th	is is Invoice #6 app	olying to Subproject	
No. 74 of	MKULTRA, that perfo	rmance is satisfac	tory, that services	**
	accomplished in according a second according to the payment of the			
	bill is just and correct			*
been mad				
	:			
		Chief, TSS/Che	mical Division	
Date:				•
	hereby certified that th			
	A which was duly approut in accordance with t			
	DCI to the DD/A, and			
sequent n	nemoranda.		• • • • • • • • • • • • • • • • • • • •	
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	1	RESERROD DIN	LOION	
Date:				
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9 February 1959

MEMORANDUM FOR THE RECORD

SUBJECT: Disbursement of Funds Under Project MKULTRA Subproject 74

1. At the joint meeting of representatives of TSS and the Board of Directors of the which took place in on 20 October 1958, it was decided that the funds made available by TSS in Subproject 74 would henceforth be disbursed at the discretion of the Board of Directors of the . The major consideration in the use of these funds was to remain as originally laid down: that is, the funds should be spent over as large a number of small projects as possible in order to achieve maximum value in a cover sense. A secondary consideration in every case is that information of particular value to the Agency might be obtained. The purpose of changing the original procedure was twofold. By eliminating the multiple approval originally required, a certain amount of administrative delay in the initiation of projects could be eliminated. In addition, it was thought that the Board itself could function in a much more authentic fashion if, during each year, it had available this sum of money for disbursement on the basis of its own authority.

2. In order to implement this decision the remainder of the funds obligated under FY-59 are being transmitted to the for its use. The amount is \$11,775.00 chargeable to Allotment 9-2502-75-902.

Chief
TSS/Chemical Division

APPROVED FOR DISBURSEMENT OF FUNDS:

Research Director

Date: 2 11 59

Orig only.



6

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RECEIPT

I hereby acknowledge receipt of the following:

Cashier's Check No. M42357, in the amount of \$5,000.00, drawn on dated October 24, 1958, and payable to th

The same of the sa

Date:



21 October 1958

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA

TSS/Budget Officer

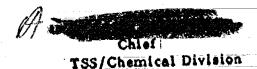
SUBJECT:

:= MKULTRA, Subproject 74, lavoice #5 Allotment #9-2502-75-902

1. Invoice No. 5 covering the above subproject is attached. Other invoices will follow. It is requested that payment be made as follows:

Cashier's check for \$5,000.00 drawn on a

2. The check should be forwarded to Chief, TSS/Chemical Division through TSS/Budget Officer, no later than Monday, 3 November 1958.



Attachments:_ Invoice & Certifications

Distributions' Orig & 2 - Addressee

199 000 32 Com. 1 - 22324 524 2 20 2

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INVOICE

For Services	\$5,000.00
\mathcal{B}	

CERTI	FICATIONS
No. 74 of MKULTRA, that perfo	s is Invoice #5 applying to Subproject rmance is satisfactory, that services dance with mutual agreements, that
a detailed agenda of the payment	s and receipts is on file in TSS/CD, and that payment thereof has not yet
osen made.	
7	bief, TSS/Chemical Division
Date:	
(2) It is hereby certified that th	is invoice applies to Subproject 74 of
MKULTRA which was duly appro	oved, and that the project is being
carried out in accordance with the	he memorandum of 13 April 1953 the extension of this authority in sub-
sequent memoranda.	Ma aveniaton or the grenomic, or acc-
	Research Director
· · · · · · · · · · · · · · · · · · ·	
Date:	
e e	

RECEIPT

Receipt is hereby acknowledged of the following checks:

Treasurer's Check No. 161076, dated September 29, 1958, in the amount of \$6,225.00, drawn on the payable to the

Treasurer's Check No. 161078, dated September 29, 1958, in the amount of \$2,000.00, drawn on the payable to the

Date: 1958



22 September 1958

MEMORANDÚM	FOR:	CHIEF,	FINANCE	DIVISION
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VLA

: TSS/Budget Officer

SUBJECT

: MKULTRA, Subproject 74, Invoice #4

9-2502-15-902

1. Invoice #4 covering the above subproject is attached.

Other invoices will follow. It is requested that payment be made as follows:

Cashier's check for \$6,225.00 drawn on at payable to the

B ...

Cashier's check for \$2,000.00 drawn on a payable to the

2. The checks should be forwarded to Chief, TSS/Chemical Division through TSS/Budget Officer, no later than Tuesday, 30 September 1958.

PECENED. SINSEP

Chief
TSS/Chemical Division

Attachmenter

)() ...

Invoice & Certifications

Distribution: /

CHECK #4/076 IN YES AMOUNT OF \$4,225

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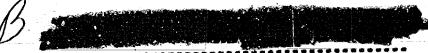


INVOICE

For Services

\$8, 225, 00





CERTIFICATIONS

(1) It is hereby certified that this is Invoice #4 applying to Subproject 74 of MKULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSSKD, that this bill is just and correct and that payment thereof has not yet been made.

Chief, TSS/Chemical Division

Date:

(2) It is hereby certified that this invoice applies to Subproject 74 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.



Research Director

Date:

A\$ (537)



16 September 1958

MEMOR	MUDUA	FOR:	COM	PTROLLE	ţ
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ATTENTION

: Fisance Division

SUBJECT

MKULTRA, Subproject 74, Additional Authorisation No. 2

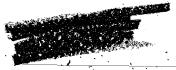
Under the authority granted in the memorandum dated

13 April 1953 from the DCI to the DD/A, and the extension of
this authority in subsequent memoranda, Subproject 74 has been
approved and \$25,000.00 of the over-all MKULTRA project funds
has been obligated to cover the subproject's expenses. This
obligation of funds should be charged to Allotment 9-2502-15-902;

Chiel
TSS/Chemical Division

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DRAFT 18 September 1958

MEMORANDUM FOR: THE RECORD

SUBJECT

: MKULTRA, Subproject 74

1. The purpose of this project will remain the same as
when it was originally established: to enable the

in the broad field of human ecology for studies which are not necessarily of immediate interest to TSS/CD.

- 2. The continuing mechanism of "cover grants" was
 established with the approval and concurrence of the DCI at a meeting
 of the Board of Directors of
- 3. It is estimated that the total cover grants will not exceed \$25,000.00 for a period of one year. Charges should be made against Allotment 9-2502-15-902.
- 4. The will require these grantees or the institutions of which they are members to submit annual accountings of a nature which is customary for this type of grant.
- 5. The grantee will document and account for any travel expenses which are reimbursable by his parent organization and will conform with the accepted practices of that organization. If the grant

should be made to an independent individual any travel expenses will be accounted for in his accountings to the

6. Individual "cover grants" will be approved for disbursement of funds by Chief, TSS in essentially the same form as separate MKULTRA Projects.

A

Chief
TSS/Chemical Division

APPROVED FOR OBLIGATION

OF FUNDS:

Research Director

Date: Jupt. 18-1918.

Distribution:
Original only

RECEIPT

I hereby acknowledge receipt of the following:

Cashier's Check #M38270, in the amount of \$1,500.00 drawn on dated May 14, 1958,

Date: 5 - 16 - 58

24 April 1958

MEMORANDUM	FOR:	CHIEF	. 1	TINANCE	division

VIA

1 TSS/Budget Officer

SUBJECT :

: MKULTRA, Subproject 74, Invoice #3

1. Invoice #3 covering the above subproject is attached.

It is requested that payment be made as follows:

Cashier's check for \$1,500.00 drawn on a payable to the

2. The check should be forwarded to Chief, TSS/Chemical Division through TSS/Budget Officer, no later than 8 May 1958.

3. This is a final invoice. A total of \$25,000.00 was obligated under this subproject during FY 57. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

Chief
TSS/Chemical Division

Attachments:
Invoice & Certifications

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Distribution:
Orig & 2 - Addresses

Dec 888 002263-600.1-2502-10-101-17.2 1500.00

Cr Cash

150000

1271 by 58

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INVOICE

For services

\$1,500.00

B.

CERTIFICATIONS

(1) It is hereby certified that this is Invoice #3 applying to Subproject 74 of MKULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Chief, TSS/Chemical Division

Date:

(2) It is hereby certified that this invoice applies to Subproject 74 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

Research Director

Date:



RECEIPT

I hereby acknowledge receipt of the following:

Cashier's Check #M36875, in the amount of \$15,000.00, drawn on dated March 26, 1958,

Treasurer's Check #157059, in the amount of \$5,000.00, drawn on the 26, 1958, payable to the

A Date: April 1 1958



18 March 1958

MEMORANDUM FOR:	CHIEF.	FINANCE	division
MANAGORMANDOM R CANA	And i where is	W #414	

VIA

1 TSS/Budget Officer

SUBJECT

: MKULTRA, Subproject 74, Invoice #2 7-2502-10-001

1. Invoice #2 covering the above subproject is attached.

Other invoices will follow. It is requested that payment be made as follows:

 \mathcal{G}

Cashier's check for \$15,000.00 draws on a local bank, payable to the

B

Cashler's check for \$5,000.00 drawn on all payable to the

2. The checks should be forwarded to Chief, TSS/Chemical Division through TSS/Budget Officer, no later than Tuesday, 1 April 1958.

Chief
TSS/Chemical Division

Attachments:

Involce & Certifications

CHECK 436875

TIT UF 6/500.00

Distribution:

Wrig & 2 - Addressee

1 - TSS/FASB

CHECK#10/09/11 RECEIVELL

MOUNT OF STOP

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INVOICE

For services

\$20,000.00



CERTIFICATIONS

(1) It is hereby certified that this is Invoice #2 applying to Subproject 74 of MKULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agends of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Chief, Chemical Division

Date:

(2) It is hereby certified that this invoice applies to Subproject 74 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memorands.

A Original sizes I

Research Director

Date:

-

WHAT.

RECEIPT

I hereby acknowledge receipt of the following two checks:

Cashier's Check No. A55849, dated August 22, 1957,

Official Check No. 209-298682, dated August 23, 1957

2 August 1957

MEMORANDUM	FOR! CHIEF.	FINANCE	DIVISION
MEMORANDUM	FOR! CHIEF,	BINVUCE	DIAMOTOR

VIA

1 TSS/Budget Officer

SUBJECT

#-2502-10-001

1. Invoice #1 covering the above subproject is attached.

Other invoices will follow. It is requested that payment be made as follows:

Cashier's check for \$500,00 drawn on a payable to the

Cashler's check for \$500.00 drawn on bank, payable to the

2. The checks should be forwarded to Chief, TSS/Chemical Division, through TSS/Budget Officer, no later than Friday, 16 August 1957.

3200

Acting Chief
TSS/Chemical Division

achmente:

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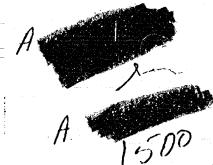
Orig & 2 - Addressee

1 - Comptroller

Y- TSS/FASB

2 - TSS/CD

7.2502-10-001



10 37.73

INVOICE

For services

\$1,000.00

B

CERTIFICATIONS

(1) It is hereby certified that this is Invoice #1 applying to Subproject 74 of MKULTRA, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Acting Chief, TSS/Chemical Division

Date:

(2) It is hereby certified that this invoice applies to Subproject 74 of MKULTRA which was duly approved, and that the project is being carried out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

m Research Director

Date:





A SAMPLE
DRAFTA
30 July 1957

MEMORANDUM FOR: THE RECO	ORD The state of t
SUBJECT : Individual	Grant Under MKULTRA
Subproject	No. /4
	-
1. Subject to the approv	al of C/TSS the Board of
Directors of the	2.0
recommended that a grant (gift, el	tc.) be made to
for the purpose of	
	oposal (brochure, example, etc.).
in accordance acts and acts and br	
2 Figural at moutining	law-in the about drawined a stilling
	ion in the above described activity
-	f Directors and TSS/CD, materially
aid in authenticating the cover of t	
result in (specific)	other benefits.
3. The total cost of this	s grant will amount to
for a period of I year starting	. Charges
should be made against Allotment	
of return of unused funds to	so, what specific type. Statement Statement on disposal of title
to any permanent equipment.	
	ty status of individuals involved
with the activity being supported.	
<i>)</i>	The second secon
4	
//	Chief, Branch III
2	, TSS/Chemical Division
Deal mande	J
APPROVED FOR OBLIGATION	Approved:
OF FUNDS:	
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	Ania Chief Teo/Chantal Dist
Research Director	Acting Chief, TSS/Chemical Divis
	- -
DATE:	
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Distribution	
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4 August 1959

MEMORANDUM FOR: THE RECORD

SUBJECT !

: MKULTRA

The amount of \$339.72 remaining in this project will be accounted for by in their • B final accounting of Subproject 97.

74-252

Statement of Account with

Professor C

Period: 1/1/59 - 8/31/59

Receipts:

Net Overdraft from Previous Grant Cash Received October 24, 1958

(on) \$285.35 5,000.00

Total Funds Available

\$ h,714.65

Disbursements:

Salaries, Wages and Employee Contributions Supplies Equipment Travel

\$ 2,256.10 265.14 1,745.69 2.00

Total Cash Disbursements for Period

4,269,93

Cash Falance August 31, 1959

\$ 444.72

Less: Unpaid Connitrent for Travel.

105.00

Free Balance, August 31, 1959 -

\$ 339.72

I have examined and approved the submitted expenditures.

-A

Cortified Correct:

and the second

Assistant Business Manager

TSS/Chemical Division
Pator 9 Oct 59

September 22, 1959

-C

atement of Account

74-253

Statement of Account with

C "

Professor

1-B

Period: 4/1/53 - 12/31/58

Receipts:

Cash Received April 4, 1953 Cash Received July 11, 1958

Total Funds Available

\$7,500.00

\$15,000.00

Disbursements:

Salaries, Wages and Employee Contributions Supplies Equipment Travel

\$ 9,176.24 337.00 5,396.66 325.45

Total Disbursoments for Period

Net Overdraft, December 31, 1958

15,285.35

(op) \$235.35

Certified Correct:

Assistant Business Manager

September 22, 1959

I have examined and approved the submitted expenditures.

-A

Chief TSS/Chamical Division

Tisten y Och sy

October 24, 1958



Dear Dr.

Enclosed is the check in payment for your travel expense.

I plan to make a visit there very shortly in an attempt to obtain clearer definition of the charge. There are some other administrative complications that may need to be worked out also and one scientific consideration that concerns me a little bit. I would hate to see our task carried too far into the realm of economics, and it is my importance of economics in human behavior. I am not prepared to say what weight should be given to "economic determinism," but I am sure that it is far from being the sole motivating force.

It was a real pleasure scaing you again. I should like to repeat my statement of gratitude for your strong support.

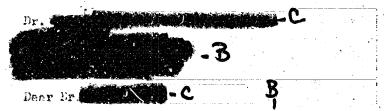
Sincerely yours,

C

Fnc: 1

C - Executive Secretary

Cotober 20, 1958



Enclosed places find check in the emount of \$5,000.00 for the extension of the great for the research conducted under the direction of Dr.

Sincerely.

Sxecutive Secretary

-C

Bac.

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⁹ 74·256

7 October 1958

MEMORANDUM FOR: THE RECORD

SUBJECT

Supplement to Individual Grant Under

MKULTRA, Subproject No. 74

has recommended that a grant be made to Prof. for the purpose of continuing a research program in in accordance with the attached proposal. The proposal serves both as a progress report and as a summary of project plans.

- 2. It is anticipated that long term support for this study will be provided by The present supplement and continuance of grant is intended to support the project during the interim period before the grant becomes) effective.
 - 3. The total cost of the continuance of this project will amount to \$5,000.00 for a period of 3 months starting 1 October 1958. Charges should be made against Allotment 9-2502-75-902.
- will supply

 with copies of the records of
 disbursements related to the operation of this project at its termination.

 Title to permanent equipment purchased under this project will be retained by the University in lieu of overhead charges. Any unused funds will be returned to upon termination of the grant.



24.756

C.

5. Prof. pholds a covert Agency clearance and is witting

of sponsorship, all others are unwitting.



Chief
TSS/Chemical Division

APPROVED FOR DISBURSEMENT OF FUNDS:



Date: 10)16/58

Attachment: Proposal

Distribution: Original only

PROPOSED RESEARCH PROGRAM

in

Principal Investigators

Ph.D., Professor, Departments of Psychology and Psychiatry

M.D., Assoc. Professor and Chairman, Department

Ph.D., Ass't Professor, Clinical Psychology,

Department of Psychiatry

Purpose

This program will undertake a coordinated investigation of the relationship between the psychological expression of feelings and emotions on the one hand, and their biological and physiological expression on the other. To put it another way, it is to correlate the conscious, verbal, symbolic expression of feelings with those modes of discharging feeling of which the individual is unaware -- the various physiological responses which occur in the organism.

The investigation will be carried out across individuals and groups to determine the relationship between the psychological and physiological expression of feelings in differing pathological and normal groups. It will be carried out lengitudinally on individuals in psychotherapy to determine whether the relationship between the psychological and physiological expression of feelings changes during a period of change in personality and behavior.

It is the general purpose of this inter-disciplinary study to add to our basic knowledge of man as a whole physiological and psychological organism, and to our basic knowledge of the way in which significant change occurs in man.

Previous Related Work

In recent years the various disciplines concerned with man's emotional behavior have come to converge increasingly into a holistic view.

Psychologists (18, 21, 28), psychiatrists (3, 4, 9, 10), internists
(12, 13, 19), physiologists (34) and biochemists (6) have come to
borrow freely from one another in theory and investigational techniques in pursuit of understanding the role of emotion in adaptation.

This convergence has been especially evident in the interest in psychosomatic illness where there have been investigations of particular emotional states believed to be related to specific illnesses. The work of Harola Wolff and various associates (14, 24, 25, 26) and of Alexander (1, 8) is well known.

By D

is currently pursuing this same line.

Some work has also been done in identifying the patterns of physiological response to emotions in different diagnostic groups. Investigation of the somatic response mechanisms of psychoneurotics (22), of
the comparative heart rates in psychosis and neurosis (39), of the
heart rate in anxious patients (11), of the hippuric acid secretion
in schizophrenics as compared with depressives (6) -- these are some
of the studies which have been made. Such studies have particular
relevance for the cross-sectional aspect of the present program.

There are also a number of studies which have made continuous

recordings of physiological changes during therapeutic or other significant interviews. Lasswell (17) was one of the first to study

the relationship between verbal expression in an interview and physiological indices of emotion. Some of the more recent work has been done
by Mowrer and associates (27) measuring palmar sweating; by DiMascio

and Boyd (5), measuring heart rate and heart lability; by Anderson

(2), taking a continuous EKG during the sessions; by Shagass and

Malmo (35), who have been particularly interested in action potentials
in the muscular system; by Margolin (23), who has studied the behavior

of the stomach; and by a group at Michael Reese Hospital, Chicago,
studying heart action before, during and after a stress interview.

The studies mentioned (and these are by no means all) indicate that
the relationship between psychological and physiological expression
of feelings has already been subjected to considerable scrutiny. It
is our belief, however, that most of the work to date has suffered from
a lack of clearly defined hypotheses derived from an intinate knowledge
of emotional processes as revealed in the process of psychotherapy.

The hypotheses to be tested here are derived primarily from observation of persons undergoing the process of change in psychotherapy.

It is believed

that this more rigorous theoretical base will enable us to pursue more precise and significant hypotheses. The methods for investigating

-5--

Clinically we observe repeatedly the difficulty which the emotionally ill have in discharging their emotions. Indeed, maladjustment has been defined in part as the inappropriate persistence of emotion. Partial confirmation of this hypothesis is found in the work of Malmo et al (22), who found that in psychoneurotic subjects elevation of blood pressure persisted longer than in normals when subjected to an affective stimulus.

III. The reaction-time to an emotional stimulus will be greater in a psychiatric population than in a normal one.

Again, we observe clinically that the emotionally ill appear
to struggle with the meaning of a stimulus and that this process takes
time. This hypothesis, too, has been partially confirmed in the work
of Smith (36) and in the work of Malmo, previously cited.

IV. The amplitude of physiological responses to a given stimulus
will be greater in a psychiatric population composed of behaviorally
constricted persons than in normals.

The reasoning here is that, if emotion cannot be fully discharged externally, it will tend to be discharged internally. The peptic ulcer personality would beem to be a clinical instance lending support to such a hypothesis. Jones! (16) work tends to support this hypothesis also.

V. The amplitude of physiological responses to a given stimulus will tend to be less in a psychiatric population composed of "acting-out" persons than in normals.

The reasoning here is the converse of the foregoing -- that the

more emotion is behaviorally discharged, the less it will be manifest internally in the organism. Again, some of Jones! work suggests such a difference.

VI. Symbolization'(the ability verbally to define one's feelings) of physiological change will be less accurate in a psychiatric population than in a normal one.

This hypothesis is partly a clinical hunch and partly grows out of our personality theory. It is based on the observation that accurate symbolization seems crucially dependent on full awareness and that degrees of unawareness characterize maladjustment and psychiatric illness.

VII. The variability of symbolization in the psychiatric population will be greater than in normals.

This hypothesis is predicated on the expectation that, if normals report accurately, the range will be narrow while a psychiatric population might be expected to err in many directions as a function of differential ways of handling feelings. For example, we observe that obsessives symbolize their experience differently from hysterics, etc.

VIII. A normal population will more accurately identify feelings in others than will a psychiatric population.

Many workers, among then Fey (7) and Stock (37) have demonstrated previously that there is a close relationship between perception of self and perception of others. This hypothesis then seeks to confirm and extend previous work but, in this instance, with the specific focus the perception of feelings in others.

IX. The ability or inability to recall emotional stimult will be correlated with differential patterns of physiological response to the stimuli which are remembered, on the one hand, and forgotten, on the other.

Ifflund (15) has demonstrated that stimulus material is differentially recalled as a function of the meanings which such stimuli have for the perceiver. The present hypothesis extends this finding with the expectation that stimuli which will subsequently be forgotten will elicit a different physiological response than will those stimuli which will subsequently be recalled. Framed in clinical terms, the testing of this hypothesis attempts to demonstrate some of the physiological concomitants of repression.

Method

Samples from normal and psychiatric populations will serve as

the control and experimental groups for this cross-sectional study.

Both groups will be exposed to standardized stimulus material selected

for its emotion-inducing value.

Using a behavior polygraph modified for the purpose of this study, the aim would be to obtain continuous recordings of as many of the following physiological variables as proves to be feasible without seriously interfering with the subjects' functioning in the experimental situation: heart rate, heart rate variability; blood pressure --- systolic and diastolic; blood flow; skin temperature; breathing rate, and depth of breathing; action potentials of muscles; galvanic skin reflex; electroencephalogram; tear duct activity. In addition, blood samples

may later be used for such biochemical determinations as serum cholesterol level, epinephrine and nor-epinephrine-levels, ecsinophile counts.

These recordings will make it possible to define the character of the physiological responses as well as their duration and amplitude.

while these physiological recordings are being made the verbal symbolized expression of the subject's emotional experience will be recorded on a studio-quality tape recorder. The verbal productions can then be analyzed and categorized in terms which will permit definition of the accuracy and variability of symbolization. This portion of the design will test Hypotheses I through VIII. Subsequently, the subjects will be asked to attempt to recall the stimuli which have been presented. This aspect of the design will test Hypothesis IX.

The Longitudinal Investigation of

the Process of Change

Since this second aspect of the program is in considerable degree exploratory, it is not now possible to formulate all of the hypotheses to be investigated. Some of the initial hypotheses can however, be spelled out. They are stated here in general form for more ready communication, but will be translated into operational hypotheses in terms of the methods described later in this statement.

I. In the initial stages of the process of psychotherapy, physiological changes indicative of an organismic emotional response will frequently occur in conjuction with verbal statements which are not

The rationale here is that feelings which are denied to awareness, or which are unacceptable to the client, will occur at the

expressive of emotion.

physiological level, but cannot be given direct verbal expression.

II. In the later stages of the process of psychotherapy, physiological changes indicative of emotional response will tend to be
accompanied by direct verbal expression of an equivalent degree of
emotional response.

The rationale is that as the individual can admit his experiences
in awareness, and feel acceptingly toward them, his verbal expression,
in a safe therapeutic relationship, will come to match his organismic
state.

- III. In the condition specified under I (where verbal expression is not congruent with physiological reaction) the physiological deviation from the normal will tend to persist.
- IV. In the condition specified under II (where there is, generally speaking, a congruence between verbal expression and physiological reaction) the physiological deviations will tend to be discharged, and the indicators return to the normal state.

These two hypotheses are based in part on the psychological theory of the process of therapy, and in part on the findings of Thetford (38), whose study showed that when individuals were frustrated, the physiological reactions to frustration subsided more rapidly in those subjects who had group therapy. The findings from this part of the study will, or course, be related to the findings under hypothesis

If of the Cross-Sectional Investigation.

V. In those segments of the recorded interviews which are independently judged, on a psychological basis, to be

there will be found to be significantly greater physiological changes than in other segments of the same interview.

Since these moments include feelings of anxiety and fear at being "new" and changed, and at the same time feelings of intense satisfaction in the process of change, the physiological reactions will doubtless be complex, and will require much exploratory study.

VI. In peak in therapy the physiological indices of emotional response will precede the verbal statement of, or description of, that feeling.

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VII. The physiological reactions of the therapist, during the therapeutic interview, will tend to match, in direction but not in degree, the physiological reactions of the client.

This hypothesis is based on the interesting work reported by DiMascio and Boyd (5). It suggests that such characteristics of the therapist's interaction as empathy and acceptance have a physiological as well as a psychological aspect. In the long run it may help to distinguish between relationships which have a therapeutic potential, and those which do not.

VIII. A further direction of inquiry, which cannot at this point be stated as an hypothesis, relates to the neurological events in moments when personality change seems to be occurring. What happens when a previously repressed feeling "bubbles through" into awareness? Could it be that the emotional response has been, up to this point, organized at the hypothalamic level and that it now becomes a function of the cortex as well? This would be in line with the thinking of Papez (29) who points out that the emotional responses are certainly possible without cortical participation, the subjective experiencing of an emotion is not possible without such cortical participation. Could it be that in the psychological safety of the therapeutic relationship the barriers to neural communication between the hypothalamic and cortical levels tend to disappear? Could such a neural event be the physiological experience to which clients often refer in such moments? These are relatively naive questions, on which we need expert neurophysiological consultation to determine whether it is possible to formulate any testable hypotheses in this area. It is, however, a direction of inquiry which we hope we may be able to take.

Method

The essential framework of this longitudinal portion of the research is the study, over time, of the individual case, through continuous recording of data, and through repeated measurements taken at intervals of time.

Clients or patients will be utilized for the study who are willing to participate in the research, understanding that the measurements taken have value for the advancement of science, but will not affect their own therapy one way or another. (Previous experience indicates that it will be entirely possible to obtain such subjects, particularly where the client with little in the way of financial resources realizes that this is an avenue by which he can "pay his way", and obtain the benefits of working with an experienced therapist, at no cash cost to himself.)

Using a behavior polygraph modified for the purposes of this study, the aim would be to obtain a continuous recording of as many of the previously mentioned physiological variables as proved to be feasible without seriously interfering with the therapeutic relationship.

On the psychological side a studio-quality tape recording of the interview will be made, which will provide the basis for continuous measures of such variables as: stage of process in therapy; remoteness vs. immediacy of experiencing of feeling; degree of self-involvement in expression of feeling; quality of the therapeutic relationship

in voice tone; feeling expressed in words.

In addition to these continuing measures there might be some physiological measures taken at intervals, probably before and after each interview, based on bio-chemical analysis of urine and blood samples.

On the psychological side additional measures would be taken at intervals, such as a Q-sort of the self and the ideal self, a Q-sort for the qualities of the actual relationship and the ideal relationship, and a description of moments experienced as significant in each interview, obtained separately from client and therapist. The methods for this portion of the study would be drawn from previous research in psychotherapy as carried on by the Counseling Center of the University of Chicago (33) and by other investigators (27).

A Gradual Approach

In a study involving so many technical complexities of instrumentation, it would not be the aim to begin on a grand scale. It is
planned that both the cross-sectional and longitudinal aspects will
begin with limited pilot studies, and be expanded as experience dictates. In the longitudinal study some of the proposed measures will
initially be obtained from the client alone. Based on this experience,
further measures would be added (and some might be eliminated). Gradually the study will be extended to obtain the same measures from the
therapist.

Analysis of Data

The data from the physiological and psychological measures in both aspects of the study would be prepared in such form that they could be used in two ways. The data would be used directly to test

the specific hypotheses which have been stated (or others which might be developed). It would also be analyzed by factor analysis to discover the inherent order in the data, and thus to generate new hypotheses for future testing. In the longitudinal portion of the study the adaption of factor analysis would be the P technique as used by Luborsky (20) or the still more recent method of Successive Sets Analysis being developed by J. M. Butler.

Significance

This is a basic program of study of the relationship of psychological to physiological variables in various personality states, and in the process of personality change. There is no immediate or obvious application of its findings, but this by no means implies a lack of significance in its findings.

There has been a growing interest in studying the whole person in interaction with his environment, recognizing that such studies will supplement and may even to some extent supplement the highly segmented investigations of human behavior which have been so common. The program which is proposed aims at the core of the interaction of the whole man with his environment, by aiming at the dynamic and motivational aspect of that interaction, the feelings and emotions.

The cross-sectional aspect of the program should throw light on the basic nature of psychopathology and maladjustment. It holds promise of illuminating such concepts as repression and maladaptive functioning in new terms which involve both psyche and soma. It could lead to more fundamental ways of differentiating between normal and pathological states, and between different types of pathologies.

The longitudinal portion of the program will extend still further our knowledge of the process by which personality, attitudes, and behavior may change in fundamental ways. Previous research in psychotherapy -- in which we have had some part -- has been largely psychological in nature. Now it is proposed in this study to heighten the magnifying power of the microscope, as it were, to obtain much more complete and complex data about the process of personality change. We propose to do so by aiming a comprehensive inter-disciplinary investigation at the whole person -- physiological and psychological -- during those moments when significant change appears to be occurring. If there are any positive discoveries at all, they should, in the long run, have significant implications for psychotherapy, education, training ofleaders, training of scientists, and any field in which alteration of personality and behavior is a part of the goal. Such discoveries seem certain to have meaning in a world where the increasing rapidity of the rate of acquisition of knowledge, and of technological and cultural change, imply that man's continuing existence on this planet will depend primarily on his ability to change and adapt.

Facilities and Setting

for such research. It has an enviable reputation for ease of interdepartmental cooperation. It has competent workers in closely allied
fields related to this study: Dr. has carried on research
Dr. Dr. and
Dr. Dr. Dr.

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research; Dr. would be available for consultation on problems; the department of biochemistry is available for consultation regarding biochemical assays which may be attempted; and Dr. is available for consultative help in the field of.

Space is a more difficult problem but there seems to be reasonable assurance that a projected extension of space for the Department of Psychiatry will provide adequate research space.

Principal Investigators

(See Appendix)

Budget Required

	First 9 Months 1-1-58 10-1-58	Second Year 10-1-58 10	
Salaries of 3 principal investigators paid in full by		0	
2 project assistants or associates (Trained in some combination of electronics, clinical psychology,			
and electronic data analysis)	9000	13500	
Research assistants @ \$1920 per year	2880	5760	
Equipment Behavior polygraph with additional			
channels, etc. being acquired as needed	4000	3000	
Recording machines	800	500	
Materials and supplies	500	1000	
Clerical assistance	1500	3000	
Travel	1000	0	
Remodeling of space	2000	1000	
•	21,680	27,760	

Comments on Budget

The program will undoubtedly continue for several years but it is unrealistic at this point to try to project the budget beyond the autumn of 1959.

The success of the venture will undoubtedly rest upon obtaining the help of one or preferably two men trained in the psychological field, in the field of electronic instrumentation and in the field of mechanical data analysis. We have an agreement with one such man and have hopes of finding another.

The travel item is to permit one or more of the investigators to visit projects in other centers involving similar instrumentation.

The urgent need is for funds for the preliminary nine month

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Principal Investigators

APPENDIX

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DRAFT

MEMORANDUM FOR: THE RECORD

SUBJECT

Individual Grant Under MKULTRA Subproject No. 74

as recommended that a grant be made to.

for the purpose of conducting a research program in

in accordance with attached proposal.

- 2. Financial participation in the above described activity will, in the opinion of the Board of Directors and TSS/CD, materially aid in authenticating the cover of and will in addition result in the accumulation of certain background knowledge related to projects involving improvement of present polygraph technique in addition to other benefits.
- 3. The total cost of this grant will amount to \$15,000.00 for a period of nine months starting 15 March 1958. Charges should be made against Allotment 7-2502-10-001.
- will supply with copies of the records of disbursements related to the operation of this project at its termination. Title to permanent equipment purchased under this project will be retained by the University in lieu of overhead charges. Any unused funds will be returned to upon termination of the grant.
- 5. Prof holds a covert Agency clearance and is witting of sponsorship, all others are unvitting.

APPROVED FOR DISBURSEMENT OF FUNDS:

Research Director

Date /////8-////

Attachment: Proposal

Distribution: Original only

> 74-257

PROPOSED RESEARCH PROGRAM

in

Principal Investigators

Ph.D., Professor, Departments of Psychology and
Psychiatry,

M.D., Assoc. Professor and Chairman, Department
of Psychiatry

Ph.D., Ass't Professor, Clinical Psychology,

Department of Psychiatry

Purpose

This program will undertake a coordinated investigation of the relationship between the psychological expression of feelings and emotions on the one hand, and their biological and physiological expression on the other. To put it another way, it is to correlate the conscious, verbal, symbolic expression of feelings with those modes of discharging feeling of which the individual is unaware -- the various physiological responses which occur in the organism.

The investigation will be carried out across individuals and groups to determine the relationship between the psychological and physiological expression of feelings in differing pathological and normal groups. It will be carried out longitudinally on individuals in psychotherapy to determine whether the relationship between the psychological and physiological expression of feelings changes during a period of change in personality and behavior.

It is the general purpose of this inter-disciplinary study to add to our basic knowledge of man as a whole physiological and psychological organism, and to our basic knowledge of the way in which significant change occurs in man.

Previous Related Work

In recent years the various disciplines concerned with man's emotional behavior have come to converge increasingly into a holistic view.

Psychologists (18, 21, 28), psychiatrists (3, 4, 9, 10), internists (12, 13, 19), physiologists (34) and biochemists (6) have come to borrow freely from one another in theory and investigational techniques in pursuit of understanding the role of emotion in adaptation.

Some work has also been done in identifying the patterns of physiological response to emotions in different diagnostic groups. Investigation of the somatic response mechanisms of psychoneurotics (22), of
the comparative heart rates in psychosis and neurosis (39), of the
heart rate in anxious patients (11), of the hippuric acid secretion
in schizophrenics as compared with depressives (6) -- these are some
of the studies which have been made. Such studies have particular
relevance for the cross-sectional aspect of the present program.

There are also a number of studies which have made continuous recordings of physiological changes during therapeutic or other significant interviews. Lasswell (17) was one of the first to study the relationship between verbal expression in an interview and physiclogical indices of emotion. Some of the more recent work has been done by Mowrer and associates (27) measuring palmar sweating; by DiMascio and Boyd (5), measuring heart rate and heart lability; by Anderson (2), taking a continuous EKG during the sessions; by Shagass and Malmo (35), who have been particularly interested in action potentials in the muscular system; by Margolin (23), who has studied the behavior of the stomach; and by a group at Michael Reese Hospital, Chicago, studying heart action before, during and after a stress interview.

The studies mentioned (and these are by no means all) indicate that the relationship between psychological and physiological expression of feelings has already been subjected to considerable scrutiny. It is our belief, however, that most of the work to date has suffered from a lack of clearly defined hypotheses derived from an intimate knowledge of emotional processes as revealed in the process of psychotherapy,. The hypotheses to be tested here are derived primarily from observation of persons undergoing the process of change in psychotherapy.

It is believed

that this more rigorous theoretical base will enable us to pursuc more precise and significant hypotheses. The methods for investigating

these hypotheses involve two separate but complementary approaches, one cross-sectional, one longitudinal or process in nature.

The Cross-Sectional Investigation

This may be thought of usefully as the static approach. It consists of examining the relationship between physiological and psychological variables in a number of subjects suffering from a range of emotional pathology and comparing them with subjects drawn from a "normal" population. The family of hypotheses contained within this phase of the research will be closely inter-related. These hypotheses reflect selected facets of ongoing normal and pathological behavior; it is hoped by this means to contribute further to an operational definition of the psychosomatic parameters of organismic-behavior.

Representative Hypotheses

I. The <u>discrepancy</u> between verbal report and measured physiological response to a given stimulus will be greater in a psychiatric than in a normal population.

This hypothesis is derived both from personality theory and from the common clinical observation that patients have difficulty in reporting the character and degree of their inner experience. For example, the apparent emotional unresponsivity of the schizophrenic patient is known to make a profound experience of inner turmoil. Some support for this hypothesis is evident in the work of Jones (16) on differential patterns of psychogalvanic skin response in children.

II. The <u>duration</u> of physiological response to a given affective stimulus will be greater in a psychiatric population than in normals.

III. The reaction-time to an emotional stimulus will be greater in a psychiatric population than in a normal one.

Again, we observe clinically that the emotionally ill appear to struggle with the meaning of a stimulus and that this process takes time. This hypothesis, too, has been partially confirmed in the work of Smith (36) and in the work of Malmo, previously cited.

1V. The <u>amplitude</u> of physiological responses to a given stimulus will be greater in a psychiatric population composed of behaviorally constricted persons than in normals.

The reasoning here is that, if emotion cannot be fully discharged externally, it will tend to be discharged internally. The peptic ulcer personality would beem to be a clinical instance lending support to such a hypothesis. Jones' (16) work tends to support this hypothesis also.

V. The amplitude of physiological responses to a given stimulus will tend to be less in a psychiatric population composed of "acting-out" persons than in normals.

The reasoning here is the converse of the foregoing -- that the

more emotion is behaviorally discharged, the less it will be manifest internally in the organism. Again, some of Jones! work suggests such a difference.

VI. Symbolization (the ability verbally to define one's feelings) of physiological change will be less accurate in a psychiatric population than in a normal one.

This hypothesis is partly a clinical hunch and partly grows out of our personality theory. It is based on the observation that accurate symbolization seems crucially dependent on full awareness and that degrees of unawareness characterize maladjustment and psychiatric illness.

VII. The variability of symbolization in the psychiatric population will be greater than in normals.

This hypothesis is predicated on the expectation that, if
normals report accurately, the range will be narrow while a psychiatric
population might be expected to err in many directions as a function of
differential ways of handling feelings. For example, we observe that
obsessives symbolize their experience differently from hysterics, etc.

VIII. A normal population will more accurately identify feelings in
others than will a psychiatric population.

Many workers, among then Fey (7) and Stock (37) have demonstrated previously that there is a close relationship between perception of self and perception of others. This hypothesis then seeks to confirm and extend previous work but, in this instance, with the specific focus the perception of feelings in others.

IX. The ability or inability to recall emotional stimuli will be correlated with differential patterns_of_physiological response to the stimuli which are remembered, on the one hand, and forgotten, on the other.

Ifflund (15) has demonstrated that stimulus material is differentially recalled as a function of the meanings which such stimuli have for the perceiver. The present hypothesis extends this finding with the expectation that stimuli which will subsequently be forgotten will elicit a different physiological response than will those stimuli which will subsequently be recalled. Framed in clinical terms, the testing of this hypothesis attempts to demonstrate some of the physiological concomitants of repression.

Method

Samples from normal and psychiatric populations will serve as

the control and experimental groups for this cross-sectional study.

Both groups will be exposed to standardized stimulus material selected

for its emotion-inducing value.

Using a behavior polygraph modified for the purpose of this study, the aim would be to obtain continuous recordings of as many of the following physiological variables as proves to be feasible without seriously interfering with the subjects' functioning in the experimental situation; heart rate, heart rate variability; blood pressure -- systolic and diastolic; blood flow; skin temperature; breathing rate, and depth of breathing; action potentials of muscles; galvanic skin reflex; electroencephalogram; tear duct activity. In addition, blood samples

may later be used for such biochemical determinations as serum cholesterol level, epinaphrine and non-epinephrine-levels, eosinophile counts.

These recordings will make it possible to define the character of the physiological responses as well as their duration and amplitude.

While these physiological recordings are being made the verbal symbolized expression of the subject's emotional experience will be recorded on a studio-quality tape recorder. The verbal productions can then be analyzed and categorized in terms which will permit definition of the accuracy and variability of symbolization. This portion of the design will test Hypotheses I through VIII. Subsequently, the subjects will be asked to attempt to recall the stimuli which have been presented. This aspect of the design will test Hypothesis IX.

The Longitudinal Investigation of the Process of Change

Since this second aspect of the program is in considerable degree exploratory, it is not now possible to formulate all of the hypotheses to be investigated. Some of the initial hypotheses can however, be spelled out. They are stated here in general form for more ready communication, but will be translated into operational hypotheses in terms of the methods described later in this statement.

In the initial stages of the process of psychotherapy, physio-

In the initial stages of the process of psychotherapy, physiclogical changes indicative of an organismic emotional response will frequently occur in conjuction with verbal statements which are not expressive of emotion.

The rationale here is that feelings which are denied to awareness, or which are unacceptable to the client, will occur at the physiological level, but cannot be given direct verbal expression.

II. In the later stages of the process of psychotherapy, physiclogical changes indicative of emotional response will tend to be accompanied by direct verbal expression of an equivalent degree of emotional response.

The rationale is that as the individual can admit his experiences
in awareness, and feel acceptingly toward them, his verbal expression,
in a safe therapeutic relationship, will come to match his organismic
state.

- III. In the condition specified under I (where verbal expression is not congruent with physiological reaction) the physiological deviation from the normal will tend to persist.
- IV. In the condition specified under II (where there is, generally speaking, a congruence between verbal expression and physiological reaction) the physiological deviations will tend to be discharged, and the indicators return to the normal state.

These two hypotheses are based in part on the psychological theory of the process of therapy, and in part on the findings of Thetford (38), whose study showed that when individuals were frustrated, the physiological reactions to frustration subsided more rapidly in those subjects who had group therapy. The findings from this part of the study will, or course, be related to the findings under hypothesis II of the Cross-Sectional Investigation.

V. In those segments of the recorded interviews which are independently judged, on a psychological basis, to be

there will be found to be significantly greater physiological changes than in other segments of the same interview.

Since these moments include feelings of anxiety and fear at being "new" and changed, and at the same time feelings of intense satisfaction in the process of change, the physiological reactions will doubtless be complex, and will require much exploratory study.

VI. In peak in therapy the physiological indices of emotional response will precede the verbal statement of, or description of, that feeling.

VII. The physiological reactions of the therapist, during the therapeutic interview, will tend to match, in direction but not in degree, the physiological reactions of the client.

This hypothesis is based on the interesting work reported by DiMascio and Boyd (5). It suggests that such characteristics of the therapist's interaction as empathy and acceptance have a physiological as well as a psychological aspect. In the long run it may help to distinguish between relationships which have a therapeutic potential, and those which do not.

VIII. A further direction of inquiry, which cannot at this point be stated as an hypothesis, relates to the neurological events in moments' when personality change seems to be occurring. What happens when a previously repressed feeling "bubbles through" into awareness? Could itbe that the emotional response has been, up to this point, organized at the hypothalamic level and that it now becomes a function of the cortex as well? ... This would be in line with the thinking of Papez (29) emotional responses are certainly possible who points out that the without cortical participation, the subjective experiencing of an emotion is not possible without such cortical participation. Could it be that in the psychological safety of the therapeutic relationship the barriers to neural communication between the hypothalamic and cortical levels tend to disappear? Could such a neural event be the physiological experience to which clients often refer in such moments? These are relatively naive questions, on which we need expert neurophysiological consultation to determine whether it is possible to formulate any testable hypotheses in this area. It is, however, a direction of inquiry which we hope we may be able to take.

Method

The essential framework of this longitudinal portion of the research is the study, over time, of the individual case, through continuous recording of data, and through repeated measurements taken at intervals of time.

Clients or patients will be utilized for the study who are
willing to participate in the research, understanding that the measurements taken have value for the advancement of science, but will
not affect their own therapy one way or another. (Previous experience
indicates that it will be entirely possible to obtain such subjects,
particularly where the client with little in the way of financial resources realizes that this is an avenue by which he can "pay his way",
and obtain the benefits of working with an experienced therapist, at
no cash cost to himself.)

Using a behavior polygraph modified for the purposes of this study, the aim would be to obtain a continuous recording of as many of the previously mentioned physiological variables as proved to be feasible without seriously interfering with the therapeutic relationship.

On the psychological side a studio-quality tape recording of the interview will be made, which will provide the basis for continuous measures of such variables as: stage of process in therapy; remoteness vs. immediacy of experiencing of feeling; degree of self-involvement in expression of feeling; quality of the therapeutic relationship

current attitudes toward self; feeling expressed

in voice tone; feeling expressed in words.

In addition to these continuing measures there might be some physiological measures taken at intervals, probably before and after—each interview, based on bio-chemical analysis of urine and blood samples.

On the psychological side additional measures would be taken at intervals, such as a Q-sort of the self and the ideal self, a Q-sort for the qualities of the actual relationship and the ideal relationship, and a description of moments experienced as significant in each interview, obtained separately from client and therapist. The methods for this portion of the study would be drawn from previous research in psychotherapy as carried on by the Counseling Center of the University of Chicago (33) and by other investigators (27).

A Gradual Approach

In a study involving so many technical complexities of instrumentation, it would not be the aim to begin on a grand scale. It is planned that both the cross-sectional and longitudinal aspects will begin with limited pilot studies, and be expanded as experience dictates. In the longitudinal study some of the proposed measures will initially be obtained from the client alone. Based on this experience, further measures would be added (and some might be eliminated). Gradually the study will be extended to obtain the same measures from the therapist.

Analysis of Data

The data from the physiological and psychological measures in both aspects of the study would be prepared in such form that they could be used in two ways. The data would be used directly to test the specific hypotheses which have been stated (or others which might be developed). It would also be analyzed by factor analysis to discover the inherent order in the data, and thus to generate new hypotheses for future testing. In the longitudinal portion of the study at the adaption of factor analysis would be the P technique as used by Luborsky (20) or the still more recent, method of Successive Sets Analysis being developed by J. M. Butler.

Significance

This is a basic program of study of the relationship of psychological to physiological variables in various personality states, and in the process of personality change. There is no immediate or co-vious application of its findings, but this by no means implies a lack of significance in its findings.

There has been a growing_interest_in_studying_the whole person
in interaction with his environment, recognizing that such studies_will
supplement and may even to some extent supplant the highly segmented
investigations of human behavior which have been so common. The program which is proposed aims at the core of the interaction of the
whole man with his environment, by aiming at the dynamic and motivational aspect of that interaction, the feelings and emotions.

The cross-sectional aspect of the program should throw light on the basic nature of psychopathology and maladjustment. It holds promise of illuminating such concepts as repression and maladaptive functioning in new terms which involve both psyche and soma. It could lead to more fundamental ways of differentiating between normal and pathological states, and between different types of pathologies.

The longitudinal portion of the program will extend still further our knowledge of the process by which personality, attitudes, and behavior may change in fundamental ways. Previous research in psychotherapy -- in which we have had some part -- has been largely psychological in nature. Now it is proposed in this study to heighten the magnifying power of the microscope, as it were, to obtain much more complete and complex data about the process of personality change. We propose to do so by aiming a comprehensive inter-disciplinary investigation at the whole person --- physiological and psychological -- during those moments when significant change appears to be occurring. If there are any positive discoveries at all, they should, in the long run, have significant implications for psychotherapy, education, training of leaders, training of scientists, and any field in which alteration of personality and behavior is a part of the goal. Such discoveries seem certain to have meaning in a world where the increasing rapidity of the rate of acquisition of knowledge, and of technological and cultural change, imply that man's continuing existence on this planet will depend primarily on his ability to change and adapt.

Facilities and Setting

340

research; Dr. would be available for consultation on problems; the department of biochemistry is available for consultation regarding biochemical assays which may be attempted; C and Dr. is available for consultative help in the field of

Space is a more difficult problem but there seems to be reasonable assurance that a projected extension of space for the Department of Psychiatry will provide adequate research space.

Principal Investigators

(See Appendix)

Budget Requested

First 9 months 1-1-58 to 10-1-58

Salaries of 3 principal investigators paid in full by	• 0
2 project assistants or associates (Trained in some combination of electronics, clinical psychology, and electronic data analysis, part or full time)	\$ 6500
Research assistants @ \$1920	3840
Equipment*	
Modifiers for polygraph	1000
Recording machines	1000
Analogue Computer	1500
Materials and supplies	500
Clerical assistance	1500
Travel	1000
Remodeling of space	2000
	\$18,840

^{*}The major item of needed equipment, a high grade behavior polygraph, is being made available to us part-time through the generous cooperation of Dr. Department of Physiology.

Comments on Budget

The program will undoubtedly continue for several years but it is unrealistic at this point to try to project the budget beyond the autumn of 1959.

The success of the venture will undoubtedly rest upon obtaining the help of one or preferably two men trained in the psychological field, in the field of electronic instrumentation and in the field of mechanical data analysis. We have an agreement with one such man and have hopes of finding another.

The travel item is to permit one or more of the investigators to visit projects in other centers involving similar instrumentation.

The urgent need is for funds for the preliminary nine month

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74-257

- 22 -

APPENDIX

Principal Investigators

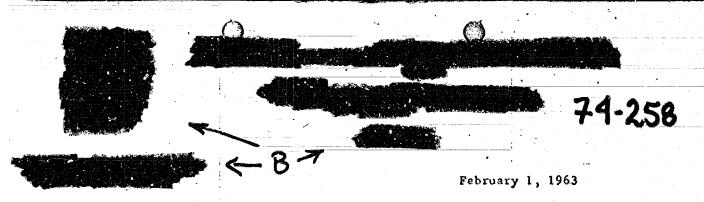
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•

74-257

-- 23 -

(



C > Treasurer
B > Dear

I have spent several hours searching my files for the budget which I submitted and for records of actual expenditures during my 1959-1960 investigation. Thus far I have found neither, though I feel certain I brought them back from I shall continue the search if you require a detailed statement of expenditures, but I am not certain what you wish by way of an accounting.

The initial grant, as-I-recall, was-for \$6000.00. The bulk of this was used in lieu of half my regular salary at the University.

The remainder was budgeted for personal assistance, travel, and a tape recorder for verbatim interview recording. Assistance money was used for having questionnaires typed and mimeographed. Travel money was for my fare to and from and for traveling to villages where the questionnaires and interviews were administered. In the spring of 1960, an additional sum (about \$400) was provided for special assistance in gathering additional data. This was used to have information copied from the records of the

In short, I adhered to the submitted budget as closely as possible, although I ultimately was forced to use my own money for some expenses which exceeded my expectations, especially local travel.

If the above statement is inadequate, let me know and I shall make another effort to locate my records.

I have examined and approved the submitted expenditures.

Sincerely yours,

Chica A TSS/Chemical Division A

Pater V/8/43

- C

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DRAFT 31 July 1957

MEMORANDUM FOR: THE RECORD

SUBJECT

: Individual Grant Under MKULTRA

Subproject No. 74

1. Subject to the approval of C/TSS the Board of has recommended that a grant be made to Dr.

College in for the purpose of interviewing so called in accordance with the attached proposal.

2. Financial participation in the above described activity will, in the opinion of the Board of Directors and TSS/CD, materially aid in authenticating the cover of and will in B addition result in augmenting the findings of the attendance.

3. The total cost of this grant will amount to \$500.00 for a period of 3 months starting 1 July 1957. Charges should be made against Allotment 8-2502-10-001.

4. Payment will be made and monies will be accounted for directly to accounting procedures. All equipment purchased will be expendable and purchase of permanent equipment will not be authorized.

B - of and no clearance procedures will be instituted for him. No TSS or other Agency personnel will make contact with him.



APPROVED FOR OBLIGATION—Approved:
OF FUNDS:

A STA

- A

Research Director

Acting Chief, TSS/Chemical Division

Date: July 31-195

Attached: Proposal

Distribution: Original only



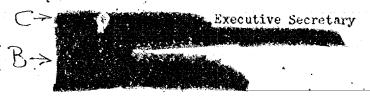
July 23, 1957

- I. The project consists of further investigation on the Incident in interviewing so called This will consist of a Sociological interview and psychological testing.
- II. The purpose is to be able to strength the findings of other studies and to determine if possible any deviations in motivations, attitudes and reactions in and non individuals in another country and those that settled here.
- III. This is definitely pertinent to our interests. Are there differences between and non that can be easily identified and of what significance these differences are.
 - IV. As far as we know the other studies being done on the are not concentrating on these aspects of the problem.
 - v. is the principal investigator. He is a sociologists teaching at and was recommended by Dr.
- VI. A grant of \$500 initially will be needed with possibly another \$500 due on return. The period covered will be 3 months.
- VII. Drs. and were in complete agreement that this part of the study be done in order to help validate the and study.



74-260

March 31, 1960



We are enclosing our financial statement covering grant to Dr. for the period _____ \mathfrak{B} October 1, 1958 to September 30, 1959.

Sincerely yours,



Grant to: Dr.

Financial Statement

October 1, 1958 to September 30, 1959 ...

Funds Received

\$_2,000.00

Expenditures:

Salaries

\$ 1,710.94

Professional Services

80.00

Supplies and Equipment

209.06

TOTAL Expenditures

2,000.00

Balance Septmeber 30, 1959

-n-

I have examined and approved the submitted expenditures.

3- f

Chief

TSS/Chemical Division

Dates

1 June 1960

3-

October 6, 1958.

Dear Mr.

I am pleased to acknowledge your letter of September 25th informing me that has approved a grant-in-aid-in the amount of \$2,000 to support a study.

The conditions set forth in your letter are completely acceptable to the University.

Blease express my warm thanks to the members of your beard for welcome support of this promising research.

Sincerely yours.

O 74-262

DRAFT — A 15 September 1958

MEMORANDUM FOR: THE RECORD

SUBJECT.

: Individual Grant under Project MKULTRA

Subproject 74

1. Subject to the approval of Chief, TSS, the Board of Directors

has recommended

that a grant be made to Prof.

to support his work on the factors which influence the performance

of

The major portion of this project is being supported by

as a study.

2. Financial participation in the above described activity will, in the opinion of the Board of Directors and TSS/CD, materially aid

in authenicating the cover of and in addition will provide a source of reliable information of interest to the Agency, since

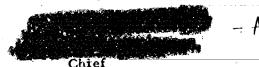
Prof. has agreed to include certain standard test forms related

 \mathcal{B}_{-} to the assessment program in his study as a service to

- 3. The total cost of this grant will amount to \$2,000.00 for a period of one year. Charges should be made against allotment 9-2502-15-902.
- 4. The grant will be handled through as a cover grant and sufficient accounting therefore will consist of evidence of disbursement

B - of the funds by No purchase of equipment is involved in the project.

5. No cleared or witting persons are concerned with the conduct of the project.



TSS/Chemical Division

APPROVED FOR DISBURSEMENT
OF FUNDS:

Research Director

Date: Dest 18-1915.

Distribution:
Original only



8 December 1960

MEMORANDUM FOR THE RECORD

B, C SURJECT: Proposal

1. At the research meeting of 12/8/60, a decision was made to accept the recommendation of that the project be approved for an expenditure of \$1,500 over a three-year period for the support of the through 1963. This will be funded through Cover Grants.

2. It was suggested that be apprised of the fact that we find considerable value to the existence of the and that, should it develop that support from other sources is not forthcoming over the next three-year period; we reconsider the level of funding.

SIDERY GOTTLIEB

Note: Orig. signed copy of this memo filed in MKULTRA Subproject 714 "Cover Grants"

74-264

November 29, 1960

Memorandum to: Sid

B.C.	f	Subject: Project Proposal - Dr.
B, C,	F	Attached is a letter application from Dr. requesting support in the amount of \$8,390.56 for continuation of the ,which we founded,through 1963.

B, C, f

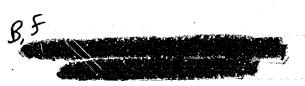
We feel that the has been successful in providing us appropriate publicity in the scientific community, in discovering new scientists throughout the world, and in gaining access to the However, we have expended \$13,800 in establishing the land feel that other sources of funding should be developed. Consequently, we recommend that a grant of \$4,500 be made for support of the project through 1963. In the process of making the grant, we will encourage to supplement the grant from other sources.

C ...

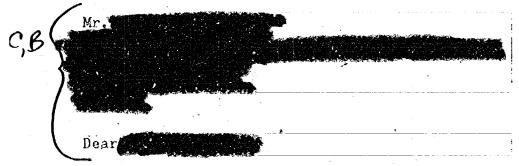
Enc: 2 copies

 $\cdot \phi \langle \gamma \rangle$

-. <u>11</u> ___



Movember 9th, 1960.



B, 8

B, four now approaches its is ask you for continued support, though at a reduced scale.

As you may remember, our efforts in the field of date back to 1955. It was then that, as a joint undertaking of the and I mailed a number of questionnaires concerning the prevalence and nature of to a number of

The nature of the response was such as to ascure lively interest in collaborative research, communication, exchanges of ideas and discussions of problems. Based on the replies to our questionnaire, our first was prepared; it appeared in

Beginning modestly with pages, sent to quickly blossomed out until by now there are pages per copy and well over correspondents in Two-hundred have contributed at least once, from over The contents of each issue includes not only research and general observations, but theoretical considerations and critiques as well. The growth is further reflected in the increasing number of paying subscribers, which now stands at Many interested subscribers and valuable contributors are unable to pay the modest subscription fee owing to

genter ma, 1900.

Early in our efforts it occurred to us that the network of correspondents which has been established might be used as a research instrument. Accordingly, presents a cross-cultural survey of the replies to a questionnaire sent to our correspondents for this specific purpose. The results obtained encourage continuation of surveys of this kind.

Feeling thus that the has firmly established itself as a useful means of I wish to apply for continuation of expected income and expenditure for the years 1961-1962-1963.

1961 BUDGET

Expected Income

TANDOO GOO TILO	A LITE		
B, f Grant from	minu	S T	\$1,500.00 45.00 \$1,455.00
Funds remains expenses have	been su		1,824.44
Estimated ind (subscription students	ome from	Subscriptions for 1961 een raised to	1,000.00
		Total:	\$ 4,279.44
Two		prox. \$1,000.00 each (1200 copies	1,000.00
Secretarial S	alary		1,000.00
Miscellaneous	(filing ionery,	cabinet, personalized stat- translation fees, books, etc.)	300,00
		Total:	5,860,00
		minus Expected Income	4,279.44
•		Still Needed	\$ 1,580.50

m } ...

November 9th, 1960.

1962 BUDGET

Expected Income C	3, 6,			•,
Grant from	minus (est.)	\$1 1	,455.00 000.00	
Subscriptions		\$2	,455,00	
Estimated Expendit	ures	•		
Thu at	\$1,000.00 each (two at \$1,000 each)	2	,000.00 ,000.00 ,560.00 300.00	
M1200114.10045	: : :	\$5	,860.00	
	Minus income		455.00	
	Still needed	\$	3,405.00	- ,
en egi enegli chiligin i sar gare energene energian en est e e e e e e como de energiales e e	1963 BUDGET	y - ay ngo sasani l		•
Expected Income	β , f		•	
Application to be or some other	made to		1,455.00	
Subscriptions			1,000.00	and Service
	Total:	\$	2,455.00	
Estimated Expendi	tures	•	•	
Some as 1962 bude	· · · · · · · · · · · · · · · · · · ·	\$	5,860.00	

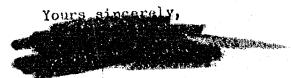
On the basis of the above, I wish to submit to your for the sum of \$8,390.56 to be used over the next three years. Hoping that this proposal meets with your approval, I remain,

C, f

Same as 1962 budget

Still needed (same as 1962)

* 1961 \$1,580.55 1962 3,405.00 1963 3,405.00



3,405,00

April 14, 1960

Memorandum to Subject: Continuation of support for the Mication for the support follows: informed in the rapidly has kept the developing field of In many of the so called has maintained contact with scientists who are observing and measuring the impact of values and technology. This could prove to be of value in planning ctivities in some of these areas. has publicized the fulfills one of the charter purposes of the The - the dissemination of knowledge and research findings in represents potentially valuable contacts in the it now reaches. and Dr. are obtained The services of Dr.

Attached are an accounting for funds expended from September 1957 through February 1960 and a proposed budget for 1960.

as consultants without additional payment.

April 14, 1960

_	1			
B.	ナ	ACCOUNTING FOR FUNDS	EXPENDED	ON
(~ /	n			-
• :	15			

Receipts:

Sept. 1957 \$ 500.00 Nov. 1958 (4,225.00 Apr. 1959 / 600.00 Dec. 1959 750.00

Total Receipts from the

\$6,075.00

Expenditures:

Newsletters #3 - #7

U. S. Discount on funds	191.51
Postage	559.50
Salaries	4,650.00
Printing & Duplicating	1,308.07
Covers and Bindings	413.75
Supplies	62.15

Total Expenditures through Feb. 1960 \$7.184.98

Deficit \$1,109.98

B, N.B. The in itself constitutes the technical report required under the grant.

I have examined and approved the submitted expenditures.

Chief

TSS/Chemical Division

Date: 21 July 1960

Bf

PROPOSED BUDGET

January 1, 1960 - December 31, 1960

	Salaries	\$5,000.00	
${\mathcal B}$	Production Costs - 2	1,500.00	
	Postage	200.00	
		6,700.00	
B F		402.00	
	Total Amount Requested	\$7,102.00	

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~	I have examined and exponditures.	approved the submitted	1	₩
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	Date: 80 W 5-9			
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NEW YORK	December 2, 19 58		NEW YORK ME	<u>y 27 </u>
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412			\$600.00	
\$16,225.00				
		•		

CB

C Dear

I would indeed be grateful to you for having an opportunity to discuss with you longer range planning and-wonder in this connection whether you could spare some time on Saturday - December 5th at lunch time. I have to attend a meeting of the in the morning and will leave for at 4p.m.

Yone of us intends to attend the conference in but permitting I shall speak in in January, and in September as you know I shall be in

I greatly appreciate your friendly comments on my paper.
Since its completion I have received so much material from various that I would be indeed in a position to prepare a much fuller version.

Yours sincerely,

c,f

November 23, 1959



C Dear

I am sorry that I didn't get to visit you with because there is a great deal that we need to talk over. I owe you a vota of thanks for some of the people you suggested that I meet in particularly.

C, B, 5

for the title pieced on you to cover is for such a vest area in such a short time it think you are preparing a book on the subject if you ever have the opportunity.

B. 5

Lostly, in response to your letter of the 18th, enclosed is our check for \$725.00 which appears to be adequate for Newsletter #7. Perhaps we should try to get together in early 1960 to discuss longer range planning, but, at this point, I am reluctant to try to set a date for another visit to 1 seem to be more fatigued from travel new than any time in the last year, and there really are more places that I should be going than any period since I have been with the

B. f

Are any of your people attending the conference in finite in late December?

Dest regards.

Sincerely yours,



Inc. I and chack

3,5

C, B, F

November 18th, 1959;

C, B

C Dear

Further to my conversation with Kr. on "ovember 12th in an approaching you for additional funds to cover due to be published in January.

Famount, plus 227.13 left from the previous fave us a total of 227.13 The actual expenses involved in the production of see below)—came—to-slightly—more than this total, the A List of Expenses.

List of Expenses		qns
Printing: 1000 copies - 81 pp.	\$ 535.40	the Visi
Covers: 1000 copies printed: Binding 939 copies	€ 15,.00	Chief Chief Ical Di
Gathering of pages	\$ 41.00	d ap
Checking: 1000 copies	\$ 30.00	a end
Postage	. 133.00	aine es.
10 masters	₩ 10.60	and the second s
1000 ervelopes	Ç 17.00	f have

Total

\$ 894.00

Therefore, all of the original amount has been spent. The other available financial source, our subscriptions fund, contains \$230.00 at present, which is the total amount of money available at present.

C

B, F

As will be similar in form, number of pages, and number of copies to be printed. I should like to submit the following budget, based on the expenses of but with two minor changes. The increased amount for postage is to cover the increased number of subscribers to whom we wish to send the by air, mail. The increased amount for gathering of pages is due to the fact that the job will be done this time by machine at the printer's instead of manually, thus cutting out the necessity for checking. Although the final item, an additional request for money towards the purchase of an Oxford dictionary, does not deal specifically with we feel that it would be most useful in the editing of future regarding the consistency in spelling and grammar.

Froposed Budget

	Printing: 1000 copies 81 pp.	\$	535.00
	Covers: 1000 copies printed Binding of completed Newsletters	Ş	155.00
	Gathering of pages	\$	60:00
,	Postage	\$	150.00
4	100 masters	\$	10.60
	1000	ŝ	1,7,00
•	1 Oxford Standard Dictionary	\$	_25.00

\$ 952.60

Subtracting from the above total the 230.00 presently available. \$722.00 is still required for the production and distribution of

Hoping that our request meets with your approval, I remain,

I have examined and approved the submitted expenditures.

And the second second

Yours sincerely,

A

TSS/Chemical Division

Dato: 5 7 chrowny 1960

8 f 74 F 74 F

October 23, 1959

B,C

Dear Mr

translating

The enclosed check for \$187.50 completes payment for the five articles from Thank you very much for your services.

Russiano

Sincerely yours,

Enclosure

B.f

October 14, 1959

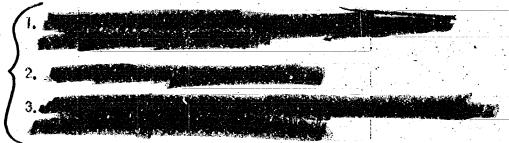
74-272

CB

Dear Mr.

I am enclosing a check for \$98,75 as payment for translation of the articles listed below.

B, 5



We have found the work in all respects satisfactory.

Sincerely yours,



Enclosure

april 28, 1959

C F Dear

Enclosed please find the

check in the amount of

 β β The character than β

The check has been made out to

Sincerely.



April 23, 1959

G F (3, F)

Thank you for your wonderful hospitality on our visit; I am sorry we didn't have longer for discussion. Thank you also for your accounting. I am taking steps now to transmit \$600.00 to you for the production of that you consider whether an equal amount will be adequate for the production of the This we can take up at a later date, but I wanted to raise the consideration.

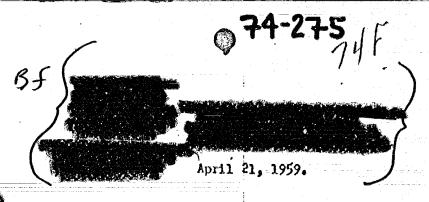
I had a very interesting meeting with an We concluded our conversations with the suggestion that prepare an integrated processal on research among the to be submitted through you to the the support for which would be arranged by the after the initial period. Was quite emphatic that he could assure support if the work can be gotten underway. I can only congretulate you for your good fortune in having interest and support; he is a man of breadth and experience.

I have suggested that delay his trip somewhat, but you will be hearing from him directly.

I am sure he will be writing to you and transmitting the subscription fee of \$1.00, but in the interim I am enclosing his address:

Best regards.

Sincerely yours,



B

BS

Further to our conversation of April 16 in and in accordance with our correspondence of last December, 1958, I am approaching you for additional funds to cover due to be published in June.

We are able to carry over some money from the present grant which you have given us. Of the funds allotted for the publication of (irrespective of salaries), the following amounts have been spent:

	Spent	Allotted
Production Costs	\$372.87	(\$400,00)
Mailing Costs	125.00	(150,00)
Translation of articles and correspondence in unusual languages	000,00	(100,00)
Filing Cabinet		(75.00)

JATOT

\$497.87

(\$725.00)

Therefore, \$227.13 of the original amount is left. I sight add that 150 additional copies of the original amount is left. I sight add that 150 additional copies of the original printed up at no cost to your foundation, as I shall be paying the expenses.

The other available financial source is our Subscriptions fund. \$218.92 in subscription fees has been received altogether. Of this amount, \$123.76 has been spent on overtime salaries and general office supplies, leaving \$95.16 at our disposal. Thus, the total amount of money available at present is \$322.29.

I have examined and approved the submitted expenditures.



TSS/Chemical Division

B 8



BS

Although will be similar in form and number of pages to the previous issue, two changes are being made which will affect its budget (see below). Firstly, 1000 copies of the will be printed instead of 800, to meet the demands of a constantly increasing printed instead of 800, to meet the demands of a constantly increasing has informed us that circulation. Secondly, the as the job has become too large it refuses to print any more as the job has become too large for the department to handle. Therefore, it will be necessary to engage a commercial organization. For these two reasons, production and distribution costs will be necessarily increased.

PROPOSED BUDGET

Ç

Commercial printing (as quoted by one representative company in the area):
1000 copies of masters x 84 pp.

\$520.00

Quality Press; 1000 covers printed 1000 books bound

150,00

Mailing Costs

195.00

TOTAL ____

\$865.00

Subtracting from the above total the \$322.29 presently available. \$512.71 is still required for the production and distribution of

The proposed budget obviously does not cover the issue which is in preparation. Questionnaires concerning in relation to varying cultures have been sent out to all over the world. It is at present, impossible to foretell when sufficient numbers of responses will be available for analysis and when the material will be ready for publication.

Hoping that our request meets with your kind approval, I

remain.

I have examined and approved the cubmitted expenditures.

Yours sincerely,

Chief TSS/Chomical Division

Pater 5 Filineary 1800

B:



B.C. F.S. Although the list well advanced and I believe that it will take another two or three weeks until we are ready for the visit.

November 6, 1958

C, f

C Dear

B B, f Enclosed is our check in the amount of \$4,225.00 in payment of the graph which our has made to you. We have made the check payable to for tax purposes. The following conditions apply in the willization of these funds:

- 1. A terminal report is to be submitted at the end of the grant.
- A terminal accounting of funds expended shall be provided for our records.

B, f

3. That the contain an acknowledgement of the sponsorship by the

Other than the above conditions, it is required that the funds be expended entirely on the publication of the state and that no profits accrue to any individual.

The Petrd of Directors and the satentific advisors to the me in wishing you continued success. Places call upon us for any assistance that we may be able to provide.

Sincerely yours,

 C_{-}

B

Fines

C, F P. S. Since has extend to exist as an Assistant Editor, we have subtracted the exist of an Assistant Editor, \$2,000.00 from your proposed budget.

O WORK.

DRAFT 1958

MEMORANDUM FOR: THE RECORD

SUBJECT

Continuation of Individual Grant under

MKULTRA, Subproject 74

1. Subject to the approval of Chief/TSS the Board of Directors

of the has recommended

that the grant to the editors of the continued for an additional year.

in the opinion of the Board of Directors and TSS/CD, materially aid
in authenticating the cover of the and will in addition result
in providing a useful channel of communication that may contribute to
the coordination of scientific efforts by pooling information concerning
ongoing research. It is hoped that it will also serve to introduce
persons engaged in such research
and in addition result in spotting and developing useful
sources of information and investigations relevant to the interests of
TSS/CD and other components of the Agency. The enormous growth of
interest and response to the
usefulness of continuing support to the project. It is anticipated that



- 2 -

grant year to attract support from other sources while continuing to serve the interests of this organization.

- 3. The total cost of this grant will amount to \$6,225.00 for a period of one year starting 1 August 1958. Charges should be made against Allotment 9-2502-15-902.
- 4. Payment will be made and monies will be accounted for annually directly to the in accordance with accounting procedures. Any unused funds will be returned to the to any permanent equipment purchased under the grant will be retained by the Grantee in lieu of overhead.
 - 5. The Grantees are not aware of the true sponsor of the and no clearance procedures will be instituted for them. No TSS or other Agency personnel will make contact with the Grantees.

Chief
TSS/Chemical Division

APPROVED FOR DISBURSEMENT OF FUNDS:

A

B

Research Director

Date: Acpt. 18-1958.

Distribution:
Original only



September 3, 1957

C, F B F

Enclosed is our check in the amount of \$500.00 in payment of the grant which our has made to you. We have made the check payable to purposes. The following conditions apply in the utilisation of these funds:

- 1. A terminal report is to be submitted after the completion of the phase of research covered by this grant.
- 2. A terminal accounting of funds expended shall be provided for our records.
- 3. That the contain an acknowledgement of the sponsorship by the

Other than the above conditions, it is required that the funds be exceeded entirely on the publication of the and that no profits accrue to any individual.

the Ecard of Directors and the scientific advisors to the join me in wishing you wall in this endeavor. Please call upon us for any assistance that we may be able to provide.

Sincerely,

C

Eng.

DRAFT 1957

MEMORANDUM FOR: THE RECORD

SUBJECT

: Individual Grant Under MKULTRA Subproject No. 74

B Directors of the part to the approval of C/TSS the Board of the pas recommended that a gran to made to the control of the co

to help defray the cost of printing and postage in accordance with the attached proposal.

2. Financial participation in the above described activity will, in the opinion of the Board of Directors and TSS/CD, materially aid in authenticating the cover of the pand will in addition result in providing a useful channel of communication that may contribute to the coordination of scientific efforts by pooling information concerning on-going research. It is hoped that it will also serve to introduce persons engaged in such research

3. The total cost of this grant will amount to \$500.00 for a period of 1 year starting 1 August 1957. Charges should be made against Allotment.8-2502-10-001.

for annually directly to the in accordance with accounting procedures. At the end of one year the project will be reviewed and it is possible that continuation of the support will be recommended. All equipment purchased will be expendable and purchase of permanent equipment will not be authorized.

5. The Grantees are not aware of the true sponsor and no clearance procedures will be instituted for them. No TSS or other Agency personnel will make contact with the Grantees.

> Chief, Branch III TSS/Chemical Division

APPROVED FOR OBLIGATION OF FUNDS:

Approved:

Research Director

Acting Chief, TSS/Chemical Division

Attached:

Proposi

Distribution: Original only



37 77.

Donied in entirety.